

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4113761

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
INTERCELL AG	08/31/2013
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	VALNEVA AUSTRIA GMBH
<b>Street Address:</b>	CAMPUS VIENNA BIOCENTER 3
<b>City:</b>	VIENNA
<b>State/Country:</b>	AUSTRIA
<b>Postal Code:</b>	1030
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15267372
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	617.646.8000
<b>Email:</b>	janet.oconnor@wolfgreenfield.com
<b>Correspondent Name:</b>	WOLF GREENFIELD
<b>Address Line 1:</b>	600 ATLANTIC AVENUE
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02210
<b>ATTORNEY DOCKET NUMBER:</b>	I0422.70092US05
<b>NAME OF SUBMITTER:</b>	JOHN R. VAN AMSTERDAM
<b>SIGNATURE:</b>	/John R. Van Amsterdam/
<b>DATE SIGNED:</b>	10/26/2016
<b>Total Attachments: 5</b>	
source=I042290000US-3NAME CHANGE#page1.tif	
source=I042290000US-3NAME CHANGE#page2.tif	
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Effective date 08/31/2013

Extract with current data

Company number 389960 x

The basis for this extract is the general register supplemented with data from the official document collection.

The last entry was on 08/31/2013 with the entry number 7.

The court having jurisdiction is the Commercial Court of Vienna.

## COMPANY

7 Valneva Austria GmbH

## LEGAL FORM

7 Limited liability company

## COMPANY SEAT IN

1 Political municipality of Vienna

## BUSINESS ADDRESS

1 Campus Vienna Biocenter 3  
1030 Vienna

## CAPITAL

1 EUR 70,000.00

## EFFECTIVE DATE FOR THE ANNUAL FINANCIAL STATEMENT

1 31<sup>st</sup> of DecemberANNUAL FINANCIAL STATEMENT (last entered; for further entries, see history)

4 as of 12/31/2012, submitted on 05/23/2013.

## AUTHORITY TO REPRESENT

7 If only one managing director is appointed, the company is solely represented by this managing director.  
If multiple managing directors are appointed, their authority to represent the company is determined with a shareholder's resolution or by resolution of the general shareholders' meeting.

7 General shareholders' meeting resolution of 08/13/2013 003

Restructuring of  
INTERCELL Austria AG  
(company no. 389960 x)  
into a GmbH according to §§ 239 et seq. Stock Corporation Act [Aktiengesetz, "AktG"]

7 Shareholders' agreement of 08/13/2013 004

## MANAGING DIRECTORS (under commercial law)

C Thomas Lingelbach, Graduate Engineer, born on 09/10/1963  
7 Representing since 08/31/2013 together with an additional managing director or an authorized signatory.

D Dr. Reinhard Kandra, born on 06/19/1969  
7 Representing since 08/31/2013 together with an additional managing director or an authorized signatory.

H Franck Grimaud, born on 04/11/1966  
7 Representing since 08/31/2013 together with an additional managing director or an authorized signatory.

Commercial Register &gt;&gt; extract

## AUTHORIZED SIGNATORY

2 A Olivier Philipp Jankowitsch, Graduate Engineer, born on 08/30/1977  
Representing since 08/13/2013 together with an additional managing director.

	SHAREHOLDER	INITIAL CONTRIBUTION	PAID IN
7	M Valneva SE		
7	.....	EUR 70,000.00	
7	.....		EUR 70,000.00
	Totals:	EUR 70,000.00	EUR 70,000.00

## --- INDIVIDUALS -----

1 A Olivier Philipp Jankowitsch, Graduate Engineer, born on 08/30/1977  
1 Campus Vienna Biocenter 3  
1030 Vienna

7 C Thomas Lingelbach, Graduate Engineer, born on 09/10/1963  
1 Campus Vienna Biocenter 3  
1030 Vienna

1 D Dr. Reinhard Kandra, born on 06/19/1969  
1 Campus Vienna Biocenter 3  
1030 Vienna

5 H Franck Grimaud, born on 04/11/1966  
5 Campus Vienna Biocenter 3  
1030 Vienna

6 M Valneva SE  
6 (Registre du Commerce et des Societes de Lyon,  
422497560); company seat in Lyon  
Soceitas Europaea  
6 70 rue Saint Jean de Dieu  
FRA-69007 Lyon

