

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT4079617

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|---|------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT         |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT             |
| <b>CONVEYING PARTY DATA</b>   |                        |
| <b>Name</b>   | <b>Execution Date</b>  |
| HUBERT STURM  | 03/19/2015             |
| JOSEF WIESER  | 03/19/2015             |
| <b>RECEIVING PARTY DATA</b>   |                        |
| <b>Name:</b>  | SANDOZ AG              |
| <b>Street Address:</b>  | LICHTSTRASSE 35        |
| <b>City:</b>  | BASEL                  |
| <b>State/Country:</b>   | SWITZERLAND            |
| <b>Postal Code:</b>   | 4056                   |
| <b>PROPERTY NUMBERS Total: 1</b>  |                        |
| <b>Property Type</b>  | <b>Number</b>          |
| <b>Application Number:</b>  | 14436493               |
| <b>CORRESPONDENCE DATA</b>  |                        |
| <b>Fax Number:</b>  |                        |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                        |
| <b>Email:</b>   | melcher@mdslaw.com     |
| <b>Correspondent Name:</b>  | MANELLI SELTER PLLC    |
| <b>Address Line 1:</b>  | 1725 I STREET, NW      |
| <b>Address Line 2:</b>  | SUITE 300              |
| <b>Address Line 4:</b>  | WASHINGTON, D.C. 20006 |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 95664                  |
| <b>NAME OF SUBMITTER:</b>   | JEFFREY S. MELCHER     |
| <b>SIGNATURE:</b>   | /Jeffrey S. Melcher/   |
| <b>DATE SIGNED:</b>   | 10/03/2016             |
| <b>Total Attachments: 4</b>   |                        |
| source=Signed-Assignment-95664-U282US#page1.tif   |                        |
| source=Signed-Assignment-95664-U282US#page2.tif   |                        |
| source=Signed-Assignment-95664-U282US#page3.tif   |                        |
| source=Signed-Assignment-95664-U282US#page4.tif   |                        |

Please return signed/recorded to:  
Manelli Selter P.L.L.C.  
2000 M Street, N.W. 7<sup>th</sup> Floor  
Washington, D.C. 20036-3307

Atty Dkt. 95664-U262EUS

**ASSIGNMENT**

WHEREAS, the undersigned, to wit:

- 1) STURM, Hubert
- 2) WIESER, Josef

(hereinafter collectively ASSIGNOR), has/have made an invention entitled: "NOVEL PROCESS FOR PREPARING CEFTAROLINE FOSAMIL" for which an international PCT application has been filed in the European receiving office on 27 September 2013 as International Application No. PCT/EP2013/070200, which claims priority to European Patent Application No. 12189300.2, filed 19 October 2012; and a U.S. National Stage PCT Application was filed in the U.S. Patent Office as U.S. Patent Application Serial No. 14/436,493 on 17 April 2015.

AND WHEREAS Sandoz AG (hereinafter ASSIGNEE), a corporation organized and existing under the laws of Switzerland and having its principal office and place of business at Lichtstrasse 35, 4056 Basel, Switzerland, desires to acquire the complete interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid international application together with the unrestricted right to claim the priority to European Patent Application No. 12189300.2, filed 19 October 2012, and to the said application and to all national patent application filings, continuations, divisions, reissues and substitutes of said international application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue a Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives and hereby authorize(s) Manelli Denison & Selter, PLLC, of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE;

AND WHEREAS this ASSIGNMENT is governed by U.S. law.

ASSIGNOR executed this Assignment on the date(s) below indicated.

Signature

Date Signed

Witness

1) 

19 Nov. 2015



Name: STURM, Hubert

Name: STURM, HUBERT

2) \_\_\_\_\_

Name: WIESER, Josef

Name: \_\_\_\_\_

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Please return signed/recorded to:  
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2000 M Street, N.W. 7<sup>th</sup> Floor  
Washington, D.C. 20036-3307

Atty Dkt. 95664-U2825US

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- 2) WIESER, Josef

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AND WHEREAS this ASSIGNMENT is governed by U.S. law.

ASSIGNOR executed this Assignment on the date(s) below indicated.

| <u>Signature</u>                              | <u>Date Signed</u>    | <u>Witness</u>               |
|---|-----------------------|------------------------------|
| 1) _____<br>Name: STURM, Hubert               | _____                 | _____<br>Name:               |
| 2) <u>Josef Wieser</u><br>Name: WIESER, Josef | <u>March 19, 2015</u> | <u>LOACKER NINA</u><br>Name: |

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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT**

**RECORDED: 10/03/2016**

**REEL: 040205 FRAME: 0646**