

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4126221

|                                                                                                                                                                                                 |                              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT               |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | ASSIGNMENT                   |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                              |
| <b>Name</b>                                                                                                                                                                                     | <b>Execution Date</b>        |
| HOLGER HACKSTEIN                                                                                                                                                                                | 10/24/2016                   |
| HANS WEBER                                                                                                                                                                                      | 10/16/2016                   |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                              |
| <b>Name:</b>                                                                                                                                                                                    | SIEMENS AKTIENGESELLSCHAFT   |
| <b>Street Address:</b>                                                                                                                                                                          | WITTELSBACHERPLATZ 2         |
| <b>City:</b>                                                                                                                                                                                    | MUNICH                       |
| <b>State/Country:</b>                                                                                                                                                                           | GERMANY                      |
| <b>Postal Code:</b>                                                                                                                                                                             | 80333                        |
| <b>PROPERTY NUMBERS Total: 1</b>                                                                                                                                                                |                              |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>                |
| <b>Application Number:</b>                                                                                                                                                                      | 15303243                     |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                              |
| <b>Fax Number:</b>                                                                                                                                                                              | (407)243-3175                |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                              |
| <b>Phone:</b>                                                                                                                                                                                   | 4077362472                   |
| <b>Email:</b>                                                                                                                                                                                   | IPDADMIN.US@SIEMENS.COM      |
| <b>Correspondent Name:</b>                                                                                                                                                                      | SIEMENS CORPORATION          |
| <b>Address Line 1:</b>                                                                                                                                                                          | 3501 QUADRANGLE BLVD STE 230 |
| <b>Address Line 2:</b>                                                                                                                                                                          | STE 230 IPD                  |
| <b>Address Line 4:</b>                                                                                                                                                                          | ORLANDO, FLORIDA 32817       |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | 2014P03021WOUS               |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | TRACEY DANIEL                |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /TRACEY DANIEL/              |
| <b>DATE SIGNED:</b>                                                                                                                                                                             | 11/03/2016                   |
| <b>Total Attachments: 4</b>                                                                                                                                                                     |                              |
| source=Assignment_SAG#page1.tif                                                                                                                                                                 |                              |
| source=Assignment_SAG#page2.tif                                                                                                                                                                 |                              |
| source=Assignment_SAG#page3.tif                                                                                                                                                                 |                              |
| source=Assignment_SAG#page4.tif                                                                                                                                                                 |                              |

## ASSIGNMENT

For good and valuable consideration, I (We),

**Holger Hackstein**, residing at Fichtenweg 10, Dietzenbach, 63128, DE, citizen of DE

**Hans Weber**, residing at Am Wall 42, Dieburg, 64807, DE, citizen of DE

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **Siemens Aktiengesellschaft**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Wittelsbacherplatz 2, München, 80333, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: **TRANSFERRING FAILURE SAMPLES USING  
CONDITIONAL MODELS FOR MACHINE CONDITION MONITORING**

Filing Date: **16 Apr 2014**



Application No: **PCT/US2014/034305**

Internal Case Number(s): **2014P03021WOUS**

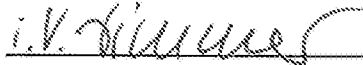
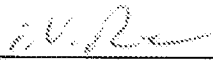
and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

|                                                                                                                 |                                                                                                                  |
|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| <br>_____<br>Witness Signature | <br>_____<br>Holger Hackstein |
| <u>Sandra Zether</u><br>printed name                                                                            | <u>24.10.2016</u><br>Date                                                                                        |
| <hr/>                                                                                                           |                                                                                                                  |
| _____<br>Witness Signature                                                                                      | _____<br>Hans Weber                                                                                              |
| _____<br>printed name                                                                                           | _____<br>Date                                                                                                    |
| <hr/>                                                                                                           |                                                                                                                  |

Companies Representative(s)  
Siemens Aktiengesellschaft

Signature(s):    
Name(s): Wimmer Renner  
Title: both authorized officers  
Date: November 2, 2016

## ASSIGNMENT

For good and valuable consideration, I (We),

**Holger Hackstein**, residing at Fichtenweg 10, Dietzenbach, 63128, DE, citizen of DE

**Hans Weber**, residing at Am Wall 42, Dieburg, 64807, DE, citizen of DE

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

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and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

|                                                                    |                                                 |
|--------------------------------------------------------------------|-------------------------------------------------|
| _____<br>Witness Signature                                         | _____<br>Holger Hackstein                       |
| _____<br>printed name                                              | _____<br>Date                                   |
| <hr/>                                                              |                                                 |
| _____<br><i>Hans Weber</i><br>Witness Signature<br><i>inventor</i> | _____<br><i>Hans Weber</i><br>Witness Signature |
| _____<br><i>Hans Weber</i><br>printed name                         | _____<br><i>16.10.2016</i><br>Date              |

Companies Representative(s)  
Siemens Aktiengesellschaft

Signature(s): *H. Wimmer* *H. Renner*

Name(s): Wimmer Renner

Title: both authorized officers

Date: November 2, 2016