### 504085243 11/07/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
TRISEP CORPORATION	10/19/2016

#### **RECEIVING PARTY DATA**

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## **PROPERTY NUMBERS Total: 4**

Property Type	Number
Patent Number:	5985146
Patent Number:	6755970
Patent Number:	7585411
Patent Number:	8668828

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NAME OF SUBMITTER:MICHAEL E. NAVARROSIGNATURE:/Michael E. Navarro/DATE SIGNED:11/07/2016

**Total Attachments: 6** 

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#### **SCHEDULE A**

#### ASSIGNMENT OF PATENT RIGHTS

For good and valuable consideration, the receipt of which is hereby acknowledged, TriSep Corporation, a California corporation ("Assignor"), does hereby sell, assign, transfer, and convey unto Microdyn – Nadir US Inc., a Delaware corporation ("Assignee"), as of the Effective Date (defined below), all right, title, and interest in the following patents and applications therefor, including all reissues, divisions, re-examinations, renewals, extensions, provisionals, substitutions, continuations, continuations-in-part and any other application claiming priority rights therefrom, the right to file related foreign applications directly in the name of Assignee, and the right to claim priority rights deriving from the patents and applications, and all equivalent or similar rights anywhere in the world in inventions and discoveries first disclosed in such patents and applications therefor, together with industrial designs and any registrations and applications therefor, (collectively, "Patents"):

Patent No. or Application	5,	Title of Patent or Application and
No.	Filing Date	Named Inventors
U.S. Patent No. 5,985,146	July 23, 1997	SANITARY RIGID SHELL SPIRAL WOUND ELEMENT  Peter Herbert Knappe Daniel Franklin Quigg Ronald Paul Magnani
U.S. Patent No. 6,755,970	June 22, 1999	BACK-FLUSHABLE SPIRAL WOUND FILTER AND METHODS OF MAKING AND USING SAME  Pater H. Knappe Seymour S. Kremen Hans A. Thomason Matthew B. Tannner
PCT Application No. PCT/US1999/013934	June 22, 1999	BACK-FLUSHABLE SPIRAL WOUND FILTER AND METHODS OF MAKING AND USING SAME  Pater H. Knappe Seymour S. Kremen Hans A. Thomason Matthew B. Tannner
Canadian Patent No. 2,377,202	June 22, 1999	BACK-FLUSHABLE SPIRAL WOUND FILTER AND METHODS OF MAKING AND USING SAME  Pater H. Knappe Seymour S. Kremen Hans A. Thomason

-2-

# Patent No. or Application

# <u>Title of Patent or Application and</u> Named Inventors

<u>No.</u>	Filing Date	Named Inventors
		Matthew B. Tannner
European Patent No. 1202793	June 22, 1999	SYSTEM AND METHOD FOR BACK- FLUSHING A SPIRAL WOUND MEMBRANE ELEMENT
		Pater H. Knappe Seymour S. Kremen Hans A. Thomason
		Matthew B. Tannner
German Patent No. EP1202793	June 22, 1999	SYSTEM AND METHOD FOR BACK- FLUSHING A SPIRAL WOUND MEMBRANE ELEMENT
		Pater H. Knappe Seymour S. Kremen
		Hans A. Thomason Matthew B. Tannner
Netherlands Patent No. EP1202793	June 22, 1999	SYSTEM AND METHOD FOR BACK- FLUSHING A SPIRAL WOUND MEMBRANE ELEMENT
		Pater H. Knappe Seymour S. Kremen Hans A. Thomason Matthew B. Tannner
U.S. Provisional Patent App. No. 60/535,295	January 9, 2004	SUBMERGED OPERATION WITH LOW- FOULING, HIGH FLOW, LOW-ENERGY SPIRAL WOUND MEMBRANE CARTRIDGES
		Peter H. Knappe Michael J. Snodgrass
PCT Application No. PCT/US2005/000707	January 10, 2005	FILTRATION WITH LOW-FOULING, HIGH-FLOW, LOW-ENERGY SPIRAL WOUND MEMBRANE CARTRIDGES
		Peter H. Knappe Michael J. Snodgrass
U.S. Patent No. 7,585,411	July 7, 2006	LOW PRESSURE FILTRATION
		Peter H. Knappe Michael J. Snodgrass

