

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4108184

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF RIGHTS
CONVEYING PARTY DATA	
Name	Execution Date
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	09/09/2016
RECEIVING PARTY DATA	
Name:	DR JEREMIAH P TAO
Street Address:	8 GENTLE BREEZE
City:	NEWPORT COAST
State/Country:	CALIFORNIA
Postal Code:	92657
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14295533
CORRESPONDENCE DATA	
Fax Number:	(864)254-3437
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	TAO-006
NAME OF SUBMITTER:	CHARLOTTE C. WILSON
SIGNATURE:	/Charlotte C. Wilson/
DATE SIGNED:	10/21/2016
Total Attachments: 2	
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September 9, 2016

Jeremiah P. Tao, MD, FACS
Director, Oculoplastic & Orbital Surgery
University of California, Irvine
Gavin Herbert Eye Institute
850 Health Sciences Road
Irvine, CA 92697-4375

RE: Terms of Release to Inventor
"DEVICES AND METHODS FOR SURGICAL FIRE PREVENTION"
UC Case No. 2013-846

Dear Dr. Tao:

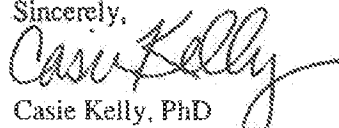
On May 17, 2013, our office received your invention disclosure report describing "DEVICES AND METHODS FOR SURGICAL FIRE PREVENTION," and assigned it UC Case No. 2013-846. Our office filed US Provisional Patent Application No. 61/836,023, on June 17, 2013 and US Provisional Patent Application No. 61/843,818, on July 8, 2013. Our office also filed US Non-Provisional Patent Application No. 14/295,533 on June 4, 2014, which claims priority to both US Provisional Patent Application No. 61/836,023 and US Provisional Patent Application No. 61/843,818 and which is currently pending at the US Patent and Trademark Office. As you know, we have since decided, on behalf of The Regents of the University of California, not to further pursue patent protection or commercialization of the invention. You have asked therefore that The Regents release those rights to you. The Regents will grant you that release upon your agreement to the following terms, as evidenced by your signatures below:

- 1) Your completed Record of Invention, which is attached hereto as Exhibit A, includes all information necessary for us to make an informed determination about the patentability and commercializability of the technology disclosed therein. The release of rights will apply only to the exact content of Exhibit A and the two US Provisional Patent Applications and one US Non-Provisional Patent Applications as identified above.
- 2) You have disclosed to UCI, via the invention report in Exhibit A, all sources of extramural funding or in-kind support that were used to conceive the Invention and/or to reduce it to practice. The Invention was not made with the use of any federal support or funds.

- 3) Neither you, nor others at your direction, are currently using or will use university resources in the future to further research or develop the Invention.
- 4) You will continue to pursue patent rights on the Invention at your own expense.
- 5) In the event you receive income, reimbursements or other monetary compensation through commercialization or development of the subject technology, you will reimburse The Regents for patent costs incurred related to the filings, which is estimated to be \$14,243.00.
- 6) You will provide UCI a copy of any and all resulting patents on the Invention upon issuance.
- 7) You will fully disclose to UCI any improvement you make with regard to the Invention using university funds or facilities. You understand such improvement will belong to The Regents and will be managed in accordance with University of California policy and practice.
- 8) You understand and agree that The Regents will retain a shop right and a fully paid-up, royalty free license to make, have made, use, and import the Invention for educational, clinical and research purposes, including by other educational institutions.
- 9) You will indemnify The Regents for any costs or liability related to or resulting from the patenting or marketing of the Invention after the date of this agreement.
- 10) This agreement will become effective upon the date of the latest signature below. If The Regents determines that you have breached the terms of this agreement, or misled the university in your representations herein, then The Regents may consider this agreement void *ab initio*.

Please sign below to acknowledge your acceptance of the terms of this agreement and return it to our offices.

Sincerely,

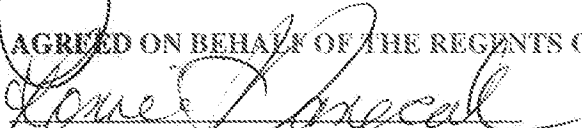


Casie Kelly, PhD
Assistant Director, Licensing
Life Sciences & Biotechnology

AGREED ON BEHALF OF THE UCI INVENTOR:


Jeremiah P. Tao
Date

AGREED ON BEHALF OF THE REGENTS OF THE UNIVERSITY OF CALIFORNIA


Ronnie Hanecak, PhD
Assistant Vice Chancellor
Date