

PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| SIMON FRASER HUNT | 12/04/2015 |
| STUART THOMAS ONIONS | 12/04/2015 |
| VLADIMIR SHERBUKHIN | 12/09/2015 |
| EUAN ALEXANDER FRASER FORDYCE | 12/04/2015 |
| PETER JOHN MURRAY | 12/16/2015 |
| MATTHEW STEPHEN COATES | 12/02/2015 |
| DANIEL WILLIAM BROOKES | 12/02/2015 |
| KAZUHIRO ITO | 12/02/2015 |
| PETER STRONG | 12/02/2015 |
| SYGNATURE DISCOVERY LTD | 12/08/2015 |
| RECEIVING PARTY DATA | |
| Name: | PULMOCIDE LIMITED |
| Street Address: | C/O COVINGTON & BURLING LLP |
| Internal Address: | 265 STRAND |
| City: | LONDON |
| State/Country: | UNITED KINGDOM |
| Postal Code: | WC2R 1BH |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 15305003 |
| CORRESPONDENCE DATA | |
| Fax Number: | (201)587-0715 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
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PATENT

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|---|--------------------|
| ATTORNEY DOCKET NUMBER: | 3531-1-001PCT/US |
| NAME OF SUBMITTER: | DAVID A. JACKSON |
| SIGNATURE: | /David A. Jackson/ |
| DATE SIGNED: | 10/21/2016 |
| Total Attachments: 6 source=3531_1_001PCTUS_Executed_Assignment#page1.tif source=3531_1_001PCTUS_Executed_Assignment#page2.tif source=3531_1_001PCTUS_Executed_Assignment#page3.tif source=3531_1_001PCTUS_Executed_Assignment#page4.tif source=3531_1_001PCTUS_Executed_Assignment#page5.tif source=3531_1_001PCTUS_Executed_Assignment#page6.tif | |

ASSIGNMENT

WHEREAS WE Simon Fraser HUNT a British citizen of Sygnature Discovery Ltd, Biocity Nottingham, Pennyfoot Street, Nottingham, Nottinghamshire NG1 1GF, **Stuart Thomas ONIONS** a British citizen of Sygnature Discovery Ltd, Biocity Nottingham, Pennyfoot Street, Nottingham, Nottinghamshire NG1 1GF, **Vladimir SHERBUKHIN** a Russian citizen of Sygnature Discovery Ltd, Biocity Nottingham, Pennyfoot Street, Nottingham, Nottinghamshire NG1 1GF, **Euan Alexander Fraser FORDYCE** a British citizen of Sygnature Discovery Ltd, Biocity Nottingham, Pennyfoot Street, Nottingham, Nottinghamshire NG1 1GF, **Peter John MURRAY** a British citizen of Pulmocide Limited, Covington & Burling LLP, 265 Strand, London, WC2R 1BH, **Matthew Stephen COATES** a British citizen of Pulmocide Limited, Covington & Burling LLP, 265 Strand, London, WC2R 1BH, **Daniel William BROOKES** a British citizen of Pulmocide Limited, Covington & Burling LLP, 265 Strand, London, WC2R 1BH, **Kazuhiro ITO** a Japanese citizen of Pulmocide Limited, Covington & Burling LLP, 265 Strand, London, WC2R 1BH **AND Peter STRONG** a British citizen of Pulmocide Limited, Covington & Burling LLP, 265 Strand, London, WC2R 1BH (hereinafter called the "**Inventors**") have invented or discovered "**Novel 5,6-Dihydro-4H-benzo[b]thieno-[2,3-d]azepine Derivative**" (hereinafter called the "**Invention**") for which a PCT patent application was filed at the Intellectual Property Office in the United Kingdom as Receiving Office under number PCT/GB2015/052944 on 08th October 2015 claiming priority to European patent application 14188494.0 filed on 10th October 2014 (hereinafter called the "**Applications**");

WHEREAS Simon Fraser HUNT, Stuart Thomas ONIONS, Vladimir SHERBUKHIN and Euan Alexander Fraser FORDYCE were employed at the time of making of the **Invention** by Sygnature Discovery Ltd, Biocity Nottingham, Pennyfoot Street, Nottingham, Nottinghamshire NG1 1GF, United Kingdom (English company number 05210563) ("**Sygnature**") and whereas the invention was made in the course of employment under circumstances whereby an invention may reasonably be expected to result all rights in and to the invention are assigned to **Sygnature** by operation of law, specifically s39 of the Patents Act 1977, and moreover by virtue of a specific assignment clause in their contracts of employment;

