

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4131318

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
FRANK GU	06/20/2015
LYNDON WILLIAM JAMES JONES	06/12/2015
SHENGYAN (SANDY) LIU	06/09/2015
RECEIVING PARTY DATA	
Name:	UNIVERSITY OF WATERLOO
Street Address:	200 UNIVERSITY AVENUE WEST
City:	WATERLOO
State/Country:	CANADA
Postal Code:	N2L 3G1
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14410521
CORRESPONDENCE DATA	
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Correspondent Name:	LATHROP & GAGE LLP
Address Line 1:	28 STATE STREET
Address Line 2:	BRIAN C. TRINQUE, PH.D., ESQ.
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ATTORNEY DOCKET NUMBER:	564831: BLT-004US
NAME OF SUBMITTER:	BRIAN C. TRINQUE, PH.D., ESQ.
SIGNATURE:	/BRIAN C. TRINQUE/
DATE SIGNED:	11/07/2016
Total Attachments: 3	
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CONFIRMATORY ASSIGNMENT

We:

Frank GU, 25 Westmount Road North, Unit 1601, Waterloo, Ontario, N2L 5G7, CANADA

Lyndon William James JONES, 333 Bushwood Court, Waterloo, Ontario, N2G 2E6,
CANADA

Shengyan (Sandy) LIU, 605-736 Old Albert Street, Waterloo, Ontario, N2L 6R4, CANADA

for good and valuable consideration, the receipt and sufficiency of which is hereby
acknowledged, confirm that effective at least as early as **June 22, 2012**
we did sell and assign to:

**UNIVERSITY OF WATERLOO
200 University Avenue West
Waterloo, Ontario
N2L 3G1
CANADA**

all our right, title and interest in the United States of America, Canada, and all countries foreign
thereto, in and to the invention(s) disclosed in International Patent Application No.
CA2013/050475 filed **June 20, 2013**, relating to, and entitled:

MUCOADHESIVE NANOPARTICLE DELIVERY SYSTEM

and to any application for patent claiming subject matter relating thereto, and to all our
corresponding right, title and interest in and to any patent issued therefrom, and to any patent
issued from a continuation, continuation-in-part, re-issue, divisional or re-examination
application derived, or claiming priority, from the above application.

We authorize the firm of Borden Ladner Gervais LLP to insert any further identification
necessary to make this assignment suitable for recordation in the Patent Offices of any country
as may be required.

<p>Frank GU</p> <p>Executed at <u>Waterloo</u> City</p> <p><u>ON</u> Province/State, Country</p> <p>This <u>20</u> day of <u>June</u>, <u>2015</u> Day Month Year</p> <p><u>[Signature]</u> Signature</p>	<p><u>Witness:</u></p> <p>I, <u>SAEED JAGHERI</u> Print Name</p> <p>whose full post office address is <u>78 Valley Ridge Cres.</u> <u>Waterloo ON N2T 1X1</u></p> <p>was personally present and did see Frank GU execute the within assignment and such assignor is personally known to me.</p> <p><u>[Signature]</u> Signature</p>
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<p>Lyndon William James JONES</p> <p>Executed at <u>Waterloo</u> City</p> <p><u>ONTARIO</u> Province/State, Country</p> <p>This <u>12</u> day of <u>JUNE</u>, <u>2015</u> Day Month Year</p> <p><u>[Signature]</u> Signature</p>	<p><u>Witness:</u></p> <p>I, <u>Jean Zaditsky</u> Print Name</p> <p>whose full post office address is <u>19 Bonnyton Drive</u> <u>Kitchener ON N2M 1S5</u></p> <p>was personally present and did see Lyndon William James JONES execute the within assignment and such assignor is personally known to me.</p> <p><u>[Signature]</u> Signature</p>
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<p>Shengyan (Sandy) LIU</p> <p>Executed at <u>Waterloo</u> City</p> <p><u>ON, Canada</u> Province/State, Country</p> <p>This <u>9</u> day of <u>June</u>, <u>2015</u>. Day Month Year</p> <p><u>[Signature]</u> Signature</p>	<p>Witness:</p> <p>I, <u>Jean Zaditsky</u> Print Name</p> <p>whose full post office address is <u>19 Bannock Drive</u> <u>Kitchener ON N2M 1S5 Canada</u></p> <p>was personally present and did see Shengyan (Sandy) LIU execute the within assignment and such assignor is personally known to me.</p> <p><u>[Signature]</u> Signature</p>
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<p>UNIVERSITY OF WATERLOO</p> <p>Executed at <u>Waterloo</u> City</p> <p><u>ON, CANADA</u> Province/State, Country</p> <p>This <u>6th</u> day of <u>July</u>, <u>2015</u>. Day Month Year</p> <p>By: <u>Scott Inwood</u> Print Name</p> <p>Title: <u>DIRECTOR COMMERCIALIZATION</u></p> <p><u>[Signature]</u> Signature</p>	<p>Witness:</p> <p>I, <u>Jean Zaditsky</u> Print Name</p> <p>whose full post office address is <u>19 Bannock Drive</u> <u>Kitchener ON N2M 1S5</u></p> <p>was personally present and did see <u>Scott Inwood</u> Name</p> <p>execute the within assignment and such representative is personally known to me.</p> <p><u>[Signature]</u> Signature</p>
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