# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MARK DEEM	10/14/2013
HANSON S. GIFFORD	10/14/2013
VIVEK SHENOY	10/14/2013

#### **RECEIVING PARTY DATA**

Name:	COTERA, INC.	
Street Address:	199 JEFFERSON DRIVE	
City:	MENLO PARK	
State/Country:	CALIFORNIA	
Postal Code:	94028	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15295560

#### CORRESPONDENCE DATA

Fax Number: (802)862-7512

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 802-863-2375 Email: patip@drm.com

THOMAS D. KOHLER **Correspondent Name:** Address Line 1: 199 MAIN STREET Address Line 2: P O BOX 190

Address Line 4: BURLINGTON, VERMONT 05402-0190

ATTORNEY DOCKET NUMBER:	14151-012USC1	
NAME OF SUBMITTER:	THOMAS D. KOHLER	
SIGNATURE:	/Thomas D. Kohler/	
DATE SIGNED:	10/17/2016	

#### **Total Attachments: 3**

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> **PATENT REEL: 040033 FRAME: 0973** 504053590

Attorney Docket No.: 14151-012USU1

# ASSIGNMENT

Whereas, I, Mark Deem, residing in Mountain View, CA, have invented one or more new and useful inventions and have filed therefor United States Nonprovisional Patent Application Serial No. 13/974,930 filed on August 23, 2013, entitled "Method and Apparatus for Altering Biomechanics of the Spine" (hereinafter, "Application(s)");

And whereas, Cotera, Inc., a Delaware corporation, having a place of business at 199 Jefferson Drive, Menlo Park, California 94028 (hereinafter called Assignee), desires to acquire the entire right, title and interest in the Application(s) and all inventions disclosed therein, and to any United States and foreign patents to be obtained therefor, and to any United States, international, and foreign patent applications to be filed thereon;

Now therefore, for good and valuable consideration, receipt whereof is hereby acknowledged, I, the above-named inventor hereby sell, assign, and transfer to Assignee, its successors and assigns, and confirm any previous assignments made by me for, the entire right, title and interest in the Application(s) and inventions therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the Application(s), and I hereby agree that Assignee may file one or more international applications and apply for foreign Letters Patent on said inventions; and I request the Commissioner for Patents of the United States Patent and Trademark Office and the authorized official in each respective foreign patent office to issue any Letters Patent granted upon the inventions set forth in the Application(s) to Assignee; and I will execute all papers necessary in connection with the United States, international, and foreign applications when called upon to do so by Assignee.

I, the above-named, hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Executed at Mondo Polk (city/town), CA, USA (state/country), this 14day of October, Z013.

Mark Deem

14050153.1

Attorney Docket No.: 14151-012USU1

### ASSIGNMENT

Whereas, I, Hanson S. Gifford, residing in Menlo Park, CA, have invented one or more new and useful inventions and have filed therefor United States Nonprovisional Patent Application Serial No. 13/974,930 filed on August 23, 2013, entitled "Method and Apparatus for Altering Biomechanics of the Spine" (hereinafter, "Application(s)");

And whereas, Cotera, Inc., a Delaware corporation, having a place of business at 199 Jefferson Drive, Menlo Park, California 94028 (hereinafter called Assignee), desires to acquire the entire right, title and interest in the Application(s) and all inventions disclosed therein, and to any United States and foreign patents to be obtained therefor, and to any United States, international, and foreign patent applications to be filed thereon;

Now therefore, for good and valuable consideration, receipt whereof is hereby acknowledged, I, the above-named inventor hereby sell, assign, and transfer to Assignee, its successors and assigns, and confirm any previous assignments made by me for, the entire right, title and interest in the Application(s) and inventions therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the Application(s), and I hereby agree that Assignee may file one or more international applications and apply for foreign Letters Patent on said inventions; and I request the Commissioner for Patents of the United States Patent and Trademark Office and the authorized official in each respective foreign patent office to issue any Letters Patent granted upon the inventions set forth in the Application(s) to Assignee; and I will execute all papers necessary in connection with the United States, international, and foreign applications when called upon to do so by Assignee.

I, the above-named, hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Executed at Menlo Park (city/town), CALIFORENA (state/country),

this 14 day of October.

Hanson S. Gifford

14050157.1

Attorney Docket No.: 14151-012USU1

## <u>ASSIGNMENT</u>

Whereas, I, Vivek Shenoy, residing in Redwood City, CA, have invented one or more new and useful inventions and have filed therefor United States Nonprovisional Patent Application Serial No. 13/974,930 filed on August 23, 2013, entitled "Method and Apparatus for Altering Biomechanics of the Spine" (hereinafter, "Application(s)");

And whereas, Cotera, Inc., a Delaware corporation, having a place of business at 199 Jefferson Drive, Menlo Park, California 94028 (hereinafter called Assignee), desires to acquire the entire right, title and interest in the Application(s) and all inventions disclosed therein, and to any United States and foreign patents to be obtained therefor, and to any United States, international, and foreign patent applications to be filed thereon;

Now therefore, for good and valuable consideration, receipt whereof is hereby acknowledged, I, the above-named inventor hereby sell, assign, and transfer to Assignee, its successors and assigns, and confirm any previous assignments made by me for, the entire right, title and interest in the Application(s) and inventions therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the Application(s), and I hereby agree that Assignee may file one or more international applications and apply for foreign Letters Patent on said inventions; and I request the Commissioner for Patents of the United States Patent and Trademark Office and the authorized official in each respective foreign patent office to issue any Letters Patent granted upon the inventions set forth in the Application(s) to Assignee; and I will execute all papers necessary in connection with the United States, international, and foreign applications when called upon to do so by Assignee.

I, the above-named, hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Assignment.

Executed at MENLO PARK (city/town), CAUFORATA USA (state/country),

this 14 day of OCTOBER , 2013.

Vivek Shenoy

14050161.1