#### 504075072 10/31/2016

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4121739

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
KLAUS WEHLER	10/17/2016
GUIDO SCHÄFFNER	10/17/2016

#### **RECEIVING PARTY DATA**

Name:	MTU FRIEDRICHSHAFEN GMBH
Street Address:	MAYBACHPLATZ 1
City:	88045 FRIEDRICHSHAFEN
State/Country:	GERMANY

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15303360

#### **CORRESPONDENCE DATA**

**Fax Number:** (212)661-8002

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2126618000

Email: INFO@LMIPLAW.COM
Correspondent Name: LUCAS & MERCANTI, LLP

Address Line 1: 30 BROAD STREET, 21ST FLOOR Address Line 4: NEW YORK, NEW YORK 10004

ATTORNEY DOCKET NUMBER:	11610-118
NAME OF SUBMITTER:	KLAUS P. STOFFEL
SIGNATURE:	/Klaus P. Stoffel/
DATE SIGNED:	10/31/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).

#### **Total Attachments: 2**

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PATENT 504075072 REEL: 040178 FRAME: 0940

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

TITLE OF INVENTION	MODULAR EXHAUST GAS TREATMENT DEVICE FOR AN EXHAUST GAS SYSTEM OF AN INTERNAL COMBUSTION ENGINE		
As the below named in	oventor, I hereby declare that:		
This declaration is dire	ected to:		
☐ The attached applic			
Onlied States appr	cation or PCT international application number PCT/EP2015/000509 filed or	n March 6, 2015.	
The above-identified a	pplication was made or authorized to be made by me.		
I believe that I am the	original inventor or an original joint inventor of a claimed invention in the a	oplication.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.			
WHEREAS, I, the below-identified Inventor, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter "Invention");			
WHEREAS, MTU FRIEDRICHSHAFEN GMBH (hereinafter "Assignee") having place of business at: Maybachplatz 1 88045 Friedrichshafen, Germany, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States or its territorial possessions and in any and all foreign countries;			
NOW, THEREFO sufficiency of which a	RE, good and valuable consideration having been paid to each of the unders re hereby acknowledged;	igned, the receipt and	
I hereby assign, sell and transfer my above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all reissues and reexaminations thereof, which may be granted or have granted for said Invention, and in and to any and all priority rights. Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;			
And I hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;			
And I further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its successors and designees may from time to time require and prepare at its own expense.			
The undersigned hereby grants the firm of LUCAS & MERCANTI, LLP, located at 30 Broad Street, New York, New York, 10004, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.			
LEGAL NAME OF INVENTOR	Inventor: Klaus WEHLER Signature:	Date: 12, 10, 2016	

LUCAS & MERCANTI, LLP 30 BROAD STREET, 21<sup>ST</sup> FLOOR NEW YORK, NY 10004

> PATENT REEL: 040178 FRAME: 0941

Docket No. 11610-118

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

TITLE OF INVENTION	MODULAR EXHAUST GAS TREATMENT DEVICE FOR AN EXHAUST GAS SYSTEM OF AN INTERNAL COMBUSTION ENGINE	
As the below named inventor, I hereby declare that:		
This declaration is dir	ected to:	
☐ The attached applic ☑ United States appl	cation, or ication or PCT international application number <a href="PCT/EP2015/000509">PCT/EP2015/000509</a> filed of	on <u>March 6, 2015</u> .
The above-identified a	application was made or authorized to be made by me.	
I believe that I am the	original inventor or an original joint inventor of a claimed invention in the a	pplication.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.		
WHEREAS, I, the below-identified Inventor, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter "Invention");		
88045 Friedrichshafer	J FRIEDRICHSHAFEN GMBH (hereinafter "Assignee") having place of but, Germany, is desirous of acquiring the entire right, title and interest in and that may be granted therefore in the United States or its territorial possessions.	o said invention and in and
NOW, THEREFORE, good and valuable consideration having been paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged;		
I hereby assign, sell and transfer my above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all reissues and reexaminations thereof, which may be granted or have granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee:		
And I hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;		
And I further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its successors and designees may from time to time require and prepare at its own expense.		
York, 10004, the power	nereby grants the firm of LUCAS & MERCANTI, LLP, located at 30 Broader to insert on this assignment any further identification which may be necess of the United States Patent Office for recordation of this document.	Street, New York, New sary or desirable in order to
	Inventor: Guido SCHÄEENER	
LEGAL NAME OF INVENTOR	Signature:	Date: 47, 10, 16

EUCAS & MERCANTI, LLP 30 BROAD STREET, 21<sup>ST</sup> FLOOR NEW YORK, NY 10004

**RECORDED: 10/31/2016** 

PATENT REEL: 040178 FRAME: 0942