

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT4132688

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ALAN J. KORMAN	08/14/2014
NILS LONBERG	07/31/2014
DAVID J. FONTANA	08/28/2014
ANDRES A. GUTIERREZ	08/28/2014
MARK J. SELBY	07/31/2014
KATHERINE E. LEWIS	09/03/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BRISTOL-MYERS SQUIBB COMPANY
<b>Street Address:</b>	ROUTE 206 & PROVINCE LINE ROAD
<b>City:</b>	PRINCETON
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	08543
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15021102
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(617)742-4214
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<b>Phone:</b>	617-217-4700
<b>Email:</b>	ipboston.docketing@nelsonmullins.com, melissa.lebsock@nelsonmullins.com
<b>Correspondent Name:</b>	NELSON MULLINS RILEY & SCARBOROUGH LLP
<b>Address Line 1:</b>	ONE POST OFFICE SQUARE
<b>Address Line 2:</b>	FL 30
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02109
<b>ATTORNEY DOCKET NUMBER:</b>	MXI-531US
<b>NAME OF SUBMITTER:</b>	JEANNE M. DIGIORGIO, ESQ.
<b>SIGNATURE:</b>	/Jeanne M. DiGiorgio/
<b>DATE SIGNED:</b>	11/08/2016

**Total Attachments: 8**

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**ASSIGNMENT BY INVENTORS**

WE, Alan J. KORMAN of Piedmont, California; Nils LONBERG of Woodside, California; David J. FONTANA of Clyde Hill, Washington; Andres A. GUTIERREZ of Lawrenceville, New Jersey; Mark J. SELBY of San Francisco, California; and Katherine E. LEWIS of Lake Forest Park, Washington, respectively, for good and valuable consideration paid to us by

**BRISTOL-MYERS SQUIBB COMPANY**

a Corporation of Delaware, having its principal place of business at Route 206 & Province Line Road, Princeton, New Jersey 08543, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

**BRISTOL-MYERS SQUIBB COMPANY**

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

**COMBINATION OF ANTI-LAG-3 ANTIBODIES AND ANTI-PD-1 ANTIBODIES TO TREAT TUMORS**

described in an application

Executed by us of even date herewith and about to be filed

or

Application No. 62/014,471, filed on June 19, 2014

in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said Corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said Corporation, with specifications and claims in such form as shall be approved by the counsel of said Corporation and to vest and confirm in said Corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said Corporation, its successors and assigns.

MXI-531-2

And we do further hereby grant the attorneys/agents of Nelson Mullins Riley & Scarborough LLP, the power to insert on this Assignment the filing date and/or application number of said application.

Alan J. Korman  
Alan J. Korman

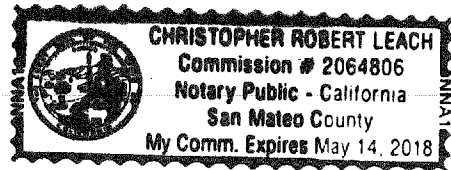
State of California )  
County of San Mateo ) ss.

On August 14, 2014, before me, Christopher R Leach, personally appeared Alan J. Korman, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Christopher R Leach



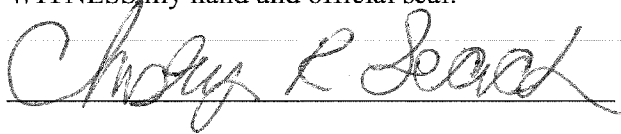
  
Nils Lonberg

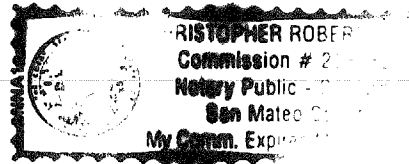
State of California )  
County of San Mateo ) ss.

On 7/31/2014, before me, Christopher Leach - Notary Public, personally appeared Nils Lonberg, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.





  
\_\_\_\_\_  
David J. Fontana

Date: 8/28/14

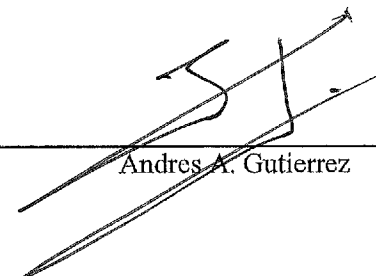
United States of America )  
State of Washington ) ss.:  
County of Snohomish )

On this 28<sup>th</sup> day of August, 2014 before me, the  
the undersigned notary public, personally appeared David J Fontana  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was Washington driver's license, to be the person whose name  
is signed on the preceding or attached document in my presence.

Kim M. Goplen (official signature and seal of notary)

My commission expires July 7, 2015

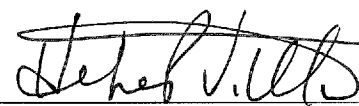


  
\_\_\_\_\_  
Andres A. Gutierrez

Date: 28 Aug 2014

United States of America )  
State of New Jersey ) ss.:  
County of MERCER )

On this 28th day of AUGUST, 2014 before me, the  
the undersigned notary public, personally appeared ANDRES A. BUTIERREZ  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was NJ State DRIVERS License, to be the person whose name  
is signed on the preceding or attached document in my presence.

  
\_\_\_\_\_

(official signature and seal of notary)

**HELENE P. VITELLA**  
ID 2094881  
NOTARY PUBLIC, STATE OF NEW JERSEY  
My Commission Expires, Mar. 7, 2017

My commission expires 03/07/2017



Mark J Selby  
Mark J. Selby

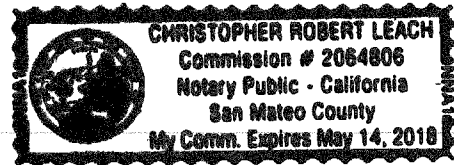
State of California )  
County of San Mateo ) ss.

On 7/31/2014, before me, Christopher R Leach, personally appeared Mark J. Selby, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Christopher R Leach



*Katherine E. Lewis*

Katherine E. Lewis

Date: September 3, 2014

United States of America )  
State of WA ) ss.:  
County of King )

On this 3rd day of September 2014, Carol Alto before me, the  
the undersigned notary public, personally appeared Katherine Lewis  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was personally known to me, to be the person whose name  
is signed on the preceding or attached document in my presence.

*Carol Alto* Carol A. Alto (official signature and seal of notary)

My commission expires 3-07-18

