

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4127788

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SUNG JONG HONG	10/18/2016
DOO HYUK KIM	01/08/2014
IN SUN HWANG	10/18/2016
KYUNG HWAN MOON	10/18/2016
JUNG MUN LIM	10/18/2016
RECEIVING PARTY DATA	
Name:	NAMYANG IND. CO., LTD
Street Address:	150, MONGNAE-RO, DANWON-GU, ANSAN-SI
City:	GYEONGGI-DO
State/Country:	KOREA, REPUBLIC OF
Postal Code:	425-100
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15307605
CORRESPONDENCE DATA	
Fax Number:	(703)935-8473
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	571-313-7568
Email:	mail@paratus-law.com
Correspondent Name:	PARATUS LAW GROUP
Address Line 1:	620 HERNDON PARKWAY SUITE 320
Address Line 4:	HERNDON, VIRGINIA 20170
ATTORNEY DOCKET NUMBER:	669-0010
NAME OF SUBMITTER:	LENNY R. JIANG
SIGNATURE:	/Lenny R. Jiang/
DATE SIGNED:	11/03/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 19	

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of Invention	STEERING COLUMN
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As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐ The attached application, or

☒ United States application or PCT international
Application number PCT/KR2015/004275 filed on April 28, 2015.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, NAMYANG IND. CO., LTD, with offices at 150, Mongnae-ro, Danwon-gu, Ansan-si, Gyeonggi-do 425-100, Republic of Korea (hereinafter referred to as ASSIGNEE), is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

Paratus Law Group, PLLC
620 Herndon Parkway, Suite 320
Herndon, VA 20170
Tel: (571) 313-7556 | Fax: (703) 935-8473

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTORInventor: HONG, Sung JongDate: October 18, 2016Signature: HONG, S. J

Paratus Law Group, PLLC
626 Herndon Parkway, Suite 320
Herndon, VA 20170
Tel: (571) 313-7556 | Fax: (703) 935-8473

Doc code: Oath
Document Description: Oath or declaration filed

PTO/AIA/C2 (37-10)
Approved for use through 04/30/2017. OMB 0631-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	STEERING COLUMN		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>PCT/KR2015/004275</u> filed on <u>April 28, 2015</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
KIM, Doo Hyuk			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Gunpo-si, Gyeonggi-do		Republic of Korea	
Mailing Address (except for a deceased or legally incapacitated inventor):			
#410-602, 380, Beonyeong-ro			
City	State	Zip	Country
Gunpo-si, Gyeonggi-do		435-759	Republic of Korea
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only).			
<input type="checkbox"/> Assignee,			
<input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign.			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-4106 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,
☐ Inventor is under legal incapacity,
☒ Inventor cannot be found or reached after diligent effort, or
☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(t).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2036 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2036 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Jong Hak WOO** Date (Optional):
 Signature: *Woo Jong Hak*

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Applicant Name: **NAMYANG IND.CO.,LTD**
 Title of Person Executing This Substitute Statement: **General Manager of NAMYANG IND.CO.,LTD**

The signer whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City: **Ansan-si, Gyeonggi-do** State: Country: **Republic of Korea**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

150 Moknae-Ro, Danwon-gu

City: **Ansan-si, Gyeonggi-do** State: Zip: **15597** Country: **Republic of Korea**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(n).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 216(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

For the purpose of protecting valuable information assets of the Company, any and all employees including executives, directors, and staff members, etc. are requested to submit a declaration for a trade secret protection signed by each of them.

Before signing the declaration, please carefully read the contents thereof from the first page to the last page.

The signed declaration must be regularly submitted to the Company every year.

EMPLOYEE SECRECY DECLARATION

(FOR TRADE SECRET PROTECTION)

As an employee of Namyang Industry Co., Ltd. (hereinafter referred to as "the Company"), belonging to the R&D Team 1 and having the employee identification number of 2005021, with respect to protection of the trade secrets of the Company , I/the undersigned, Doo-Hyuk Kim, do hereby declare as follows:

Article 1 [Meaning of trade secrets, etc.]

I understand and acknowledge that the term "trade secrets, etc." used in this Declaration refers to the trade secrets of the Company (not known to the public and having independent economical values, including manufacturing methods, sales system, and any other technical and management information useful for business activities of the Company, that have kept confidential as a result of considerable efforts), and to the entire information associated with the matters defined below in Article 2.

