

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4050040

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RON L. HALE	07/25/2008
CRAIG C. HODGES	08/08/2008
PETER M. LLOYD	07/28/2008
AMY T. LU	07/25/2008
DANIEL J. MYERS	07/29/2008
JOSHUA D. RABINOWITZ	06/11/2008
MARTIN J. WENSLEY	07/28/2008
JEFFREY A. MCKINNEY	07/31/2008
ALEJANDRO C. ZAFFARONI	07/29/2008
RECEIVING PARTY DATA	
Name:	ALEXZA PHARMACEUTICALS, INC.
Street Address:	2091 STIERLIN CT.
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15262954
CORRESPONDENCE DATA	
Fax Number:	(303)268-0066
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	303-268-0066
Email:	sharward@sbiplaw.com
Correspondent Name:	SWANSON & BRATSCUN, LLC
Address Line 1:	8210 SOUTHPARK TERRACE
Address Line 4:	LITTLETON, COLORADO 80120
ATTORNEY DOCKET NUMBER:	0208.00067.06CON
NAME OF SUBMITTER:	KATHERINE LOBEL-RICE, REG. NO. 58,079

SIGNATURE:	/Katherine Lobel-Rice/
DATE SIGNED:	09/13/2016
Total Attachments: 21 source=Assignment_combined_#page1.tif source=Assignment_combined_#page2.tif source=Assignment_combined_#page3.tif source=Assignment_combined_#page4.tif source=Assignment_combined_#page5.tif source=Assignment_combined_#page6.tif source=Assignment_combined_#page7.tif source=Assignment_combined_#page8.tif source=Assignment_combined_#page9.tif source=Assignment_combined_#page10.tif source=Assignment_combined_#page11.tif source=Assignment_combined_#page12.tif source=Assignment_combined_#page13.tif source=Assignment_combined_#page14.tif source=Assignment_combined_#page15.tif source=Assignment_combined_#page16.tif source=Assignment_combined_#page17.tif source=Assignment_combined_#page18.tif source=Assignment_combined_#page19.tif source=Assignment_combined_#page20.tif source=Assignment_combined_#page21.tif	

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Drug Condensation Aerosols and Kits** for which an application for United States Letters Patent was filed on **May 8, 2008**, as **Application Serial No. 12/117,737**, and

WHEREAS, **Alexza Pharmaceuticals, Inc.**, a **Delaware Corporation** ("Assignee") having a place of business at **2091 Stierlin Ct., Mountain View, CA 94043**, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: 7-25-08

R. L. Hale

Address:

Ron L. Hale
17085 Skyline Boulevard
Woodside, California 94062

STATE OF California)
COUNTY OF Santa Clara) ss.

The foregoing instrument was acknowledged before me this 25 day of July, 2008, by **Ron L. Hale**.

Witness my hand and official seal.
My commission expires:

Aug. 9, 2011

Donielle M. Ferris
Notary Public



Date: _____

Address:

Craig C. Hodges

80 Terrace Road

Walnut Creek, California 94596

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Craig C. Hodges**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 28 July 08

Address:



Peter M. Lloyd

30 Carisa Court

Walnut Creek, California 94597

STATE OF California)

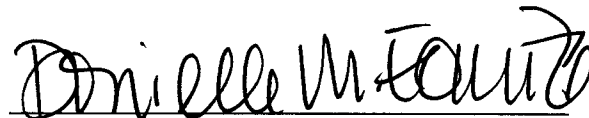
) ss.

COUNTY OF Santa Clara)

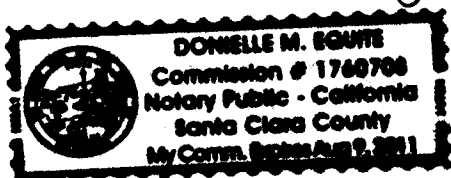
The foregoing instrument was acknowledged before me this 28 day of July, 2008,
by **Peter M. Lloyd**.

Witness my hand and official seal.

My commission expires: Aug. 9, 2011



Notary Public



Date: 07/25/2008

Address:

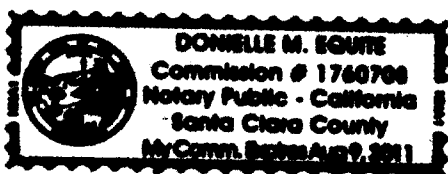
Amy T. Lu
Amy T. Lu
1090 Valley View Court
Los Altos, California 94024

STATE OF California)
COUNTY OF Santa Clara) ss.

The foregoing instrument was acknowledged before me this 25 day of July, 2008,
by **Amy T. Lu**.

Witness my hand and official seal.
My commission expires:

Aug. 9, 2011 Donielle M. Equite
Notary Public



Date: 7-29-08

Address:

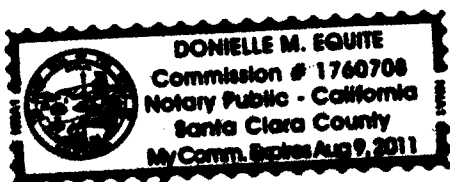
Daniel J. Myers
Daniel J. Myers
75 Eldora Drive
Mountain View, CA 94041

STATE OF California)
COUNTY OF Santa Clara) ss.