### Patent No. or Application

# **Title of Patent or Application and**

<u>No.</u>	Filing Date	Named Inventors
U.S. Provisional Patent App.	December 5, 2007	SANITARY SPIRAL WOUND
No. 60/992,494		FILTRATION CARTRIDGE
		Peter H. Knappe
		Matthew Tanner
U.S. Patent No. 8,668,828	November 26,	SANITARY SPIRAL WOUND
	2008	FILTRATION CARTRIDGE
		Peter H. Knappe
		Matthew Tanner
European Application No.	December 5, 2008	SANITARY SPIRAL WOUND
08021229.3		FILTRATION CARTRIDGE
		Peter H. Knappe
		Matthew Tanner

together with the causes of action (whether known or unknown or whether currently pending, filed, or otherwise) and other enforcement rights with respect to the Patents, including, without limitation, causes of action and other enforcement rights for (a) damages, (b) injunctive relief, and (c) any other remedies of any kind, for past, current, and future infringement of any such patents, including royalties and other payments, except for any such causes of action and other enforcement rights against Assignor or any other person or entity that, on or before the Effective Date, is controlling, controlled by, or under common control with Assignor (such other person or entity, an "Affiliate"), or with respect to any of Assignor's or any Affiliate's products, services, or processes, arising from, or relating to, any activities occurring prior to the Effective Date.

Assignor hereby authorizes the respective patent office or governmental agency in each applicable jurisdiction to issue any and all patents, certificates of invention or other similar governmental grants or issuances that may be granted for any of the Patents in the name of Assignee, as the assignee.

This Assignment of Patent Rights is binding upon Assignor and its successors, and inures to the benefit of Assignee and any subsequent assigns of the Patents.

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IN WITNESS WHEREOF, Assignment of Patent Rights a ("Effective Date").			
ASSIGNOR:			N)
TRISEP CORPORATION  By: THE Peter H. Knappe			<b>3</b> :
Title: President State of California County of Lanta Barbara			**************************************
On /2 day of October 2016, bef personally appeared, Peter H. Kaappe, Pres to be the person whose name is subscribed executed the same in his authorized capacit the entity upon behalf of which the person	sident, who proved to me on the to to the within instrument and ack ty, and that by his signature on th	pasis of satisfactory evide nowledged to me that he	
I certify under PENTALTY OF PERJURY paragraph is true and correct.	under the laws of the State of	that the forego	ing
WITNESS my hand and official seal.			
Signature	(Seal)	d certific	ate
ASSIGNEE:	see anoche	a com	
Microdyn – Nadir US, Inc., a Delaware			
Corporation			
Name: Walter Lamparter	inano:		
Title: Vice President			

[Signature Page to Bill of Sale Schedule A - Assignment of Patent Rights]

#### California all-purpose acknowledoment

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A notary public or other officer completing this certificate document to which this certificate is attached, and not the	ate verifies only the identity of the individual who signed the ne truthfulness, accuracy, or validity of that document.
State of California )	
County of Lanta Barbara	
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On October 19, 2016 before me, 3	472 Young, notary public.  Here like of Name and Title of the Officer
Date	/ Here Insert Name and Title of the Officer
personally appeared	Knappe
	Name(s) of Signer(s)
subscribed to the within instrument and acknow	evidence to be the person(s) whose name(s) is/are ledged to me that he/sba/they executed the same in is/her/their signature(s) on the instrument the person(s); sted, executed the instrument.
** **	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
FAY R. YOUNG	WITNESS my hand and official seal.
Commission # 2004670	
Notary Public - California	~ 4 7 7/ · · · ·
Santa Barbara County My Comm. Expires Feb 14, 2017	Signature <u>Jay R. Journ</u> Signature of Notary Public
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□ Partner - □ Limited □ General	☐ Partner — ☐ Limitted ☐ General
□ Individual □ Attorney in Fact	☐ Individual ☐ Attorney-to Fact
☐ Trustee ☐ Guardian or Conservator	☐ Trustee ☐ Guardian or Conservator
Other:	Other:
Signer Is Representing:	Signer is Representing:

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IN WITNESS WHEREOF, Assignor has caused its duly authorized representative to execute this Assignment of Patent Rights as of this day of
("Effective Date"). ASSIGNOR:
TRISEP CORPORATION
Ву:
Name: Peter H. Knappe
Title: President
State of)
State of)) ss. County of)
On day of October 2016, before me,, Notary Public, personally appeared, Peter H. Knappe, President, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.  I certify under PENTALTY OF PERJURY under the laws of the State of that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature(Seal)
ASSIGNEE:
Microdyn – Nadir US Inc., a Delaware corporation
Name: Walter Lamparter
Title: Vice President

[Signature Page to Bill of Sale Schedule A - Assignment of Patent Rights]

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PATENT REEL: 040245 FRAME: 0464

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