## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4084705

| SUBMISSION TYPE:      | NEW ASSIGNMENT |  |
|-----------------------|----------------|--|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |  |

## **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| TIM FIEDLER           | 05/17/2016     |
| DANIEL BICHLER        | 05/18/2016     |
| STEFAN LANGE          | 05/18/2016     |
| REBECCA RÖMER         | 05/17/2016     |
| FRANK JERMANN         | 05/31/2016     |
| FRAUKE THIENEL        | 05/24/2016     |
| BARBARA HUCKENBECK    | 06/06/2016     |
| ALEXANDER BAUMGARTNER | 05/18/2016     |
| VERA STÖPPELKAMP      | 05/18/2016     |
| NORBERT BÖNISCH       | 05/17/2016     |
| HAILING CUI           | 05/18/2016     |

## **RECEIVING PARTY DATA**

| Name:           | OSRAM Opto Semiconductors GmbH |  |
|-----------------|--------------------------------|--|
| Street Address: | Leibnizstrasse 4               |  |
| City:           | Regensburg                     |  |
| State/Country:  | GERMANY                        |  |
| Postal Code:    | 93055                          |  |
| Name:           | OSRAM GMBH                     |  |
| Street Address: | MARCEL-BREUER-STR. 6           |  |
| City:           | MÜNCHEN                        |  |
| State/Country:  | GERMANY                        |  |
| Postal Code:    | 80807                          |  |

## **PROPERTY NUMBERS Total: 2**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15094702 |
| Application Number: | 15213174 |

## **CORRESPONDENCE DATA**

**Fax Number:** (972)732-9218

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

PATENT

REEL: 040240 FRAME: 0534

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 972-732-1001

**Email:** docketing@slatermatsil.com

**Correspondent Name:** SLATER MATSIL, LLP **Address Line 1:** 17950 PRESTON RD.

Address Line 2: SUITE 1000

Address Line 4: DALLAS, TEXAS 75252

| ATTORNEY DOCKET NUMBER: | OSR-313/OSR-313D1 |  |
|-------------------------|-------------------|--|
| NAME OF SUBMITTER:      | AVA CHUANG        |  |
| SIGNATURE:              | /Ava Chuang/      |  |
| DATE SIGNED:            | 10/05/2016        |  |

## **Total Attachments: 48**

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### **ASSIGNMENT**

For good and valuable consideration, I (We),

Tim <u>Fiedler</u> residing at Hauffstr. 9 81369 München Germany citizen of Germany

Daniel <u>Bichler</u>
residing at <del>Engadiner Str. 2/II/219</del> wells Gasse 14a
81475 München 86450 Augsburg
Germany
citizen of Germany

Ball 11. 1849 18#, 2016

Stefan <u>Lange</u> residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Rebecca Römer residing at Unter den Schwibbögen 23 93047 Regensburg Germany cititzen of Germany

Frank Jermann residing at Gerstenstr. 15 86343 Königsbrunn Germany citizen of Germany

Frauke <u>Thienel</u>
residing at Carl-Orff-Bogen 73
80939 München
Germany
citizen of Germany

Barbara <u>Huckenbeck,</u> residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander Baumgartner, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 2 of 6

Vera Stöppelkamp, residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Norbert <u>Bönisch</u>, residing at Aumühlstr. 13 86343 Königsbrunn Germany citizen of Germany

Hailing <u>Cui</u> residing at Theodor-Storm-Str. 16 a 93051 Regensburg Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee 1", as well as to OSRAM GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Marcel-Breuer-Str. 6 in 80807 München, hereinafter "Assignee 2", their successors, assigns and legal representatives, or to a predecessor of the Assignees, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignees or a predecessor of the Assignees, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the belowidentified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignees, their successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

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(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

**USE OF A PHOSPHOR** 

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II) Agree that said Assignees may apply for and receive Letters Patent for said inventions and/or improvements in their own name; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignees, their successors, assigns and legal representatives; and
- (III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| S. O. Leaster S. Witness Signature                      | Tim Fiedler                   |
|---|-------------------------------|
| <u>Gerhanie Di Vamen (55</u><br>prihted name of witness | 190x 1744, 2016<br>Date       |
| <u>Г</u> Д<br>Witness Signature                         | Douriel Gradel Daniel Bichler |
| FRANZISKA NUMMEL  | Hay 18th 2016                 |