Article 2 [Scope of trade secrets, etc.]

In addition to the trade secrets of the Company, I declare to protect the following information:

- (1) Matters pertaining to management of the Company including human resources affairs, organization, financial affairs, marketing, data processing, and various current states of affairs;
- (2) Matters pertaining to technologies associated with design methods, design drawings, manufacturing processes, manufacturing devices of the Company's products, or other product manufacture and repair, etc.;
- (3) Matters pertaining to research and development including R&D plans, work reports and daily records, experimental data, research result analysis data of the products, etc.;
- (4) Matters pertaining to the Company's plans including business plans, production plans, budgets, etc.;
- (5) Matters pertaining to other companies including joint businesses with other companies, business information and technologies, etc. of other companies.
- (6) Matters pertaining to personal information of executives and employees, customers, members of the Company, and employees of other companies, etc.
- (7) All the other matters associated with the Company, which I have been in charge of, or I have been known.

Article 3 [Prohibition of unfair use/divulgence/disclosure]

I will use any trade secret, known in the course of performing my work, or regardless of my work, only for my work, which shall not be used for any other purpose than the

purpose for performing my work, or not be divulged or disclosed to any third party inside and outside the Company in any way, without prior written consent or permission from the Company.

Article 4 [Prohibition of unauthorized reproduction/ leakage/storage]

With respect to any trade secrets known in the course of performing my work or regardless of my work, I will not ① reproduce any of them, by way of duplication, recording, photographing, downloading, storage, or any other way, ② leak any of them, regardless of whether it is an original or a duplicate, outside the Company, or ③ keep any of them, for any other purpose than the purpose of performing my work.

Article 5 [Observation of guidelines/policies]

I acknowledge that the Company has made considerable efforts to protect the Company's trade secrets, etc., and in this regard, I declare as follows:

- (1) I acknowledge the Company's regulations, guidelines and policies pertaining to security and will always observe them.
- (2) I agree to the Company's legal control policies and security measures in connection with communication networks such as telephone, Internet, e-mail, messenger, etc.
- (3) I will never use any storage devices such as personal PCs, notebook computers, USBs, or hard disks, etc., whose use is not authorized by the Company.
- (4) I will not access to, photograph or record any information or facility, etc. not authorized by the Company.
- (5) I will actively participate in all security tests or security education conducted by the Company periodically or occasionally and will be fully aware of them.

Article 6 [Prohibition of concurrent offices]

While I am working at the Company, I will not concurrently work for another Company engaged in the same or similar business(es) which any trade secret of the Company may be used, divulged or disclosed therein, as an executive or staff member, or not cooperate with the other Company as a counsel, an advisor, or any other method.

Article 7 [Return upon retirement]

Upon retirement, I will return to the Company all of charts, blueprints, specifications, memos, report, notes, magnetic tapes, disks, files, other recording media, etc., storing any of the trade secrets, etc. therein, that I have been maintained. After retirement, I will not hold any documents, Internet, storage devices, etc. in any form, regardless of whether it is an original or a duplicate.

Article 8 [Prohibition of unauthorized use/divulgence/ disclosure after retirement]

I will not use, divulge or disclose any of the Company's trade secrets, etc. to any third party even after retirement.

Article 9 [Prohibition of concurrent offices after retirement]

I will not establish any new company to manufacture any product(s) similar or identical to those currently produced by the Company when I retire from the Company, or find my employment in such a company, for three years after retirement, without any separate written consent from the Company, for protecting the Company's trade secrets, etc.

Article 10 [Other matters]

With respect to any other matters, I declare as follows:

- (1) I acknowledge that any and all rights to possess, use, make earnings, dispose and apply for or register patents in connection with any technologies and information invented, discovered, developed, designed, and conceived independently or jointly with others, regarding any task while I am working at the Company, and any other product(s) pertaining thereto, shall belong to the Company, and I will not use any of them for my interest or any third party's interest while I am working at the Company or even after retirement.
- (2) Upon request from the Company, I will directly draft any and all documents or materials required when the Company takes any legal protection measures of related rights including filing of an application for protecting any intellectual property right in connection with my work that I have performed, or transfer the concerned right to any other party, while I am working at the Company, and even after I retire, or I will support any and all drafting of the Company or the agent thereof.

