

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4130520

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
	Name	Execution Date	
	DAVID Y. LIN	10/20/2016	
	MING JIE TSAI	10/20/2016	
RECEIVING PARTY DATA			
Name:	ENERGY FOCUS, INC.		
Street Address:	32000 AURORA ROAD		
Internal Address:	SUITE B		
City:	OLON		
State/Country:	OHIO		
Postal Code:	44139		
PROPERTY NUMBERS Total: 1			
	Property Type	Number	
	Application Number:	29576367	
CORRESPONDENCE DATA			
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
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NAME OF SUBMITTER:	KEVIN M. GOODMAN		
SIGNATURE:	/Kevin M. Goodman/		
DATE SIGNED:	11/06/2016		
Total Attachments: 3			
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ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned ("Inventor(s)") who has/have created a certain invention for which a U.S. Patent Application has been

<input type="checkbox"/>
<input type="checkbox"/>
<input checked="" type="checkbox"/>

executed concurrently herewith

executed on

filed September 2, 2016, and assigned Application Serial No. 29/576367

and is entitled

LED ENDCAP

hereby sell, assign and transfer to

Energy Focus, Inc.
32000 Aurora Road - Suite B
Solon, Ohio 44139

Small entity

its successors, assigns, nominees or other legal representatives, the full, exclusive, entire worldwide rights, title and interest in, to, and under said invention as described and claimed in said application, including any provisional application(s) from which it claims priority, the same to be held and enjoyed by Assignee for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, nominees, or other legal representatives, to the end of the term or terms for which said Letters Patent are or may be granted, reissued, or extended, as fully and entirely as the same would have been held and enjoyed by Inventor(s) if this assignment and sale had not been made; all of the entire rights, title and interest in and to said invention and said application, and all original and reissued patents granted for said invention, and all divisions, reissues, continuations-in-part, and continuations of said application, including the subject matter of any and all claims which may be obtained in every patent, and the right, where such right can be legally exercised, in Assignee's own name to apply for and obtain patents in countries foreign to the United States, including the full right to claim for any such application the benefits of the International Convention and the Patent Cooperation Treaty as fully and entirely as Inventor(s) could have done if the foreign application had been filed in the names of the Inventor(s), and the entire interest in any Letters Patent which may be granted on any such application in such foreign countries, and Inventor(s) authorize(s) and request(s) the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States whose duty is to issue patents on applications as aforesaid, to issue the said Letters Patent to Assignee, its successors, assigns, nominees, or other legal representatives, as Assignee and owner of the said entire rights, and Inventor(s) covenant(s) that Inventor(s) has/have the full right to convey the said entire interest

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herein assigned and that Inventor(s) has/have not executed and will not execute any agreement in conflict herewith, and Inventor(s) will communicate to Assignee, its successors, assigns, nominees, or other legal representatives all facts known to Inventor(s) respecting said invention, whenever requested, and testify in any legal proceedings, sign all lawful papers, execute and deliver all divisional, continuing, and reissue applications, make all rightful oaths or declarations, and do all lawful acts requisite for the application for such divisional, continuing, or reissue applications, or the procuring thereof, and that if and when Assignee, its successors, assigns, nominees, or other legal representatives desire to file a disclaimer relating thereto, Inventor(s) will, upon request, sign and deliver all lawful papers requisite for the filing of such disclaimer; and

Inventor(s) further covenant(s) and agree(s) that Inventor(s) will, at any time upon request, do everything possible to aid Assignee, its successors, assigns, nominees, or other legal representatives, either in the name of Inventor(s) or Assignee, to apply for, obtain, and enforce proper patent protection for said invention in all countries, according to the International Convention and the Patent Cooperation Treaty, and all the laws and treaties in force, all without further consideration but at the expense of Assignee, its successors, assigns, nominees, or other legal representatives.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

(1) Inventor Name: David Y. Lin
Signature: [Signature]
Today's Date: 10/20/2016

Witness: Wayne Lin
Signature: [Signature]
Today's Date: 2016.10.20

Witness: Ted Shen
Signature: [Signature]
Today's Date: Oct. 20, 2016

(2) Inventor Name: Ming Jie Tsai
Signature: Ming-Jie Tsai
Today's Date: 2016.10.20

Witness: Wayne Lin
Signature: Wayne Lin
Today's Date: 2016.10.20

Witness: Ted Shen
Signature: Ted Shen
Today's Date: Oct. 20, 2016