# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4148660

| SUBMISSION TYPE:      | NEW ASSIGNMENT |  |
|-----------------------|----------------|--|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |  |

#### **CONVEYING PARTY DATA**

| Name                | Execution Date |
|---------------------|----------------|
| MARK E GARDINER     | 10/25/2016     |
| DAVID ALLEN LOCKETT | 11/15/2016     |
| ROBERT SOMERS FORD  | 11/14/2016     |

## **RECEIVING PARTY DATA**

| Name:             | SERRALUX INC.       |
|-------------------|---------------------|
| Street Address:   | 21432 BROADWAY ROAD |
| Internal Address: | #599                |
| City:             | LOS GATOS           |
| State/Country:    | CALIFORNIA          |
| Postal Code:      | 95044               |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |  |  |
|---------------------|----------|--|--|
| Application Number: | 14850762 |  |  |

## **CORRESPONDENCE DATA**

**Fax Number:** (707)528-6015

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 707) 579-0580

Email: essiplaw@pacbell.net
Correspondent Name: EDWARD S. SHERMAN
Address Line 1: 3554 ROUND BARN BLVD.

Address Line 2: SUITE 303

Address Line 4: SANTA ROSA, CALIFORNIA 95403

| ATTORNEY DOCKET NUMBER: | 314.04NP   |  |  |
|-------------------------|--|--|--|
| NAME OF SUBMITTER:      | EDWARD S. SHERMAN  |  |  |
| SIGNATURE:              | /Edward_S_Sherman/   |  |  |
| DATE SIGNED:            | 11/17/2016   |  |  |
|                         | This document serves as an Oath/Declaration (37 CFR 1.63). |  |  |

**Total Attachments: 2** 

PATENT REEL: 040364 FRAME: 0368 source=ASSIGN\_ALL\_EX\_314-04NP#page1.tif source=ASSIGN\_ALL\_EX\_314-04NP#page2.tif

PATENT REEL: 040364 FRAME: 0369

Attorney Docket No.: 314.04NP

## ASSIGNMENT

WHEREAS, We, David Allen Lockett, Robert Somers Ford and Mark E. Gardine/have invented certain new and useful improvements disclosed in Non-Provisional application for a United States Letters Patent titled:

# Window Louver Control System

,having attorney docket no. 314.04NP, having application serial no. 14/850,762 which was filed on 12-SEPT.-

AND WHEREAS SerraLux Inc., a California Corp.

(hereafter, together with any successors, legal representatives of assign thereof, called "Assignee"), wants to acquire the entire right, title and interest in and to said improvement and application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee the entire right, title and interest in and to said improvements, and said application and all non-provisional applications including divisions, substitutions, continuations and continuations-in-part thereof, and all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all extensions, renewals and reissues thereof) granted for said improvements in any foreign countries; and we hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this assignment;

AND I HEREBY covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed, and will not execute any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all non-provisional applications, including divisional, continuation, continuations-in-part, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for said improvements in all countries.

1

Attorney Docket No.: 314.04NP

AND I HEREBY declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

AND I HEREBY declare that the above-identified application(s) was/were made or authorized to be made by me.

AND I HEREBY acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

IN TESTIMONY WHEREOF, I hereunto set my hand on the date shown next to my signature below:

| Χ  | Dec       | $\mathcal{A}$ | Allen | Ledde | on    | 4/15/2016 |
|----|-----------|---------------|-------|-------|-------|-----------|
| 78 | 2 A 11 am | Taalrais      |       | E     | 74444 | \$\$      |

X follet forms ford on 11/14/2016

Robert Somers Ford

X Mark E. Gardiner Mall E Stabille on 10-25-16