Article 11 [Legal liability]

In breach of any matter described in this Declaration, I will bear any responsibility for disciplinary action based on the Company regulations, civil or criminal liabilities based on related acts and subordinate statutes including the Unfair Competition Prevention and Trade Secret Protection Act, any liability for damages due to any default on my obligation or any legal act under the Civil Law, penal responsibility due to dereliction of work under the Criminal Law, and any other civil and criminal liabilities, and I will compensate the Company for any and all damages incurred against the Company without hesitation.

I

I confirm that I have read the entire contents of the Declaration thoroughly before I sign the Declaration.

Dated this 8th day of January, 2014

Signed by: _____

Name in Full: Doo-Hyuk Kim

Position:

You are asked to describe any and all trade secrets pertaining to your work as specifically as possible. The head of the team to which you belong is asked to check any omissions before he/she signs this document. The below List of Trade Secrets pertaining to your work is drafted for the sake of improving security consciousness of our employees. Any matter not included in the List, if breached, is not excluded from the scope of the trade secrets of the Company defined in Articles 1 and 2 of the Declaration for protection of trade secrets attached hereto.

List of Trade Secrets Pertaining to Your Work

No.	Contents of Trade Secrets	Remarks
1	Drawings, BOM, specifications, quotations, development materials for discussion, APQP, research plans	
2	Materials pertaining to design change history, client technical specifications (ES, MS, KES, etc.)	
3	Benchmarking materials, intellectual property rights-related materials	
4	Standardizations of design and design sequencing materials	
5	Client collaboration process materials	
6	Excavation and development materials of ideas associated with new technologies or new methods of construction	
7	Materials for encouraging cost reduction, organization, quality and design improvement histories	
8	Cases of failure in design	
9	Components and products at development stage (before mass production)	
10	Any and all matters associated with the Company, that were in your charge or that you have known	

Dated this 8th day of January, 2014

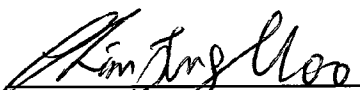
Signed by: _____
Name in Full: Doo-Hyuk Kim
Position:

I confirm that I have checked all the contents as described above.

Signed by: _____
Name in Full: Kwang-Mo Ku
Position: Team Head

CERTIFICATE OF TRANSLATION

I, Jung-Moo Kim at 2Fl. HJ building, 8, Nonhyeon-ro 101-gil, Gangnam-gu, Republic of Korea, hereby certify that I am competent in both Korean and English and I certify that the above English translation is an accurate translation of the attached Korean Document, EMPLOYEE SECRECY DECLARATION, executed by Mr. Doo-Hyuk Kim.

Signature:  Date: November 1, 2016

회사의 귀중한 정보자산을 보호하기 위하여 전 임직원을 대상으로 영업비밀보호 서약을 시행하고 있습니다.

반드시 전체 내용을 처음부터 끝까지 잘 읽어보신 후 서명하여 주시기 바랍니다.

본 서약은 매년 정기적으로 시행합니다.

영업비밀 보호 서약서 (직원用)

소 속: 연구개발1팀
사 번: 202502
성 명: 김지호

본인은 남양공업주식회사(이하 “회사”라 한다)의 임직원으로서 회사의 영업비밀 등의 보호에 관하여 다음과 같이 서약합니다.

제 1 조 【영업비밀 등의 의의】

본인은 본 서약서에서 “영업비밀 등”이란 회사의 영업비밀(공공연히 알려져 있지 아니하고 독립된 경제적 가치를 가지는 것으로서, 상당한 노력에 의하여 비밀로 유지된 생산방법, 판매방법, 그 밖에 영업활동에 유용한 회사의 기술상 또는 경영상의 정보) 및 제 2조에서 정한 사항과 관련된 일체의 정보를 말한다는 점을 이해하고 인지합니다.

제 2 조 【영업비밀 등의 범위】

본인은 회사의 영업비밀은 물론 다음의 정보를 보호할 것을 서약합니다.