P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 4 of 6

| <i>LaLL</i> Witness Signature | Stefan Lange        |
|-------------------------------|---------------------|
| printed name of witness       | May 18,2016<br>Date |
| Witness Signature             | Rebecca Römer       |
| withese oldinatrie            | Repecta Notifei     |
| printed name of witness       | Date                |
|                               |                     |
| Witness Signature             | Frank Jermann       |
| printed name of witness       | Date                |
|                               |                     |
| Witness Signature             | Frauke Thienel      |
| printed name of witness       | Date                |
|                               |                     |
| Witness Signature             | Barbara Huckenbeck  |
| printed name of witness       | Date                |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |
|-------------------------|-----------------------|
| printed name of witness | Date                  |
| Witness Signature       | V Vera Steppelkamp    |
| printed name of witness | <u> </u>              |
| S S Signature           | Norbert Bönisch       |
| printed name of witness | May 1714,2016<br>Date |
| Witness Signature       | Hailing Cui           |
| printed name of witness | Date                  |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

Assignee 1:

| Companies Representative(s)            |
|--|
| OSRAM Opto Semiconductors GmbH         |
| Signature                              |
| Name                                   |
| Date                                   |
| Signature                              |
| Name                                   |
| Date                                   |
|  |
|  |
|  |
| Assignee 2:                            |
| Companies Representative(s) OSRAM GmbH |
| Signature                              |
| Name                                   |
| Date                                   |
|  |
| Signature Name                         |
|  |
| Date                                   |
|  |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 1 of 6

#### **ASSIGNMENT**

For good and valuable consideration, I (We),

Tim <u>Fiedler</u> residing at Hauffstr. 9 81369 München Germany citizen of Germany

Daniel <u>Bichler</u> residing at Engadiner Str. 2/II/219 81475 München Germany citizen of Germany

Stefan <u>Lange</u> residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Rebecca <u>Römer</u>
residing at Unter den Schwibbögen 23
93047 Regensburg
Germany
cititzen of Germany

Frank Jermann residing at Gerstenstr. 15 86343 Königsbrunn Germany citizen of Germany

Frauke <u>Thienel</u> residing at Carl-Orff-Bogen 73 80939 München Germany citizen of Germany

Barbara <u>Huckenbeck,</u> residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander <u>Baumgartner</u>, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

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(TKN/HCE) Page 2 of 6

Vera <u>Stöppelkamp</u>, residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Norbert Bönisch, residing at Aumühlstr. 13 86343 Königsbrunn Germany citizen of Germany

Hailing <u>Cui</u> residing at Theodor-Storm-Str. 16 a 93051 Regensburg Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee 1", as well as to OSRAM GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Marcel-Breuer-Str. 6 in 80807 München. hereinafter "Assignee 2", their successors, assigns and legal representatives, or to a predecessor of the Assignees, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignees or a predecessor of the Assignees, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the belowidentified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignees, their successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

**USE OF A PHOSPHOR** 

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignees may apply for and receive Letters Patent for said inventions and/or improvements in their own name; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignees, their successors, assigns and legal representatives; and

(III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Witness Signature       | Tim Fiedler    |
|-------------------------|----------------|
| printed name of witness | Date           |
| Witness Signature       | Daniel Bichler |
| printed name of witness | Date           |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 4 of 6

| Witness Signature                      | Stefan Lange                     |
|--|----------------------------------|
| printed name of witness                | Date                             |
|  | CCACA J SILIANA<br>Rebecca Römer |
| Dominik Eisert printed name of witness | 1719 103 2016<br>Date            |
| Witness Signature                      | Frank Jermann                    |
| printed name of witness                | Date                             |
| Witness Signature                      | Frauke Thienel                   |
| printed name of witness                | Date                             |
| Witness Signature                      | Barbara Huckenbeck               |
| printed name of witness                | Date                             |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |
|-------------------------|-----------------------|
| printed name of witness | Date                  |
| Witness Signature       | Vera Stöppelkamp      |
| printed name of witness | Date                  |
| Witness Signature       | Norbert Bönisch       |
| printed name of witness | Date                  |
| Witness Signature       | Hailing Cui           |
| printed name of witness | Date                  |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

| Assignee 1:  |
|--|
| Companies Representative(s) OSRAM Opto Semiconductors GmbH |
| Signature  |
| Name   |
| Date   |
| Signature  |
| Name   |
| Date   |
|  |
|  |
| <u>Assignee 2:</u>   |
| Companies Representative(s) OSRAM GmbH                     |
| Signature  |
| Name   |
| Date   |
| Signature  |
| Name   |

