504092807 11/11/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT4139475 Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
SUSAN V. LYNCH	03/04/2013
ANDREW GOLDBERG	03/04/2013
STEVEN D. PLETCHER	03/07/2013

RECEIVING PARTY DATA

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	
Street Address:	1111 FRANKLIN STREET, 12TH FLOOR	
City:	OAKLAND	
State/Country:	CALIFORNIA	
Postal Code:	94607-5200	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15203428

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 617-542-6000

Email: cdipasupil@mintz.com

Correspondent Name: MINTZ LEVIN

Address Line 1: ONE FINANCIAL CENTER

Address Line 4: BOSTON, MASSACHUSETTS 02111

ATTORNEY DOCKET NUMBER: 48536-518C01US

NAME OF SUBMITTER: EDWARD D. GRIEFF

SIGNATURE: /Edward D. Grieff/

DATE SIGNED: 11/11/2016

Total Attachments: 3

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PATENT 504092807 REEL: 040291 FRAME: 0608

ASSIGNMENT

UCSF Case No.: <u>SF11-047-1</u>

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Susan V. Lynch

2. Andrew Goldberg

3. Steven D. Pletcher

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SINUSITUS DIAGNO	OSTICS AND TREATMENTS
and which is found in	
(a) U.S. provisional application filed herewith	and listing the above named persons as inventors
(b) U.S. patent application filed herewith and	listing the above named persons as inventors
(c) X U.S. provisional application serial no. 61/6	524,105, filed on April 13,2012
(d) U.S. Patent No.:	, issued
Patent to be obtained for said invention by the above	including the right to claim priority and, in and to, all Letters application or any continuation, division, continuation-in-part, thereof, and any reissue, reexamination or extension of said rentions for the Protection of Industrial Property;
<u>ASSIGNOR(S)</u> hereby agrees that no assignment, sale, into which would conflict with this assignment;	agreement or encumbrance has been or will be made or entered
documents relating to said invention and said Letters P ASSIGNOR and will testify as to the same in any int proceeding relating thereto and will promptly execute a papers, instruments or affidavits required to apply for, or and said Letters Patent and said equivalents thereof we thereof. An attorney of record is authorized and requestignment the filing date and serial number of said app	
AND the <u>ASSIGNOR(S)</u> requests the Commissioner United States and any reissue or extension thereof to the	of Patents and Trademarks to issue said Letters Patent of the ASSIGNEE, The Regents of the University of California.
executed this	Signature of Inventor(s)
1) 4 day of March , 20 13	Swan Kanch
1) day of	Susan V. Lynch
2) day of	Andrew Goldberg
3) day of	Steven D. Pletcher
Assignee hereby accepts this Assignment:	Daniel H. Abel
Date: March 8, 2013	Patent Prosecution and Business Manager Office of Technology Management University of California, San Francisco

PATENT REEL: 040291 FRAME: 0609

ASSIGNMENT

3. Steven D. Pletcher

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

2. Andrew Goldberg

UCSF Case No.: SF11-047-1

1. Susan V. Lynch	2. Andrew Goldberg	3. Steven D. Pletcher		
hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:				
SINUSITUS DIAGNOSTICS AND TREATMENTS				
and which is found in				
(a) U.S. provisional app	olication filed herewith and lis	ting the above named persons as inventors		
(b) U.S. patent applicati	ion filed herewith and listing t	he above named persons as inventors		
(c) X U.S. provisional app				
(d) U.S. Patent No.:		, issued		
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;				
ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;				
ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.				
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.				
executed this		Signature of Inventor(s)		
1) day of, 2	0	Susan V. Lynch		
2) 4 day of MARCH, 2	013	Andrew Goldberg		
3) day of, 2	0	Steven D. Pletcher		
Assignee hereby accepts this A	ssignment:			
Date: March 5 201	3	Daniel H. Abel		

Patent Prosecution and Business Manager Office of Technology Management University of California, San Francisco

> **PATENT** REEL: 040291 FRAME: 0610

ASSIGNMENT

UCSF Case No.: SF11-047-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Susan V. Lynch

and which is found in

RECORDED: 11/11/2016

2. Andrew Goldberg

3. Steven D. Pletcher

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SINUSITUS DIAGNOSTICS AND TREATMENTS

(a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) U.S. patent application filed herewith and listing	ng the above named persons as inventors
(c) X U.S. provisional application serial no. 61/624,	105, filed on April 13, 2012
(d) U.S. Patent No.:	, issued
and any legal equivalent thereof in a foreign country, inche Patent to be obtained for said invention by the above appl extension, conversion to 35 USC 111(a) or substitute thet Letters Patent and all rights under all International Convention	leation or any continuation, division, continuation-in-part, reof, and any reissue, reexamination or extension of said ons for the Protection of Industrial Property;
<u>ASSIGNOR(S)</u> hereby agrees that no assignment, sale, agre into which would conflict with this assignment;	ement or encumbrance has been or will be made or entered
ASSIGNOR(S) further agrees that ASSIGNEE will, upon it documents relating to said invention and said Letters Patent ASSIGNOR and will testify as to the same in any interfer proceeding relating thereto and will promptly execute and d papers, instruments or affidavits required to apply for, obtain and said Letters Patent and said equivalents thereof which thereof. An attorney of record is authorized and requeste assignment the filing date and serial number of said applications.	and legal equivalents as may be known and accessible to rence, post grant review, inter partes review, litigation, or eliver to ASSIGNEE or its legal representative any and all an maintain, issue or enforce said application, said invention may be necessary or desirable to carry out the purposes and by the execution of this assignment to insert into this
AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Pa United States and any reissue or extension thereof to the ASS	
executed this	Signature of Inventor(s)
1) day of	Susan V. Lynch
2) day of, 20	Andrew Goldberg
3) 7 day of Mwww, 2013	Steven D. Pletcher
Assignee hereby accepts this Assignment:	22
Date: March 8, 2013	Daniel H. Abel Patent Prosecution and Business Manager Office of Technology Management
	University of California, San Francisco

PATENT REEL: 040291 FRAME: 0611