#### 504103008 11/18/2016

EPAS ID: PAT4149677

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
THORALF KAUTZSCH	11/03/2016
HEIKO FROEHLICH	11/03/2016
MAIK STEGEMANN	11/13/2016
MIRKO VOGT	11/03/2016

#### RECEIVING PARTY DATA

Name:	INFINEON TECHNOLOGIES AG
Street Address:	AM CAMPEON 1-12
City:	NEUBIBERG
State/Country:	GERMANY
Postal Code:	85579

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15345015

### CORRESPONDENCE DATA

Fax Number: (215)568-6499

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 215-568-6400

Email: ppetaccia@vklaw.com **Correspondent Name:** VOLPE AND KOENIG, P.C.

30 SOUTH 17TH STREET, UNITED PLAZA-18TH FLOOR Address Line 1:

Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:	INF-2016P51892US
NAME OF SUBMITTER:	RYAN F. HEAVENER
SIGNATURE:	/Ryan F. Heavener/
DATE SIGNED:	11/18/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 9** 

source=2016P51892US\_DeclarationAssignment\_20161114#page1.tif

**PATENT REEL: 040369 FRAME: 0020** 504103008

source=2016P51892US_DeclarationAssignment_20161114#page2.tif	
source=2016P51892US_DeclarationAssignment_20161114#page3.tif	
source=2016P51892US_DeclarationAssignment_20161114#page4.tif	
source=2016P51892US_DeclarationAssignment_20161114#page5.tif	
source=2016P51892US_DeclarationAssignment_20161114#page6.tif	
source=2016P51892US_DeclarationAssignment_20161114#page7.tif	
source=2016P51892US_DeclarationAssignment_20161114#page8.tif	
source=2016P51892US_DeclarationAssignment_20161114#page9.tif	

PATENT REEL: 040369 FRAME: 0021

### DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

Title of Invention

4A GRADED-INDEX STRUCTURE FOR OPTICAL SYSTEMS

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

Application not Attached "This declaration is directed to the application attached hereto, if the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Appln. No.

Sunited States Application Number or PCT International Applin. No.

15/345,015

Enter Filing Date

filed on

November 7, 2016

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain at least one claim in the application identified.

Insert Name of Assignee

WHEREAS, Infineon Technologies AG

Insert Address of Assignee

For Am Campeon 1-12, 85579 Neubiberg, GERMANY

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate

<sup>≤</sup>⊠ in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these considerations does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions of all of the above for the full term or terms for which the same may be granted.

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof or any other proceedings before the United States Patent and Trademark Office and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the Assignee's legal representatives the power to insert, before or after this document has been executed by the undersigned, any information to identify the instant patent application which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Thoralf KAUTZSCH:		
//ov 3*420/6 Date	Inventor's Signature	<del>,</del>
Heiko FROEHLICH:		
1/01/3 <sup>ml</sup> /20/16	BAIRS	
Date	Inventor's Signature	
Maik STEGEMANN:		
Date	Inventor's Signature	***************************************

		Docket Number: INF-2016P51892US
Mirko VOGT:		
Date	Inventor's Signature	

# DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

Title of Invention

"A GRADED-INDEX STRUCTURE FOR OPTICAL SYSTEMS

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

Application not Attached "This declaration is directed to the application attached hereto, if the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Appln, No.

\*United States Application Number or PCT International Appln. No.

15/345,015

Enter Filing Date

\*filed on

November 7, 2016

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain at least one claim in the application identified.

Insert Name of Assignee

WHEREAS, Infineon Technologies AG

Insert Address of Assignee

for Am Campeon 1-12, 85579 Neubiberg, GERMANY

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these considerations does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions of all of the above for the full term or terms for which the same may be granted.

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof or any other proceedings before the United States Patent and Trademark Office and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the Assignee's legal representatives the power to insert, before or after this document has been executed by the undersigned, any information to identify the instant patent application which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Thoralf KAUTZSCH:	
Date	Inventor's Signature
Heiko FROEHLICH:	
Date	Inventor's Signature
Maik STEGEMANN:	
<u> 11/13/ 16</u> Date	Inventor's Signature

		Docket Number: INF-2016P51892US
***************************************		
Mirko VOGT:		
Date	Inventor's Signature	

# DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

United States Patent Rights, or United States Plus all Foreign Patent Rights

Title of Invention

SA GRADED-INDEX STRUCTURE FOR OPTICAL SYSTEMS

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

Application not Attached This declaration is directed to the application attached hereto. If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Appin. No.

SUnited States Application Number or PCT International Appln. No.

15/345.015

**Enter Filing Date** 

filed on

November 7, 2016

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain at least one claim in the application identified.

Insert Name of Assignee

WHEREAS, Infineon Technologies AG

Insert Address of Assignee

Sof Am Campeon 1-12, 85579 Neubiberg, GERMANY

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these considerations does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions of all of the above for the full term or terms for which the same may be granted.

Attachment 8

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof or any other proceedings before the United States Patent and Trademark Office and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the Assignee's legal representatives the power to insert, before or after this document has been executed by the undersigned, any information to identify the instant patent application which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Thoralf KAUTZSCH:		
Date	Inventor's Signature	
Heiko FROEHLICH:		
Date	Inventor's Signature	
Maik STEGEMANN:		
Date	Inventor's Signature	

Mirko VOGT:

11/03/2018

ate Inventor

Page 3 of 3

Attachment 8
PATENT

REEL: 040369 FRAME: 0030

4361890-1