## 504120424 12/02/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Sunil Kumar KC	10/07/2011
David Mark Wallace	10/07/2011
John Hood	10/07/2011
Charlene F. Barroga	10/07/2011

### **RECEIVING PARTY DATA**

Name:	WINTHERIX LLC	
Street Address:	10225 BARNES CANYON RD, STE A104	
City:	SAN DIEGO	
State/Country:	CALIFORNIA	
Postal Code:	92121	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15349118

### CORRESPONDENCE DATA

**Fax Number:** (877)769-7945

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (612) 335-5070 **Email:** apsi@fr.com

Correspondent Name: ANGELA D. FOLLETT

Address Line 1: FISH & RICHARDSON P.C.

Address Line 2: P.O.BOX 1022

Address Line 4: MINNEAPOLIS, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER:	29124-0026006	
NAME OF SUBMITTER:	JILL A. WRIGHT	
SIGNATURE:	/Jill A. Wright/	
DATE SIGNED:	12/02/2016	

## **Total Attachments: 5**

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# **ASSIGNMENT**

For valuable consideration, we, Sunil Kumar KC, of 10504 Clasico Court, San Diego, CA 92127; David Mark Wallace, of 6448 Peinado Way, San Diego, CA 92121; John Hood, of 10831 Vereda Sol del Dios, San Diego, CA 92130; and Charlene F. Barroga, of 8950 Costa Verde, #4237, San Diego, CA 92122; hereby assign to WINTHERIX LLC, a limited liability company in Delaware, having a place of business at 10225 Barnes Canyon Rd, Ste A104, San Diego, CA 92121, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled  $\beta$ - AND  $\gamma$ -DIKETONES AND  $\gamma$  -HYDROXYKETONES AS WNT/  $\beta$  -CATENIN SIGNALING PATHWAY ACTIVATORS, filed August 17, 2011, and assigned U.S. Serial Number 13/211,665, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE: Set 67, 2011  SUNIL RUMAR KC
Acknowledgment
State of California
County of SAN DEGIO
On OCT 7, 11 before me, OF BBE ABOUT DEA PAZ, Notary public (insert name and title of the officer)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  OFFICIAL SEAL DELBBIE PASCUA DELA PAZ NOTARY PUBLIC-CALIFORNIA & COMM. NO. 1901190 SAN DIEGO COUNTY MY COMM. EXP. AUG. 23, 2014

REEL: 040494 FRAME: 0353

DATE: 10/7/2011	DAVID MARK WALLACE
Ack	nowledgment
State of California	
County of SAN DIEGO	
On 607 7, 11 before	me, NETBHE PASUM PETA PAZ, Witany pylli (insert name and title of the officer)
personally appeared	tory evidence to be the person(s) whose name(s) is/are knowledged to me that he/she/they executed the same and that by his/her/their signature(s) on the instrument which the person(s) acted, executed the instrument.
	under the laws of the State of California that the
WITNESS my hand and official seal.	OFFICIAL SEAL  DELBBIE PASCUA DELA PAZ  NOTARY PUBLIC-CALIFORNIA  COMM. NO. 1901190  SAN DIEGO COUNTY  MY COMM. EXP. AUG. 23, 2014
Signature	The second secon

DATE: October 7, 2011	
JOH!	N HOOD
Acknowle	dgment
State of California	
County of SAN DIEGO	
On OCT 7, 11 before me, 1/2	(insert name and title of the officer)
who proved to me on the basis of satisfactory evid subscribed to the within instrument and acknowle in his/her/their authorized capacity(ies), and that the the person(s), or the entity upon behalf of which to I certify under PENALTY OF PERJURY under the foregoing paragraph is true and correct.	by his/her/their signature(s) on the instrument he person(s) acted, executed the instrument.
WITNESS my hand and official seal.  Signature(Seal	OFFICIAL SEAL DELBBIE PASCUA DELA PAZ NOTARY PUBLIC-CALIFORNIA COMM. NO. 1901190 SAN DIEGO COUNTY MY COMM. EXP. AUG. 23, 2014

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DATE: 16/07/2011Ackn	Churlene Same CHARLENE F/BARROGA owledgment
State of California	
County of SAN DEGO	
On OCT 7, 11 before n	(insert name and title of the officer)
who proved to me on the basis of satisfactors subscribed to the within instrument and ack in his/her/their authorized capacity(ies), and the person(s), or the entity upon behalf of we have upon behalf of we	ry evidence to be the person(s) whose name(s) is/are nowledged to me that he/she/they executed the same I that by his/her/their signature(s) on the instrument which the person(s) acted, executed the instrument.
foregoing paragraph is true and correct.  WITNESS my hand and official seal.  Signature	OFFICIAL SEAL DELBBIE PASCUA DELA PAZ NOTARY PUBLIC-CALIFORNIA E COMM. NO. 1901190 SAN DIEGO COUNTY MY COMM. EXP. AUG. 23, 2014

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