## -----RECORDING SUMMARY-----

Commercial Court of Vienna

1	entered on 12/20/2012	Transaction case no.	73 Fr 22891/12 b
	Application for new registration of a company received on 12/18/2012		
4	entered on 05/28/2013	Transaction case no.	73 Fr 6289/13 x
	Electronic submission of annual financial statement received on 05/23/2013		
5	entered on 06/05/2013	Transaction case no.	73 Fr 6526/13 w
	Application for change in record received on 05/29/2013		
6	entered on 07/16/2013	Transaction case no.	73 Fr 9370/ 13 b
	Application for change in record received on 07/12/2013		
7	entered on 08/31/2013	Transaction case no.	73 Fr 11107/13 g
	Application for change in record received on 08/19/2013		

## ----- INFORMATION WITH THE AUSTRIAN CENTRAL BANK -----

(Oesterreichische Nationalbank)

Valid identification number as of 08/31/2013: 16281519

Prepared via the clearing center MANZ \*\*\*\*\* HA021  
Court's fee: EUR 3.2 \*\*\*\*\* 08/31/2013 04:53:56, p.m., 991 58745467 \*\* LINES: 73

Commercial Register

Retrieved on 08/31/2013, at 04:53:56 p.m. CET



September 11, 2013

**Certification**

**Park IP Translations**

This is to certify that the attached translation is, to the best of my knowledge and belief, a true and accurate translation from German into English of the Commercial Register dated August 31<sup>st</sup>, 2013 with company number 389960 x.

A handwritten signature in cursive script, appearing to read 'Abraham I. Holczer', is written above a horizontal dotted line.

Abraham I. Holczer

Project Manager

Park Case # VAAU\_1309\_001

15 W. 37<sup>th</sup> Street 8<sup>th</sup> Floor • New York, N.Y. 10018

Phone: 212-581-8870 • Fax: 212-581-5577

**PATENT**

**REEL: 040133 FRAME: 0031**



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Stichtag 31.8.2013

Auszug mit aktuellen Daten

FN 389960 x

Grundlage dieses Auszuges ist das Hauptbuch ergänzt um Daten aus der Urkundensammlung.

Letzte Eintragung am 31.08.2013 mit der Eintragsnummer 7  
zuständiges Gericht Handelsgericht Wien

FIRMA  
7 Valneva Austria GmbH

RECHTSFORM  
7 Gesellschaft mit beschränkter Haftung

SITZ in  
1 politischer Gemeinde Wien

GESCHÄFTSANSCHRIFT  
1 Campus Vienna Biocenter 3  
1030 Wien

KAPITAL  
1 EUR 70.000

STICHTAG für JAHRESABSCHLUSS  
1 31. Dezember

JAHRESABSCHLUSS (zuletzt eingetragen; weitere siehe Historie)  
4 zum 31.12.2012 eingereicht am 23.05.2013

VERTRETUNGSBEFUGNIS  
7 Die Gesellschaft wird, wenn nur ein Geschäftsführer bestellt ist, durch diesen selbständig vertreten.  
Mit Gesellschafterbeschluss oder durch Beschluss der Generalversammlung wird, wenn mehrere Geschäftsführer bestellt sind, deren Vertretungsbefugnis bestimmt.

7 Hauptversammlungsbeschluss vom 13.08.2013 003  
Umwandlung der  
INTERCELL Austria AG  
(FN 389960 x)  
in eine GmbH gemäß §§ 239 ff AktG.

7 Gesellschaftsvertrag vom 13.08.2013 004

GESCHÄFTSFÜHRER/IN (handelsrechtlich)  
7 C Dipl.-Ing. Thomas Lingelbach, geb. 10.09.1963  
vertritt seit 31.08.2013 gemeinsam mit  
einem weiteren Geschäftsführer oder einem Prokuristen  
7 D DDr. Reinhard Kandra, geb. 19.06.1969  
vertritt seit 31.08.2013 gemeinsam mit  
einem weiteren Geschäftsführer oder einem Prokuristen  
7 H Franck Grimaud, geb. 11.04.1966  
vertritt seit 31.08.2013 gemeinsam mit  
einem weiteren Geschäftsführer oder einem Prokuristen

PATENT

REEL: 040133 FRAME: 0032