Date

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 1 of 6

#### **ASSIGNMENT**

For good and valuable consideration, I (We),

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Germany
cititzen of Germany

Frank <u>Jermann</u> residing at Gerstenstr. 15 86343 Königsbrunn Germany citizen of Germany

Frauke <u>Thienel</u>
residing at Carl-Orff-Bogen 73
80939 München
Germany
citizen of Germany

Barbara Huckenbeck, residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander Baumgartner, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

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Hailing <u>Cui</u>
residing at Theodor-Storm-Str. 16 a
93051 Regensburg
Germany
citizen of Germany

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignees, their successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE)

(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

USE OF A PHOSPHOR

Filing Date: 04/08/2016 (MM/DD/YYYY)

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- (III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Witness Signature       | Tim Fiedler    |
|-------------------------|----------------|
| printed name of witness | Date           |
| Witness Signature       | Daniel Bichler |
| printed name of witness | Date           |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 4 of 6

| Witness Signature                         | Stefan Lange         |
|---|----------------------|
| printed name of witness                   | Date                 |
| Witness Signature                         | Rebecca Römer        |
| printed name of witness                   |                      |
| Witness Signature                         | Haule Jewan          |
| Toerg Frischelsen printed name of witness | May 31, 2016  Date 1 |
| Witness Signature                         | Frauke Thienel       |
| printed name of witness                   | Date                 |
| Witness Signature                         | Barbara Huckenbeck   |
| nrinted name of witness                   | Nate                 |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |        |
|-------------------------|-----------------------|--------|
| printed name of witness | Date                  |        |
| Witness Signature       | Vera Stöppelkamp      |        |
| printed name of witness | Date                  | 000000 |
| Witness Signature       | Norbert Bönisch       |        |
| printed name of witness | Date                  |        |
| Witness Signature       | Halling Cul           |        |
| printed name of witness | Date                  |        |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 6 of 6

# Assignee 1:

| Signatur                           | e                            |   |   |   |
|------------------------------------|------------------------------|---|---|---|
| Name                               | •••••                        | *************************************** | *************************************** | ******************                      |
| Date                               |                              | <del></del>                             |   | *************************************** |
| Signatur                           | 8                            |   | *****************                       | *************************************** |
| Name                               |                              | *************************************** |   |   |
| Date                               |                              |   | *************************************** | *************************************** |
|                                    |                              |   |   |   |
| ssigne                             | <u>.</u>                     |   |   |   |
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| Compa                              | <br>nies Repres              | entative(                               | s)                                      |   |
| Compa<br>OSRAM<br>Signatui         | <br>nies Repres<br>GmbH<br>∽ |   | s)                                      |   |
| Compa<br>OSRAM<br>Signatui         | <br>nies Repres<br>GmbH<br>∽ | sentative(                              | s)                                      |   |
| Compa<br>OSRAM                     | nies Repres<br>GmbH          |   |   |   |
| Compa<br>OSRAM<br>Signatui<br>Name | nies Repres<br>GmbH<br>e     |   |   |   |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 1 of 6

#### **ASSIGNMENT**

For good and valuable consideration, I (We),

Tim <u>Fiedler</u> residing at Hauffstr. 9 81369 München Germany citizen of Germany

Daniel <u>Bichler</u> residing at Engadiner Str. 2/II/219 81475 München Germany citizen of Germany

Stefan <u>Lange</u> residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Rebecca <u>Römer</u> residing at Unter den Schwibbögen 23 93047 Regensburg Germany cititzen of Germany

Frank Jermann residing at Gerstenstr. 15 86343 Königsbrunn Germany citizen of Germany

Frauke <u>Thienel</u>
residing at Carl-Orff-Bogen 73
80939 München
Germany
citizen of Germany