The foregoing instrument was acknowledged before me this 29th day of July, 2008,
by **Daniel J. Myers**.

Witness my hand and official seal.
My commission expires:

Aug 9, 2011 Donielle M. Equite
Notary Public



Date: _____

Address:

Joshua D. Rabinowitz

17A Hulfish Street
Princeton, New Jersey 08542

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Joshua D. Rabinowitz**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 7/28/08

Address:

Martin J. Wensley

Martin J. Wensley
205 Caldwell Avenue
Los Gatos, California 95032

STATE OF California)

) ss.

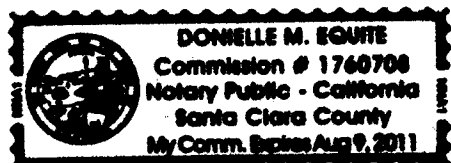
COUNTY OF Santa Clara)

The foregoing instrument was acknowledged before me this 28 day of July, 2008,
by **Martin J. Wensley**.

Witness my hand and official seal.

My commission expires: Aug. 9, 2011

Donielle M. Equite
Notary Public



Date: _____

Address: _____

Jeffrey A. McKinney

809 Juno Lane

Foster City, California 94404

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Jeffrey A. McKinney**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 07/29/08

Address: _____

A. Zaffaroni

Alejandro C. Zaffaroni

1 Faxon Forest

Atherton, California 94027

STATE OF California)

) ss.

COUNTY OF Santa Clara)

The foregoing instrument was acknowledged before me this 29 day of July, 2008,
by **Alejandro C. Zaffaroni**.

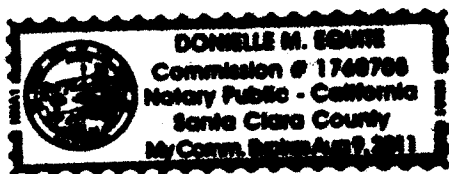
Witness my hand and official seal.

My commission expires: _____

Aug. 9, 2011 Donielle M. Egan

Notary Public

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ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Drug Condensation Aerosols and Kits** for which an application for United States Letters Patent was filed on **May 8, 2008**, as **Application Serial No. 12/117,737**, and

WHEREAS, **Alexza Pharmaceuticals, Inc., a Delaware Corporation** ("Assignee") having a place of business at **2091 Stierlin Ct., Mountain View, CA 94043**, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: _____

Address:

Ron L. Hale

17085 Skyline Boulevard
Woodside, California 94062

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008, by **Ron L. Hale**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 8/08/08

Address:

Craig C. Hodges

Craig C. Hodges
80 Terrace Road
Walnut Creek, California 94596



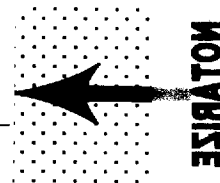
STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Craig C. Hodges**.

Witness my hand and official seal.

My commission expires: _____

Notary Public



SEE ATTACHED FOR PROPER
CALIFORNIA NOTARY
ACKNOWLEDGEMENT

Date: _____

Address:

Peter M. Lloyd
Peter M. Lloyd
30 Carisa Court
Walnut Creek, California 94597

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Peter M. Lloyd**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Amy T. Lu
1090 Valley View Court
Los Altos, California 94024

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Amy T. Lu**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Daniel J. Myers
75 Eldora Drive
Mountain View, CA 94041

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Daniel J. Myers**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Joshua D. Rabinowitz
17A Hulfish Street
Princeton, New Jersey 08542

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Joshua D. Rabinowitz**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Martin J. Wensley
205 Caldwell Avenue
Los Gatos, California 95032

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Martin J. Wensley**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Jeffrey A. McKinney
809 Juno Lane
Foster City, California 94404

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Jeffrey A. McKinney**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Alejandro C. Zaffaroni
1 Faxon Forest
Atherton, California 94027

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Alejandro C. Zaffaroni**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

S:\ClientFolders\Alexza\67.03CON\Assignment (67.03CON).doc

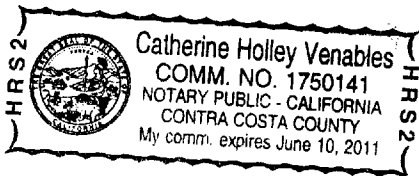
CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

STATE OF CALIFORNIA

COUNTY OF Contra Costa SS.

ON Aug. 8, 2008 BEFORE ME, Catherine Holley Venables NOTARY PUBLIC
Date Name

PERSONALLY APPEARED Craig C. Hodges



WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON (S) WHOSE NAME (S) ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN (HIS)/HER/THEIR AUTHORIZED CAPACITY (IES) AND THAT BY (HIS)/HER/THEIR SIGNATURE (S) ON THE INSTRUMENT THE PERSON (S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON (S) ACTED, EXECUTED THE INSTRUMENT.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS MY HAND AND OFFICIAL SEAL.

[Signature]
Signature of Notary

OPTIONAL INFORMATION

The information below is not required by law, but it may prove to be important to persons relying on the document and could prevent fraudulent removal and reattachment of this form to some other document.

