

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4094610

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
SEQUENCE:	4	
CONVEYING PARTY DATA		
	Name	Execution Date
	ASTRAZENECA UK LIMITED	03/09/2015
RECEIVING PARTY DATA		
Name:	ASTRAZENECA AB	
Street Address:	SE-151 85	
City:	SÖDERTÄLJE	
State/Country:	SWEDEN	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15034727
CORRESPONDENCE DATA		
Fax Number:	(617)951-7050	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	617-951-7000	
Email:	paula.derby@ropesgray.com, USPatentMail@ropesgray.com	
Correspondent Name:	ROPES & GRAY LLP	
Address Line 1:	800 BOYLSTON STREET	
Address Line 2:	PRUDENTIAL TOWER	
Address Line 4:	BOSTON, MASSACHUSETTS 02199	
ATTORNEY DOCKET NUMBER:	110421-0088-301	
NAME OF SUBMITTER:	PAULA J. DERBY	
SIGNATURE:	/Paula J. Derby/	
DATE SIGNED:	10/12/2016	
Total Attachments: 4		
source=Assignment_AZUK_AZAB#page1.tif		
source=Assignment_AZUK_AZAB#page2.tif		
source=Assignment_AZUK_AZAB#page3.tif		
source=Assignment_AZUK_AZAB#page4.tif		

ASSIGNMENT OF PATENT RIGHTS

This Assignment of Patent Rights (this “Assignment”) is made as of the 30th day of October 2014 (the “Effective Date”) by and between

- (1) ASTRAZENECA UK LIMITED, a company incorporated in England and Wales under no. 3674842, whose principal place of business is at 2 Kingdom Street, London, England, W2 6BD (the “Assignor”); and
- (2) ASTRAZENECA AB, a company incorporated in Sweden under no. 556011-7482, whose principal place of business is at SE-151 85 Södertälje, Sweden (the “Assignee”).

Recitals

- (A) WHEREAS, Assignor has agreed to assign to Assignee the Assignor’s legal right, legal title and legal interest and to the patent application(s) identified in the attached Schedule (the “Patent Application(s)”) and in and to the inventions and improvements disclosed in such Patent Application(s).

Agreement

NOW, THEREFORE, it is hereby agreed as follows:

1 Assignment

In consideration of the payment by Assignee to Assignor of the sum of £1, the receipt of which is hereby acknowledged, Assignor hereby assigns, sells and transfers to Assignee, with full title guarantee free from all and any charges or other third party rights, the following rights (the “Patent Rights”):

- 1.1 all of Assignor’s legal right, legal title and legal interest in and to the Patent Application(s), any rights under the International Convention for the Protection of Industrial Property and all other applicable treaties and conventions, any rights of priority with respect to the Patent Application(s), including any divisional, continuation and continuation-in-part applications claiming, directly or indirectly, the benefit of, or priority to, the Patent Application(s) (and equivalents in countries other than the United States), any patents in any country that may be granted to or on any of the foregoing applications (“Subsequent Patents”), any reissues, re-examinations or extensions of such Subsequent Patents (and equivalents in countries other than the United

States), to the full end of the term for which the Subsequent Patents have been granted, reissued, re-examined or extended;

- 1.2 all of Assignor's legal right, legal title and legal interest in and to the inventions and improvements disclosed in the Subsequent Patents and Patent Application(s); and
- 1.3 any and all causes of action, claims, demands or other rights occasioned from or because of any and all past and future infringement of any of the Subsequent Patents or Patent Application(s), including all rights to recover damages, profits and injunctive relief for infringement of any of the Subsequent Patents or Patent Application(s).

2 Further Action

Assignor agrees, at the reasonable request of Assignee: (a) to execute and deliver any further documents and to take any further actions reasonably necessary to enable Assignee to become the registered owner of the Patent Rights and to secure the benefits of the rights hereby assigned; and (b) to aid in securing and maintaining patent protection for the Patent Rights throughout the world, including, without limitation, cooperating in any proceedings involving examinations, re-examinations, reissues, opposition and cancellation proceedings, interferences, infringement proceedings, court actions and the like.

3 Recordation


Assignor hereby authorizes the United States Commissioner of Patents and Trademarks and, as appropriate, the corresponding officials of other countries, to record this Assignment.

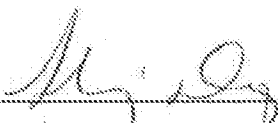
4 Governing Law and Jurisdiction

The interpretation and construction of this Agreement shall be governed by the laws of England and Wales and the Assignor and Assignee hereby submit to the exclusive jurisdiction of the English courts for any action, suit or proceeding arising out of or relating to this Agreement.

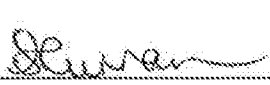
THIS ASSIGNMENT IS EXECUTED by the authorised representatives of the parties and is effective from the date first written above.


Signed for and on behalf of
ASTRAZENECA UK LIMITED

Signature: 
Name: Lucy PADGET
Title: Authorised Signatory
Date: 9th March 2015

Witnessed by: 
Name: Simon Douglas

Signed for and on behalf of
ASTRAZENECA AB (PUBL)

Signature: 
Name : Sally CURRAN
Title : Authorised Signatory
Date: 9th March 2015

Witnessed by: 
Name: Simon Douglas

THE SCHEDULE

THE PATENT APPLICATION(S)					
AstraZeneca Case No:	Application Ref:	Country	Filing Type	Application No. and/or Title of Invention	Filing Date
200162-AR-NP	A	Argentina	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-BO-NP	B	Bolivia	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-WO- PCT	C	International	PCT	NMDA Antagonist Prodrugs	Not yet assigned
200162-ET-NP	D	Ethiopia	National	NMDA Antagonist Prodrugs/ ET/P/2014/262	5 November 2014
200162-LB-NP	E	Lebanon	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-PY-NP	F	Paraguay	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-PK-NP	G	Pakistan	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-TW-NP	H	Taiwan	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-UY-NP	I	Uruguay	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-VE-NP	J	Venezuela	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-BS-NP	K*	Bahamas	National	NMDA Antagonist Prodrugs	Not yet assigned
200162-JM-NP	L*	Jamaica	National	18/1/5614; NMDA Antagonist Prodrugs	17-Oct-2014

Patent Application(s) referenced A to I inclusive claim priority from the following US Provisional Application(s)

Application No.	Filing Date
61/899903	5 November 2013

Patent Application(s) referenced K* and L* do not claim priority