Barbara <u>Huckenbeck,</u> residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander <u>Baumgartner</u>, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

P2013.1283 US N / 2013P02023WOUS

(TKN/HCE) Page 2 of 6

Vera <u>Stöppelkamp</u>, residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Norbert <u>Bönisch,</u> residing at Aumühlstr. 13 86343 Königsbrunn Germany citizen of Germany

Hailing <u>Cui</u> residing at Theodor-Storm-Str. 16 a 93051 Regensburg Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee 1", as well as to OSRAM GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Marcel-Breuer-Str. 6 in 80807 München, hereinafter "Assignee 2", their successors, assigns and legal representatives, or to a predecessor of the Assignees, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignees or a predecessor of the Assignees, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the belowidentified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignees, their successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

**USE OF A PHOSPHOR** 

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II) Agree that said Assignees may apply for and receive Letters Patent for said inventions and/or improvements in their own name; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignees, their successors, assigns and legal representatives; and
- (III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Witness Signature       | Tim Fiedler    |  |
|-------------------------|----------------|--|
| printed name of witness | Date           |  |
| Witness Signature       | Daniel Bichler |  |
| printed name of witness | Date           |  |

P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 4 of 6

| Witness Signature                      | Stefan Lange             |
|--|--------------------------|
| printed name of witness                | Date                     |
| Witness Signature                      | Rebecca Römer            |
| printed name of witness                | Date                     |
| Witness Signature                      | Frank Jermann            |
| printed name of witness                | Date                     |
| Witness Signature                      | Frauke Thienel           |
| Sascha Thienel printed name of witness | <u>24 6.20/6</u><br>Date |
| Witness Signature                      | Barbara Huckenbeck       |
| nrinted name of witness                | Date                     |

P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |  |
|-------------------------|-----------------------|--|
| printed name of witness | Date                  |  |
| Witness Signature       | Vera Stöppelkamp      |  |
| printed name of witness | Date                  |  |
| Witness Signature       | Norbert Bönisch       |  |
| printed name of witness | Date                  |  |
| Witness Signature       | Hailing Cui           |  |
| printed name of witness | Date                  |  |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

Assignee 1:

| Companies Representative(s) OSRAM Opto Semiconductors GmbH |
|--|
| Signature<br>Name  |
| Date   |
| Signature<br>Name  |
| Date   |
| Assignee 2:  |
| Companies Representative(s) OSRAM GmbH                     |
| Signature  |
| Name   |
| Date   |
| Signature  |
| Name   |
| Date   |
|  |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS -(TKN/HCE) Page 1 of 6

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P2013.1283 US N / 2013P02023WOUS

(TKN/HCE) Page 2 of 6

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Hailing <u>Cui</u>
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P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

USE OF A PHOSPHOR

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

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| Witness Signature       | Tim Fledler    |
|-------------------------|----------------|
| printed name of witness | Date           |
| Witness Signature       | Daniel Bichler |
| printed name of witness | Date           |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 4 of 6

| Witness Signature       | Stefan Lange                  |
|-------------------------|-------------------------------|
| printed name of witness | Date                          |
| Witness Signature       | Rebecca Römer                 |
| printed name of witness | Date                          |
| ·                       |                               |
| Witness Signature       | Frank Jermann                 |
| printed name of witness | Date                          |
| Witness Signature       | Frauke Thienei                |
| printed name of witness | Date                          |
| Versa Signature >       | Barbara Huckenbeck            |
| printed name of witness | <u>C6. 9101 201</u> 0<br>Date |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |  |
|-------------------------|-----------------------|--|
| printed name of witness | Date                  |  |
| Witness Signature       | Vera Stöppelkamp      |  |
| printed name of witness | Date                  |  |
| Witness Signature       | Norbert Bönisch       |  |
| printed name of witness | Date                  |  |
| Witness Signature       | Hailing Cui           |  |
| printed name of witness | Date                  |  |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

| Assignee 1:  |
|--|
| Companies Representative(s) OSRAM Opto Semiconductors GmbH |
| Signature  |
| Name   |
| Date   |
| Signature  |
| Name   |
| Date   |
|  |
|  |
| Assignee 2:  |
| Companies Representative(s) OSRAM GmbH                     |
| Signature  |
| Name   |
| Date   |
|  |

