### 11/08/2016 504087175

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4133843

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
PERCY D. PHILLIPS	11/04/2016
JAMES WILLIAM ROGERS	10/20/2016
LISA E. BROWN	10/13/2016
JAMES DEMOPOULOS	10/10/2016
MICHAEL F. DAVIS	10/13/2016
NOAH MARK MINSKOFF	09/29/2016

### **RECEIVING PARTY DATA**

Name:	RAI STRATEGIC HOLDINGS, INC.	
Street Address:	401 NORTH MAIN STREET	
City:	WINSTON-SALEM	
State/Country:	NORTH CAROLINA	
Postal Code:	27101	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15274073

### **CORRESPONDENCE DATA**

Fax Number: (919)755-2150

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 919-755-2100 dgravius@wcsr.com Email: **Correspondent Name:** RYAN W. CAGLE

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Address Line 2: WOMBLE CARLYLE SANDRIDGE & RICE, LLP

Address Line 4: RALEIGH, NORTH CAROLINA 27601

ATTORNEY DOCKET NUMBER:	R60999 11540US.1 (1083.4)	
NAME OF SUBMITTER:	IITTER: RYAN W. CAGLE	
SIGNATURE:	/ryan w. cagle/	
<b>DATE SIGNED:</b> 11/08/2016		
	This document serves as an Oath/Declaration (37 CFR 1.63).	

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PATENT REEL: 040257 FRAME: 0951

# COMBINED WORLDWIDE INVENTION ASSIGNMENT AND U.S. DECLARATION (37 CFR 1.63)

WHEREAS, the undersigned (hereinafter collectively referred to as "Assignor") has or may have invented certain inventions and improvements disclosed in the United States patent application entitled "AN AEROSOL DELIVERY DEVICE WITH REPLACEABLE WICK AND HEATER ASSEMBLY" and designated as

- Application No. 15/274,073; filed September 23, 2016
- Application claims priority from Application No. 15/274,073, filed September 23, 2016, all applications listed above being hereinafter referred to as the "Application(s)";

WHEREAS, it is agreed that

RAI Strategic Holdings, Inc. 401 North Main Street Winston-Salem, NC 27101-3804

(hereinafter referred to as "Assignee"), is entitled to or is desirous of acquiring all right, title and interest related to the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor, by these presents, does sell, assign, and transfer unto Assignee the full, exclusive and entire right, title and interest, worldwide: (i) in and to all inventions and improvements disclosed and described in the Application(s); (ii) in and to said Application(s) and any other United States national stage, provisional, non-provisional, divisional, continuation, continuation-in-part, or design patent applications based in whole or in part upon said inventions or improvements and/or claiming priority to said Application(s) (the "U.S. Applications"); (iii) in and to any Patent Cooperation Treaty applications based in whole or in part upon said inventions or improvements and/or claiming priority to said Application(s); (iv) in and to any and all applications for industrial property protection, including without limitation applications for patent, utility model, inventor's certificate, and design, filed or which are hereafter filed in countries outside the United States (the "Foreign Applications") and which

WCSR 37173275v1

PATENT REEL: 040257 FRAME: 0952 describe in whole or in part said inventions and improvements, said Foreign Applications to be filed and issued in the name of Assignee or its designee insofar as permitted by applicable law; (v) in and to all patents or similar protective rights in the United States or elsewhere which may be granted on the U.S. Applications and Foreign Applications and all reissues, reexaminations, and extensions thereof, any and all such patents or other protective rights to issue in the name of Assignee and for the sole use and behoof of Assignee and its successors and assigns; and (vi) in and to the right to claim any applicable foreign or domestic priority rights arising from or required for any of the aforementioned applications under the terms of any applicable conventions, treaties, statutes, or regulations.