- (1) 인사, 조직, 재무, 마케팅, 전산, 각종 현황 등 회사의 경영에 관한 사항
- (2) 제품의 설계방법, 설계도면, 제조공정, 제조장치, 기타 제품 제조나 생산 수리와 관련된 기술에 관한 사항
- (3) 제품의 연구개발(R&D) 계획, 작업보고서 및 일지, 실험데이터, 연구성과 분석자료 등 연구개발에 관한 사항
- (4) 사업계획, 생산계획, 예산 등 회사의 계획에 관한 사항
- (5) 타사와의 제휴사업, 타사의 사업정보, 타사의 기술 등 타사에 관한 사항
- (6) 회사의 임직원, 고객, 회원, 타사 직원 등의 개인정보에 관한 사항
- (7) 기타 본인이 담당했거나 지득하게 된 회사와 관련된 제반 사항

제 3 조 【부정사용 / 누설 / 공개 금지】

본인은 업무수행 중 또는 업무와 관계없이 지득하게 된 영업비밀 등을 본인의 업무에만 사용할 것이며, 회사의 사전 서면동의나 허가가 없는 한 업무목적 이외의 목적으로 사용하거나 어떠한 방법으로도 회사 내외의 제 3자에게 누설하거나 공개하지 않겠습니다.

제 4 조 【무단복제 / 유출 / 보관 금지】

본인은 업무수행 중 또는 업무와 관계없이 지득하게 된 영업비밀 등을 임무목적 이외의 목적으로 ① 복사·녹음·촬영·다운로드·저장 기타 방법 등에 의하여 복제하거나, ② 원본 또는 사본을 회사 밖으로 유출하거나, ③ 보관하는 행위를 일체 하지 않겠습니다.

제 5 조 【지침 / 정책 등의 준수】

본인은 회사의 영업비밀 등을 보호하기 위하여 회사가 상당한 노력을 기울이고 있음을 인지하고 있으며, 이와 관련하여 다음의 사항을 서약합니다.

- (1) 회사의 보안 관련 규정, 지침 및 정책을 인지하고 이를 항상 준수하겠습니다.
- (2) 전화, 인터넷, E-mail, 메신저 등 통신망에 관한 회사의 적법한 통제정책 및 보안조치에 동의합니다.
- (3) 회사의 승인을 받지 않은 개인 PC·노트북이나 USB·하드디스크 등 저장 장치를 일체 사용하지 않겠습니다.
- (4) 회사의 허가를 받지 않은 정보나 시설 등에는 접근하거나 촬영·녹음하지 않겠습니다.
- (5) 회사가 정기 또는 수시로 실시하는 각종 보안감사나 교육에 적극적으로 참여하고 내용을 숙지하겠습니다.

제 6 조 【점적 금지】

본인은 재직 중 회사의 영업비밀 등이 사용·누설·공개될 수 있는 동종·유사 업종의 타사 임직원을 점적하거나 자문·고문·기타의 방법으로 타사에 협력하지 않겠습니다.

제 7 조 【퇴직 시 반납 등】

본인은 퇴직 시 본인이 관리하고 있던 도표, 설계도, 명세서, 메모, 보고서, 노트, 자기데이터, 디스크, 파일, 기타 기록매체 등 영업비밀 등이 들어 있는 일체의 자료를 회사에 반납하고, 퇴직 후에도 서류·인터넷·저장장치 등 어떠한 형태의 원본 이나 사본도 보유하지 않겠습니다.

제 8 조 【퇴직 후 무단사용 / 누설 / 공개 금지】

본인은 퇴직 후에도 회사의 영업비밀 등을 사용하거나 제 3자에게 누설·공개하지 않겠습니다.

제 9 조 【퇴직 후 경업 금지】

본인은 회사의 영업비밀 등을 보호하기 위하여, 회사와의 별도의 서면합의가 없는 한 퇴직일로부터 3년 동안, 퇴직일 현재 회사가 생산하고 있는 제품과 동일하거나 유사한 제품을 생산하는 업체를 스스로 창업하거나, 이와 같은 업체에 취업하지 않겠습니다.

제 10 조 【기타】

본인은 기타 다음의 사항을 서약합니다.

- (1) 본인이 재직 중 업무와 관련하여 독자적으로 또는 타인과 공동으로 발명·발견·개발·설계·고안한 기술과 정보 기타 이에 준하는 산출물에 대한 소유·사용·수익·처분 및 특허 등의 출원·등록 등 일체의 권리는 회사에 있음을 인정하며, 재직 중 또는 퇴직 이후라도 본인 또는 제 3자의 이익을 위하여 이를 사용하지 않겠습니다.
- (2) 본인은 재직 중에는 물론이고 퇴직 후에도, 회사가 본인의 담당업무와 관련하여 지적재산권의 출원 등 관련 권리의 법적 보호조치를 취하거나 해당 권리를 타인에게 양도하는 경우, 회사의 요청에 따라 도면·명세서·확인서 등 그에 필요한 제반 문서나 서류를 직접 작성하거나, 회사 또는 그 대리인의 작성업무를 지원 하는 등 적극 협조하겠습니다.