Signature Name

Date

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 1 of 6

#### **ASSIGNMENT**

For good and valuable consideration, I (We),

Tim <u>Fiedler</u> residing at Hauffstr. 9 81369 München Germany citizen of Germany

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Barbara <u>Huckenbeck</u>, residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander <u>Baumgartner</u>, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 2 of 6

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Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 3 of 6

Patent Application Title; PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

**USE OF A PHOSPHOR** 

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

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- (III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Witness Signature       | Tim Fledler    |  |
|-------------------------|----------------|--|
| printed name of witness | Date           |  |
| Witness Signature       | Daniel Bichler |  |
| printed name of witness | Date           |  |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 4 of 6

| Warrish Waller Strategy and Control of the Control |                         |  |
|---|-------------------------|--|
| Witness Signature   | Stefan Lange            |  |
|   |                         |  |
| printed name of witness   | Date                    |  |
| printed name or witness   | vaic                    |  |
|   |                         |  |
|   |                         |  |
| Witness Signature   | Rebecca Römer           |  |
| venices organizatio   | Neperta Nome            |  |
|   |                         |  |
| printed name of witness   | Date                    |  |
|   |                         |  |
| ······  |                         |  |
|   |                         |  |
| Witness Signature   | Frank Jermann           |  |
|   |                         |  |
|   | , A                     |  |
| printed name of witness   | Date                    |  |
|   |                         |  |
|   |                         |  |
|   |                         |  |
| Witness Signature   | Frauke Thienel          |  |
|   |                         |  |
| printed name of witness   | Date                    |  |
| bunga nama or witness   | naia                    |  |
|   |                         |  |
|   |                         |  |
| Witness Signature   | Planck and United about |  |
| vvitness Signature  | Barbara Huckenbeck      |  |
|   |                         |  |
| printed name of witness   | Date                    |  |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 5 of 6

| Witness Signature  Pulty Pulty printed name of witness | Alexander Baumgartner  18/104/2016 Date |  |
|--|---|--|
| Witness Signature                                      | Vera Stöppelkamp                        |  |
| printed name of witness                                | Date                                    |  |
| Witness Signature                                      | Norbert Bönisch                         |  |
| printed name of witness                                | Date                                    |  |
| Witness Signature                                      | Hailing Cui                             |  |
| printed name of witness                                | Date                                    |  |

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 6 of 6

| Assignee 1:  |  |
|--|--|
| Companies Representative(s) OSRAM Opto Semiconductors GmbH |  |
| Signature  |  |
| Name   |  |
| Date   |  |
| Signature  |  |
| Name   |  |
| Date   |  |
|  |  |
|  |  |
| Assignee 2:  |  |
| Companies Representative(s) OSRAM GmbH                     |  |
| Signature  |  |
| Name   |  |
| Date   |  |
|  |  |
| Signature  |  |
| Name   |  |

Date

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 1 of 6

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Tim <u>Fiedler</u> residing at Hauffstr. 9 81369 München Germany citizen of Germany

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(TKN/HCE) Page 2 of 6

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P2013,1283 US N / 2013P02023WOUS

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Filing Number: 15/094,702

Internal Case Number(s): OSR-313

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignees may apply for and receive Letters Patent for said inventions and/or improvements in their own name; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignees, their successors, assigns and legal representatives; and

(III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Witness Signature       | Tim Fiedler    |   |
|-------------------------|----------------|---|
| printed name of witness | Date           |   |
| Witness Signature       | Daniel Bichler | *************************************** |
| printed name of witness | Date           |   |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 4 of 6

| Witness Signature       | Stefan Lange       |  |
|-------------------------|--------------------|--|
| printed name of witness | Date               |  |
| Witness Signature       | Rebecca Römer      |  |
| printed name of witness | Date               |  |
| Witness Signature       | Frank Jermann      |  |
| printed name of witness | Date               |  |
| Witness Signature       | Frauke Thienel     |  |
| printed name of witness | Date               |  |
| Witness Signature       | Barbara Huckenbeck |  |
| printed name of witness | Date               |  |