AND, for the same consideration, Assignor agrees to (i) communicate to Assignee, its successors, legal representatives, and assigns, any facts known to Assignor respecting said inventions and improvements or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may embody said inventions or improvements; (ii) sign or execute all lawful papers, applications, declarations, affidavits, assignments, and rightful oaths that may be requested by Assignee during prosecution or enforcement of any rights related to the inventions and improvements; (iii) testify in any proceedings relating to said inventions or improvements or rights granted therefor; and (iv) generally do everything possible to aid Assignee and its successors, legal representatives and assigns, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Assignor covenants with Assignee, its successors, legal representatives, and assigns, that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned. Assignor further agrees that Assignee, its successors, legal representatives, and assigns, may insert into this Assignment any further text necessary or desirable to define the Applications to which the Assignment pertains for purposes of recordation, and also agrees that the Assignment is effective at least as early as September 23, 2016 the earliest priority date of the Application(s).

<u>U.S. DECLARATION</u>: Assignor intends for this Assignment to serve as a U.S. declaration under 37 CFR 1.63(e)(1). Accordingly, with respect to the U.S. Applications identified herein, each undersigned inventor hereby declares that: (1) the above-identified application was made or authorized to be made by me; (2) I believe that I am the original

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inventor or an original joint inventor of a claimed invention in the application; and (3) I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

State of North Cavoling

County of Stolles

\_\_\_\_, a Notary Public for said County and State, do hereby certify that PERCY D. PHILLIPS personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

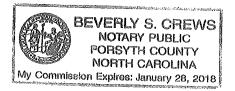
Witness my hand and official seal, this the Ath day of November

(Official Seal)

My commission expires \_\_\_

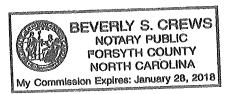
JODY G GROCE ØMy Commission Expires:

10.20-2016 Samuello
Date JAMES WILLIAM ROGERS
State of Morth Cardina
County of Ox 54th
I, Olivery School, a Notary Public for said County and State, do hereby certify that JAMES WILLIAM ROGERS personally appeared before me this day and acknowledged the due execution of the foregoing instrument.
Witness my hand and official seal, this the day of day of, 20, 20
(Official Seal)
My commission expires 1 28 2018 Notary Public



D- J
Date   10/13/26/6
State of Morth Carolinas
County of Forsy th
I, Burery & Crews, a Notary Public for said County and State, do hereby certify that LISA E. BROWN personally appeared before me this day and acknowledged the due execution of the foregoing instrument.
Witness my hand and official seal, this the 13 day of OCTOBER, 2016.
(Official Seal)  Notary Public
My commission expires 1 28 2018
BEVERLY S. CREWS  NOTARY PUBLIC  FORSYTH COUNTY  NORTH CAROLINA  My Commission Expires: January 28, 2018

10/10/2016	John
Date	JAMES DEMOPOULOS
State of North Carolina	V
County of USA Forsyth	
	tary Public for said County and State, do hereby nally appeared before me this day and acknowledged nt.
Witness my hand and official seal, this the	10 day of October, 2016
(Official Seal)	By Dawn
My commission expires 1/28/2018	Notary Public



Date  Michael F. Davis
State of Morth Caudinas  County of Couseful County of Co
I, Survey Signature, a Notary Public for said County and State, do hereby certify that MICHAEL F. DAVIS personally appeared before me this day and acknowledged the due execution of the foregoing instrument.
Witness my hand and official seal, this the
(Official Seal)  My commission expires (28/2018 Notary Public
BEVERLY S. CREWS  NOTARY PUBLIC FORSYTH COUNTY NORTH CAROLINA My Commission Expires: January 28, 2018

**RECORDED: 11/08/2016** 

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.		
sol M. Moreno, Notary Public  Here Insert Name and Title of the Officer  Name(s) of Signer(s)		
evidence to be the person(s) whose name(s) is/are edged to me that he/she/they executed the same in s/her/their signature(s) on the instrument the person(s) ed, executed the instrument.  certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraphs true and correct.  WITNESS my hand and official seal.  Signature  Signature of Notary Public		
TONAL hadronatives on heretalises and account of the control of th		
information can deter alteration of the document or form to an unintended document.		
Document Date:		
Signer's Name:  Corporate Officer — Title(s):  Partner — Limited General Individual Attorney in Fact Guardian or Conservator Other: Signer Is Representing:		

PATENT REEL: 040257 FRAME: 0959