WHEREAS Peter John MURRAY, Matthew Stephen COATES, Daniel William BROOKES, Kazuhiro ITO and Peter STRONG were employed at the time of making of the **Invention** by Pulmocide Limited, c/o Covington & Burling LLP, 265 Strand, London, WC2R 1BH, United Kingdom (English company number 06182024) ("**Pulmocide**") and whereas the invention was made in the course of employment under circumstances whereby an invention may reasonably be expected to result all rights in and to the invention are assigned to **Pulmocide** by operation of law, specifically s39 of the Patents Act 1977, and moreover by virtue of a specific assignment clause in their contracts of employment;

WHEREAS by virtue of an agreement between **Sygnature** and **Pulmocide** effective as of 10th October 2014 all rights in the **Invention** owned by **Sygnature** were transferred to **Pulmocide**;

WHEREAS the **Inventors** and **Sygnature** and **Pulmocide** have authorised and requested the filing of the **Applications**;

NOW, THEREFORE, to all whom it may concern be it known that for consideration of the amounts set out in the aforementioned agreements and the sum of £1 (one pound sterling) the sufficiency of which is hereby acknowledged, we the **Inventors** and **Sygnature** through its authorised official hereby confirm the ownership by **Pulmocide** of the **Invention** and the **Applications** and hereby assign and transfer to **Pulmocide** all ownership right, title and interest in and to the **Invention** and the **Applications** and to any priority rights for all territories of the world in respect of the **Invention** and the **Applications** and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension, or re-issue thereof, or Supplementary Protection Certificate based thereon, and we the **Inventors** and **Sygnature** through its authorised official hereby authorise and request any patent arising therefrom in any territory of the world be issued to **Pulmocide**;

AND we the **Inventors** for ourselves and our respective executors and legal representatives and **Sygnature** through its authorised official hereby agree to provide information and to make, execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more

effectually secure to and vest in **Pulmocide** their successors and assigns, the whole right, title and interest in and to the **Invention** and the **Applications**.

IN WITNESS whereof and with effect from 10th October 2014 the **Inventors**, **Sygnature** through its authorised official and **Pulmocide** through its authorised official have hereunto set their respective hands:

SIGNED by Simon Fraser HUNT:

SF HUNT

Date 4th December 2015

in the presence of:

McCarthy (Ciara McCarthy)

SIGNED by Stuart Thomas ONIONS:

St T

Date 4/12/15

in the presence of:

CL - LC (Claire-Lise Crane)

SIGNED by Vladimir SHERBUKHIN:

V. Sherbushin

Date 9/12/15

in the presence of:

St T (STUART ONIONS)

SIGNED by Euan Alexander Fraser FORDYCE:

Euan Fordyce

Date 4/12/15

in the presence of:

C. McCarthy (Ciara McCarthy)

SIGNED by Peter John MURRAY:

P.J. Murray

16th Dec 2015

Date

in the presence of:

C.J. Dollis

SIGNED by Matthew Stephen COATES:


MS Coates

Date 2/12/15

in the presence of:

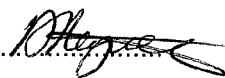
Amee Reynolds

SIGNED by Daniel William BROOKES:



Date. 02/12/2015

in the presence of:

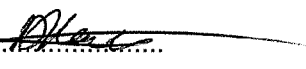
Amilee Reynolds 

SIGNED by Kazuhiro ITO:




Date. 02/12/2015

in the presence of:

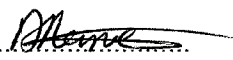
Amilee Reynolds 

SIGNED by Peter STRONG:



Date. 02/12/2015

in the presence of:

Amilee Reynolds 

SIGNED by Signature:

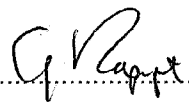

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Date..... 8th Dec 2015

Name: Dr Simon Hirst

Capacity: CEO

SIGNED by Pulmocide:


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Date..... 2nd Nov 2015

Name: Dr Garth Rapeport

Capacity: CEO