

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4125921

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	Luca DI MARE	10/06/2016
	Max E. RIFE	09/27/2016
	Fernando BARBAROSSA	09/27/2016
RECEIVING PARTY DATA		
Name:	Rolls-Royce Plc	
Street Address:	62 Buckingham Gate	
City:	London	
State/Country:	Great Britain	
Postal Code:	SW1E 6AT	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	15341970	
CORRESPONDENCE DATA		
Fax Number:	(703)836-2787	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	703-836-6400	
Email:	email@oliff.com	
Correspondent Name:	JAMES A. OLIFF	
Address Line 1:	OLIFF PLC	
Address Line 2:	P.O. BOX 320850	
Address Line 4:	ALEXANDRIA, VIRGINIA 22320-4850	
ATTORNEY DOCKET NUMBER:	173720	
NAME OF SUBMITTER:	CYNTHIA KLINE	
SIGNATURE:	/Cynthia Kline/	
DATE SIGNED:	11/02/2016	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 6		
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PATENT

REEL: 040202 FRAME: 0224

**ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))
For Application with Filing Date on or after September 16, 2012**

ASSIGNMENT

(1-8) **Insert Legal Name(s) of Inventor(s)** dI MARE, Luca; RIFE, Max E; BARBAROSSA, Fernando

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9) **Insert Name of Assignee** ROLLS-ROYCE plc

(10) **Insert Address of Assignee** 62 Buckingham Gate, London, SW1E 6AT, Great Britain

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, international, confirmation, substitute and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) **Insert Identification such as Title, Case Number, or Foreign Application Number** (11) Gas Turbine Engine
5989 SEV
(Attorney Docket No. 173720)

(12) **Alternative Identification for filed applications** (12) U.S. Application Number 15/341,970
filed November 2, 2016

- 1) Each undersigned agrees to execute all papers necessary in connection with any application and/or patent for the invention and also to execute separate assignments in connection with such applications and patents as the Assignee may deem necessary.
- 2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or patents for the invention and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.
- 5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office and other issuing authorities to issue any and all patents resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement(s) in conflict herewith, and agrees that this assignment is binding on Assignor and Assignor's heirs, successors, assigns and legal representatives.
- 6) Each undersigned hereby grants the firm of **Oliff plc** the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

(13) Declaration

As an above named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Inventor

(Print name) Luca di Mare

Date 6 October 2016

Inventor Signature



(SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date 6 October 2016

Witness



Dr. T.O. Jelly, Cambridge University

Date 6 October 2016

Witness



Dr. M. Chilla, Cambridge University

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PATENT

REEL: 040202 FRAME: 0227

(13) Declaration

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I believe I am the original inventor or an original joint inventor of a claimed invention (or claimed design) in the above-identified application for which this declaration is being submitted.

The application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified application, including the claims, and am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Inventor MAX E. RIFE

(Print name)

Date 27-SEPTEMBER-2016

Inventor Signature

Max Rife

(SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date 27-SEPTEMBER-2016

Witness

AHMAD NAWAB A

Date 27-SEPTEMBER-2016 Witness

GAVIN W

ASSIGNMENT (BEING FILED FOR DUAL PURPOSE UNDER 37 CFR 1.63(e))

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Inventor FERNANDO BARBOSA

(Print name)

Date 27/SEPTEMBER/2016 Inventor Signature [Signature] (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date 27/SEPTEMBER/2016 Witness MAURO CARNEIRO

Date 27/SEPTEMBER/2016 Witness AHMAD NAWAB