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(TKN/HCE) Page 5 of 6

| Witness Signature       | Alexander Baumgartner |
|-------------------------|-----------------------|
| printed name of witness | Date                  |
|                         |                       |
| Witness Signature       | Vera Stöppelkamp      |
| printed name of witness | Date                  |
|                         |                       |
| Witness Signature       | Norbert Bönisch       |
| printed name of witness | Oale                  |
| Witness Signature       | Hailing Cui           |
| ALEXANDER CANTOGETALER  | NOM - 18-2014         |

Date V

printed name of witness

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

| Assignee 1:                    |
|--------------------------------|
| Companies Representative(s)    |
| OSRAM Opto Semiconductors GmbH |
| Signature                      |
| Name                           |
| Date                           |
|                                |
| Signature                      |
| Name                           |
| Date                           |
|                                |
|                                |
|                                |
| Assignee 2:                    |
| Companies Representative(s)    |
| OSRAM GmbH                     |
| Signature                      |
| Name                           |
| Date                           |
|                                |
| Signature                      |
| Name                           |
| Date                           |

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## **ASSIGNMENT**

For good and valuable consideration, I (We),

Tim Fiedler residing at Hauffstr. 9 81369 München Germany citizen of Germany

Daniel <u>Bichler</u> residing at Engadiner Str. 2/II/219 81475 München Germany citizen of Germany

Stefan <u>Lange</u> residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Rebecca <u>Römer</u> residing at Unter den Schwibbögen 23 93047 Regensburg Germany cititzen of Germany

Frank Jermann residing at Gerstenstr. 15 86343 Königsbrunn Germany citizen of Germany

Frauke <u>Thienel</u>
residing at Carl-Orff-Bogen 73
80939 München
Germany
citizen of Germany

Barbara <u>Huckenbeck</u>, residing at Max-Gutmann-Str. 6 d 86159 Augsburg Germany citizen of Germany

Alexander Baumgartner, residing at Hartweg 14 93093 Donaustauf Germany citizen of Germany

Docket No.: OSR-313 P2013,1283 US N / 2013P02023WOUS (TKN/HCE) Page 2 of 6

Vera Stöppelkamp, residing at Dambörstr. 11 86157 Augsburg Germany citizen of Germany

Norbert <u>Bönisch</u>, residing at Aumühlstr. 13 86343 Königsbrunn Germany citizen of Germany

Hailing <u>Cui</u> residing at Theodor-Storm-Str. 16 a 93051 Regensburg Germany citizen of Germany

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM Opto Semiconductors GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee 1", as well as to OSRAM GmbH, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Marcel-Breuer-Str. 6 in 80807 München, hereinafter "Assignee 2", their successors, assigns and legal representatives, or to a predecessor of the Assignees, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignees or a predecessor of the Assignees, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the belowidentified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filling date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignees, their successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

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(TKN/HCE) Page 3 of 6

Patent Application Title: PHOSPHOR, METHOD FOR PRODUCING A PHOSPHOR AND

USE OF A PHOSPHOR

Filing Date: 04/08/2016 (MM/DD/YYYY)

Filing Number: 15/094,702

Internal Case Number(s): OSR-313

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

- (II) Agree that said Assignees may apply for and receive Letters Patent for said inventions and/or improvements in their own name; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignees, their successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignees, their successors, assigns and legal representatives; and
- (III) Covenant with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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| printed name of witness | Date           |

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| printed name of witness | Date               |
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| printed name of witness | Date               |

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| Witness Signature       | Vera Stöppelkamp      |
| printed name of witness | Date                  |
| Witness Signature       | Norbert Bönisch       |
| printed name of witness | Date                  |
| Witness Signature       | Hailing Cui           |
| printed name of witness | Date                  |

P2013,1283 US N / 2013P02023WOUS

(TKN/HCE) Page 6 of 6

## Assignee 1:

Companies Representative(s)
OSRAM Opto Semiconductors GmbH
Signature
Name
Nikelises Cmelowieser

nengaringsi Kerbetah

Date 2 3, MAI 2016

Signature / / OU / / / / / / / / / / Name Senior Manager Intellectual Property

Date 23. MAI 2016

## Assignee 2:

**RECORDED: 10/05/2016** 

Companies Representative(s)

OSRAM GmbH

Nead of Palents
19.05.2016

Date 19.05. 2016