제 11 조 【법적 책임】

본인은 위 각 서약사항의 위반 시, 사규에 의한 징계책임, 부정경쟁방지 및 영업비밀보호에 관한 법률 등 관련법령에 근거한 민·형사상 책임, 민법상 채무불이행 또는 불법행위로 인한 손해배상 책임, 형법상 업무상 배임 등으로 인한 형사 책임, 기타 제반 민·형사상 책임을 지는 것은 물론, 회사에 발생한 일체의 손해를 기체 없이 배상하겠습니다.

서명에 앞서 위 서약사항을 세심하게 읽어보았음을 확인합니다.

2014년 / 월 일

위 서약인

김주영

서약인은 본인의 업무와 관련된 영업비밀을 구체적으로 기술하여 주시기 바랍니다.
 소속 팀장은 기재된 내용 중 누락된 사항이 없는지 확인하신 후 서명하여 주시기 바랍니다.
 서약인 업무와 관련된 영업비밀 리스트는 회사 임직원의 보안의식 제고를 위하여 작성되는
 것이며 본 리스트에 기재되지 않았음을 이유로 영업비밀 보호 서약서 제 1조 및 제 2조에
 규정된 회사의 영업비밀 등의 범위에서 제외되는 것은 아닙니다.

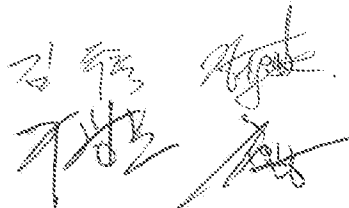
서약인 업무와 관련된 영업비밀 리스트

NO	영업비밀 내용	비 고
1	도면,BOM, 사양서, 견적, 개발토자료, APQP, 개발계획	
2	설계변경 이력관련자료, 고객 기술규격 (ES,MS,KES 등)	
3	벤치마킹자료, 지적재산권 관련자료	
4	설계표준화 및 설계수순서	
5	고객 협업진행 자료	
6	신기술 신공법 아이디어 발굴 및 개발자료	
7	원가절감 추진자료, 조직도, 품질 및 설계개선이력	
8	설계 실패사례	
9	개발단계 (양산 전)의 부품, 제품	
10	본인이 담당했거나 지목하게 된 회사와 관련된 제반 사항 전체	

2014년 / 월 8 일

위 서약인

확인자(팀장)


 김현

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE**

Title of Invention	STEERING COLUMN
<p>As the below named inventor, I hereby declare that:</p> <p>This declaration is directed to:</p> <p><input type="checkbox"/> The attached application, or</p> <p><input checked="" type="checkbox"/> United States application or PCT international Application number <u>PCT/KR2015/004275</u> filed on <u>April 28, 2015</u>.</p> <p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>WHEREAS, <u>NAMYANG IND. CO., LTD</u>, with offices at <u>150, Mongnae-ro, Danwon-gu, Ansan-si, Gyeonggi-do 425-100, Republic of Korea</u> (hereinafter referred to as ASSIGNEE), is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;</p> <p>NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;</p>	

Paratus Law Group, PLLC
620 Herndon Parkway, Suite 320
Herndon, VA 20170
Tel: (571) 313-7556 | Fax: (703) 935-8473

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as said ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTORInventor: Hwang, In SunDate: October 18, 2016Signature: 홍인선

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE**

Title of Invention	STEERING COLUMN
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As the below named inventor, I hereby declare that:

This declaration
is directed to:

☐ The attached application, or

☒ United States application or PCT international
Application number PCT/KR2015/004275 filed on April 28, 2015.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

WHEREAS, NAMYANG IND. CO., LTD, with offices at 150, Mongnac-ro, Danwon-gu, Ansan-si, Gyeonggi-do 425-100, Republic of Korea (hereinafter referred to as ASSIGNEE), is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I by these presents do hereby assign, sell and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

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LEGAL NAME OF INVENTOR

Inventor: MOON, Kyung Hwan

Date: October 18, 2016

Signature: 문경환

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
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Title of Invention	STEERING COLUMN
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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR

Inventor: LIM, Jung Mun

Date: 18 Oct. 2016

Signature: LIM JUNG MUN 임정문

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PATENT

REEL: 040216 FRAME: 0688