

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4120476

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
XUE DONG GAO	10/23/2016
KARL A. NIELSEN	08/15/2016
YAO PENG	10/23/2016
BRIAN A. RINALDI	08/15/2016
TANG REN YAO	08/18/2016
RECEIVING PARTY DATA	
Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Street Address:	NEW ORCHARD ROAD
City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15338642
CORRESPONDENCE DATA	
Fax Number:	(507)253-5334
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	507-253-5514
Email:	rocdrcrtr@us.ibm.com
Correspondent Name:	IBM CORP-ROCHESTER DRAFTING CENTER
Address Line 1:	650 HARRY ROAD
Address Line 2:	L2PA/J2C
Address Line 4:	SAN JOSE, CALIFORNIA 95120
ATTORNEY DOCKET NUMBER:	CN920150245US1
NAME OF SUBMITTER:	MICHELLE SCHUSTER
SIGNATURE:	/Michelle Schuster/
DATE SIGNED:	10/31/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 10

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**DECLARATION (37 C.F.R. § 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. § 1.76) AND ASSIGNMENT**

Title of Invention: **TRANSFERRING DATA BETWEEN BLOCK AND FILE STORAGE
SYSTEMS**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

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I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: Xue Dong Gao

Signature: Gao Xue Dong Date: 2016.10.23

(2) Legal Name of Inventor: Karl A. Nielsen

Signature: _____ Date: _____

(3) Legal Name of Inventor: Yao Peng

Signature: _____ Date: _____

(4) Legal Name of Inventor: Brian A. Rinaldi

Signature: _____ Date: _____

(5) Legal Name of Inventor: Tang Ren Yao

Signature: _____ Date: _____

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(1) Legal Name of Inventor: Xue Dong Gao

Signature: _____ Date: _____

(2) Legal Name of Inventor: Karl A. Nielsen

Signature: Karl A. Nielsen Date: 15 August 2016

(3) Legal Name of Inventor: Yao Peng

Signature: _____ Date: _____

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Signature: _____ Date: _____

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Signature: _____ Date: _____

(2) Legal Name of Inventor: **Karl A. Nielsen**

Signature: _____ Date: _____

(3) Legal Name of Inventor: **Yao Peng**

Signature: Yao Peng Date: 2016.10.23

(4) Legal Name of Inventor: **Brian A. Rinaldi**

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Signature: _____ Date: _____

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Signature: Brian A. Rinaldi Date: 8/15/2016

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Signature: _____

Date: _____

(5) Legal Name of Inventor: **Tang Ren Yao**

Signature: *Tang Ren Yao*

Date: *2016.8.18*