DESCRIPTION OF ATTACHED DOCUMENT

TITLE OR TYPE OF

DOCUMENT:

Assignment

DOCUMENT DATE: _____ NUMBER OF PAGES: _____

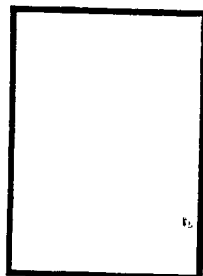
SIGNER (S) OTHER THAN NAMED ABOVE: _____

CAPACITY (IES) CLAIMED BY SIGNER (S)

SIGNER'S NAME: _____

☐ INDIVIDUAL
☐ CORPORATE OFFICER
TITLE (S): _____
☐ PARTNER
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN OR CONSERVATOR
☐ OTHER: _____

SIGNER IS REPRESENTING: _____

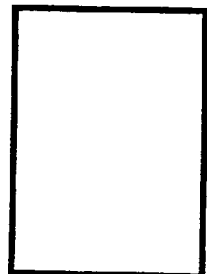


RIGHT THUMBPRINT
OF SIGNER

SIGNER'S NAME: _____

☐ INDIVIDUAL
☐ CORPORATE OFFICER
TITLE (S): _____
☐ PARTNER
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN OR CONSERVATOR
☐ OTHER: _____

SIGNER IS REPRESENTING: _____



PATENT
RIGHT THUMBPRINT
OF SIGNER

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled **Drug Condensation Aerosols and Kits** for which an application for United States Letters Patent was filed on **May 8, 2008**, as **Application Serial No. 12/117,737**, and

WHEREAS, **Alexza Pharmaceuticals, Inc., a Delaware Corporation** ("Assignee") having a place of business at **2091 Stierlin Ct., Mountain View, CA 94043**, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: _____

Address:

Ron L. Hale

17085 Skyline Boulevard
Woodside, California 94062

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008, by **Ron L. Hale**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Craig C. Hodges

80 Terrace Road

Walnut Creek, California 94596

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Craig C. Hodges**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Peter M. Lloyd

30 Carisa Court

Walnut Creek, California 94597

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Peter M. Lloyd**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Amy T. Lu

1090 Valley View Court
Los Altos, California 94024

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Amy T. Lu**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Daniel J. Myers

75 Eldora Drive
Mountain View, CA 94041

STATE OF _____)

) ss.

COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Daniel J. Myers**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: June 11, 2008

Joshua D. Rabinowitz

Joshua D. Rabinowitz

Address:

17A Hulfish Street
Princeton, New Jersey 08542

STATE OF New Jersey)
COUNTY OF MERCER) ss.

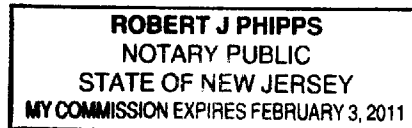
The foregoing instrument was acknowledged before me this 11th day of JUNE, 2008,
by **Joshua D. Rabinowitz**.

Witness my hand and official seal.

My commission expires: _____

Robert J. Phipps

Notary Public



Date: _____

Address:

Martin J. Wensley
205 Caldwell Avenue
Los Gatos, California 95032

STATE OF _____)
COUNTY OF _____) ss.

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Martin J. Wensley**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Jeffrey A. McKinney
809 Juno Lane
Foster City, California 94404

STATE OF _____)
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COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
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Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: _____

Address:

Alejandro C. Zaffaroni
1 Faxon Forest
Atherton, California 94027

STATE OF _____)
)
COUNTY OF _____) ss.

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
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Witness my hand and official seal.

My commission expires: _____

Notary Public

S:\ClientFolders\Alexza\67.03CON\Assignment (67.03CON).doc

ASSIGNMENT

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Date: _____

Ron L. Hale
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Woodside, California 94062

STATE OF _____)
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My commission expires: _____

Notary Public

Date: _____

Address:

Craig C. Hodges
80 Terrace Road
Walnut Creek, California 94596

STATE OF _____)
COUNTY OF _____) ss.

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Notary Public

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Peter M. Lloyd
30 Carisa Court
Walnut Creek, California 94597

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COUNTY OF _____) ss.

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Amy T. Lu
1090 Valley View Court
Los Altos, California 94024

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17A Hulfish Street
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STATE OF _____)
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Notary Public

Date: _____

Address:

Martin J. Wensley
205 Caldwell Avenue
Los Gatos, California 95032

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Martin J. Wensley**.

Witness my hand and official seal.

My commission expires: _____

Notary Public

Date: 7/31/2008

Address:

Jeffrey A. McKinney
Jeffrey A. McKinney
809 Juno Lane
Foster City, California 94404

STATE OF _____)
) ss.
COUNTY OF _____)

The foregoing instrument was acknowledged before me this _____ day of _____, 2008,
by **Jeffrey A. McKinney**.

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Notary Public

Date: _____

Address:

Alejandro C. Zaffaroni
1 Faxon Forest
Atherton, California 94027

STATE OF _____)
) ss.
COUNTY OF _____)

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Witness my hand and official seal.

My commission expires: _____

Notary Public

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