504033635 10/03/2016

PATENT ASSIGNMENT COVER SHEET

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 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
XIAO-JING WANG	04/15/2013
YOSEF REFAELI	04/16/2013
QINGHONG ZHANG	04/15/2013

RECEIVING PARTY DATA

Name:	THE REGENTS OF THE UNIVERSITY OF COLORADO, A BODY CORPORATE		
Street Address:	1800 GRANT STREET		
Internal Address:	8TH FLOOR		
City:	DENVER		
State/Country:	COLORADO		
Postal Code:	80203		

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15282022

CORRESPONDENCE DATA

Fax Number: (608)258-4258

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: ipdocketing@foley.com, jcormier@foley.com

Correspondent Name: LISAMARIE COLLINS

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Address Line 2: FOLEY & LARDNER LLP
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ATTORNEY DOCKET NUMBER: 108921-0127

NAME OF SUBMITTER: LISAMARIE A. COLLINS

SIGNATURE: /Lisamarie Collins/

DATE SIGNED: 10/03/2016

Total Attachments: 3

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> PATENT REEL: 040208 FRAME: 0589

<u>ASSIGNMENT</u>

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Regents of the University of Colorado, a body corporate (the "Assignee"), having a place of business at 1800 Grant Street, 8th Floor, Denver, CO 80203, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 13/822,173, which has been filed on March 11, 2013 and is entitled "THERAPEUTIC APPLICATIONS OF SMAD7," which is a national phase application under 35 U.S.C. § of International Application No. PCT/US2011/052499, filed on September 21, 2011 which claims priority to U.S. Provisional Application No. 61/385,445, filed September 22, 2010 such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

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USSN 13/822,173

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature: Date: 4/5/13 Name: Xiao-jing WANG	***************************************
STATE OF CAMADO COUNTY OF Adams	
BEFORE ME, the undersigned authority, on this 15th day of April personally appeared Xiao-jing WANG, known to me to be the person whose name is su to the foregoing instrument and acknowledged to me that he she executed the same of own free will for the purposes and consideration therein expressed.	, 2013 bscribed f his/her
PAMELA SARI Notary Consular Officer	
SEAL) STARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY PUBLIC NOTARY DISCOGNADO NOTAR	
Signature: 104 Li Date: 4/16/17 Name/York REF/ELI	
STATE OF CALVADO COUNTY OF AAAKO	
BEFORE ME, the undersigned authority, on this 16th day of A11 personally appeared Yosef REFAELI, known to me to be the person whose name is su to the foregoing instrument and acknowledged to me that he/she executed the same of own free will for the purposes and consideration therein expressed.	1000011000
ISEALL PANELA GABL NOTARY PUBLIC	\$. \$.
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Signat	ure: <u> </u>	ZASSA ZASA	Date: 4/1	5/13
STATI COUN	EOF Colwado ITY OF AMERICA			
subscr	ally appeared Qing ibed to the foregoing	e undersigned authority, or ghong ZHANG, known g instrument and acknowle ne purposes and considerati	to me_to-he the per dged to me that he/she	son whose name is

ASEA PAMELA GARL

NOTARY PUBLIC

STATE DE COLORADO

NOYARY ID 8 20054304533

MY COMMISSION EXPIRIS FEBRUARY DA 2017

Notary or Consular Officer

PARKER HIGHLANDER PLLC 1020 S. Capital of Texas Highway Building 1, Suite 200 Austin, TX 78746

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RECORDED: 10/03/2016