

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT4088525

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
TAO TAO	10/04/2016
II SUN YOO	10/07/2016
YIHUI TANG	10/07/2016
JEREMY GERSTLE	10/07/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CAPITAL ONE SERVICES, LLC
<b>Street Address:</b>	1680 CAPITAL ONE DRIVE
<b>City:</b>	MCLEAN
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	22102
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15288481
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(202)408-4400
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	571-203-2788
<b>Email:</b>	CapOne_USPTO@finnegan.com
<b>Correspondent Name:</b>	FINNEGAN/CAPITAL ONE
<b>Address Line 1:</b>	901 NEW YORK AVE., NW
<b>Address Line 4:</b>	WASHINGTON, D.C. 20001
<b>ATTORNEY DOCKET NUMBER:</b>	05793.3495
<b>NAME OF SUBMITTER:</b>	KAI RAJAN
<b>SIGNATURE:</b>	/Kai Rajan/
<b>DATE SIGNED:</b>	10/07/2016
<b>Total Attachments: 8</b>	
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## ASSIGNMENT AND DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

### ASSIGNMENT:

WHEREAS I/We, the below named inventor(s), (hereinafter referred to as Assignor(s)), of a claimed invention in an application for United States Letters Patent entitled:

SYSTEM AND METHOD FOR RISK-AWARE SERVER LOAD BALANCING

filed herewith or on \_\_\_\_\_, as U.S. Patent Application No. \_\_\_\_\_ (Confirmation No. \_\_\_\_\_); and

WHEREAS, Capital One Services, LLC  
a corporation of Delaware

whose post office address is 1680 Capital One Drive, McLean, VA 22102

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 62/239,507, filed October 9, 2015, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority in any foreign country on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application in any foreign country, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Director of the United States Patent and Trademark Office and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

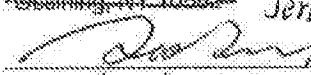
AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request that the attorneys having Power of Attorney in this application, insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss. Name: Tao Tao  
Address: ~~36 Hudson-Walch Dr.~~ 104 Manhattan Dr. T.T.  
~~Glenview, NY 10532~~ Jericho, NY 11753  
By:   
Date: 10/04/2016

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss. Name: Santoh Bardawj  
Address: 11600 Peavey Street  
Glen Allen, VA 23059  
By: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss. Name: Il Sun Yoo  
Address: 42-51 Hunter Street, Apt. 5-D  
Long Island City, NY 11101  
By: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss. Name: Yihui Tang  
Address: 2711 Bellforest Ct-404  
Vienna, VA 22180  
By: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss. Name: Jeremy Gerstle  
Address: 2373 Broadway, Apt. 807  
New York, NY 10024  
By: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
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SYSTEM AND METHOD FOR RISK-AWARE SERVER LOAD BALANCING

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WHEREAS, Capital One Services, LLC  
a corporation of Delaware

whose post office address is 1680 Capital One Drive, McLean, VA 22102

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 62/239,507, filed October 9, 2015, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority in any foreign country on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application in any foreign country, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Director of the United States Patent and Trademark Office and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request that the attorneys having Power of Attorney in this application, insert here in parentheses (Application No. \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application number of said application when known.

**DECLARATION:**

As a named inventor below, I hereby declare that: (1) This declaration is directed to the above-identified application; (2) the above-identified application was made or authorized to be made by me; (3) my residence and mailing address are as stated below next to my name; and (4) I believe I am an original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statements made in this declaration are punishable by fine or imprisonment of not more than five (5) years, or both, under section 1001 of Title 18 of the United States Code.

As a below named inventor, I have reviewed and understand the contents of the application, including the claims, and am aware of the duty to disclose to the US PTO all information known to me to be material to patentability as defined in 37C.F.R. § 1.56.

**Authorization To Permit Access To Application by Participating Offices:**

☒ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the Applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the above identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

SOLE/JOINT INVENTION  
(Worldwide Rights)  
Attorney Docket No. 05793.3495-00000

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of \_\_\_\_\_ )  
 ) ss. Name: Tao Tao  
 ) Address: 36 Hudson Watch Dr.  
 ) Ossining, NY 10562  
State of \_\_\_\_\_ )  
 ) By: \_\_\_\_\_  
 )  
 ) Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
\_\_\_\_\_, Notary Public

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 ) ss. Name: Santoh Bardawj  
 ) Address: 11600 Peavey Street  
 State of \_\_\_\_\_ ) Glen Allen, VA 23059  
 ) By: \_\_\_\_\_  
 \_\_\_\_\_ ) Date: \_\_\_\_\_


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 ) SS. Name: Il Sun Yoo  
 Address: 42-51 Hunter Street, Apt. 5-D  
 Long Island City, NY 11101  
  
State of \_\_\_\_\_ )  
 )  
 ) By: \_\_\_\_\_  
 Date: 10/2/16

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\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
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 ) Address: 2711 Bellforest Ct-404  
 State of \_\_\_\_\_ ) Vienna, VA 22180  
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 ) By: \_\_\_\_\_  
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 ) Date: \_\_\_\_\_

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 State of \_\_\_\_\_ )  
 \_\_\_\_\_ )

ss., \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )  
 \_\_\_\_\_ )

Name: Tao Tao  
 Address: 36 Hudson Watch Dr.  
 Ossining, NY 10562

By: \_\_\_\_\_  
 Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_  
\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
 )  
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 ) Address: 11600 Peavey Street  
 ) Glen Allen, VA 23059  
 State of \_\_\_\_\_ )  
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 \_\_\_\_\_ Date: \_\_\_\_\_

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 \_\_\_\_\_ ) Long Island City, NY 11101  
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\_\_\_\_\_, Notary Public

County of \_\_\_\_\_ )  
 )  
 ) \$8.  
 )  
 State of \_\_\_\_\_ )  
 )

Name: Yihui Tang  
Address: 2711 Bellforest Ct-404  
Vienna, VA 22180  
By: Yihui Tang  
Date: 10/07/2016

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County of \_\_\_\_\_ )  
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 \_\_\_\_\_ ) By: \_\_\_\_\_  
 \_\_\_\_\_ ) Date: \_\_\_\_\_

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