# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4171910

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
GUOXIAN WU	08/01/2008
JIAZHONG ZHANG	08/01/2008
YONG-LIANG ZHU	07/24/2008
CHAO ZHANG	08/01/2008
PRABHA N. IBRAHIM	08/01/2008
SONGYUAN SHI	08/05/2008
WAYNE SPEVAK	08/01/2008
DEAN R. ARTIS	08/01/2008
JAMES TSAI	08/04/2008

## **RECEIVING PARTY DATA**

Name:	PLEXXIKON INC.
Street Address:	91 BOLIVAR DRIVE
Internal Address:	SUITE A
City:	BERKELEY
State/Country:	CALIFORNIA
Postal Code:	94710

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15260042

### **CORRESPONDENCE DATA**

**Fax Number:** (650)815-2601

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6508152600

Email: abaker@sheppardmullin.com

Correspondent Name: SHEPPARD MULLIN RICHTER & HAMPTON LLP

Address Line 1: 379 LYTTON AVENUE

Address Line 2: JOY NEMIROW

Address Line 4: PALO ALTO, CALIFORNIA 94301

**ATTORNEY DOCKET NUMBER:** 37JF-193349-US6

504125240 REEL: 040536 FRAME: 0722

PAIENI

NAME OF SUBMITTER:	/JOY NEMIROW/	
SIGNATURE: /Joy Nemirow/		
DATE SIGNED:	12/06/2016	
Total Attachments: 7		
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PATENT REEL: 040536 FRAME: 0723

#### ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Plexxikon Inc. 91 Bolivar Drive, Suite A Berkeley, California 94710

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

# COMPOUNDS AND METHODS FOR KINASE MODULATION, AND INDICATIONS THEREFOR

as set forth in	this.	Patent Coo	peration Tre	aty Application, o	claiming priority	to 0.2. rrovisional
Patent Applie	cation	Serial No.	60/959,907	Filed July 17, 20	)07,	
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. I. sale syna	1	1 avacuted	concurrently	v herewith.		

check one	executed concurrently herewith,	
	executed on	
	X International Application No. PCT/US2008/070124 Filed July 16.	, 2008

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

Page 1 of 7

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Schlee IP International, P.C. the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Schlee IP International**, **P.C.** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Atty. Dkt. No. P37929

Executed this 1st day of Aug. 2006	
GUOXIAN WU	
State of California	
County of Haurada	
On Arejust 1, 2005 before me. John Totsburgh, William Folds (here insert name and title of the officer personally appeared GUOXIAN WU, who proved to me on the basis of satisfactory evidence to be the person(s) whose as inface subscribed to the within instrument and acknowledged to me that he/sherther executed the same in his/her/their audicapacity(ioe), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s).	
acted, executed the instrument	
l certify under PENAUTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and c	correct.
WITNESS my hand and official seal.	
Signature (Seal) JOHN TESTAM Commission # 1888363	
Notary Public - California	Ì
Alameda County  My Comm. Expires Jun 18, 200	m
Executed this 01 day of 1/4 mint, 20 08	
J. a	
JIAZHONG ZHANG	economistra de l'estre y explorationes
State of California	
County of Alameda	
on August 1, 2018 before me. Jun Tossman, Water y Marc (here insert name and little of the officer	A
personally appeared <u>JIAZHONG ZHANG</u> , who proved to the on the basis of satisfactory executed the same in his/he name(s) is/ass subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/he authorized capacity(les), and that by his/her/their-signature(e) on the instrument the person(s), or the entity upon behalf of person(s) acted, executed the instrument.	r <del>/the</del> ir f which th
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and	correct.
WITNESS my hand and official seal.	
JOHN TESSMAN	1
Signature (Seaf) Commission # 1588363 Notary Public - California Alameda County My Comm. Expires Jun 18, 200	
184 COLUMN CONTROL OF THE PROPERTY OF THE PROP	4

Page 3 of 7

Atty. Dkt. No. P37929

Executed this 24 day of July	. 20 <u>= Y</u>	<i>;</i> •		:
	AND NOODERS OF THE PROFESSION		rusher -	5
State of California County of Alame La		YON	G-LIANG ZHU	
On July 14 before me, July Tessman W personally appeared YONG-LIANG ZHU, who proved to me name(s) is/are subscribed to the within instrument and acknowled capacity(ses), and that by his/her/their signature(ses).	wiedoed to me	e that he/s <del>ho/the</del> v	executed the same in his	/her/their
person(%) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the	s State of Calif	ornia that the fore	going paragraph is true a	nd correct.
WITNESS my hand and official seal.  Signature	_ (Seal)		Commission # 155356 Noticy Public - Costoria Noticy Public - Costoria Noticy Public - Costoria Nomice County by Comm. Express Jun 18.	
Executed this 1st day of August	, 20 co.	C/	<del></del>	
On August August before me. John Tessman, Modern personally appeared CHAO ZHANG, who proved to me on the is/are subscribed to the within instrument and acknowledged capacity(jest), and that by his/her/their signature(a) on the instrument.	io me inai neg	/ (here inser	High Period III High Manager	200101200
acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the	State of Califo	ernia that the fore	going paragraph is true a	nd correct.
WITNESS my hand and official seal  Signature	(Seaf)		JOHN 1588361 Commission # 1588361 Notary Public - Cottomi Alameda County Y Comm. Expires Jun 18, 2	

Atty. Dkt. No. P37929

Executed this 1 day of Azymer, 20 of.
PRABHA N. IBRAHIM
State of California  County of Alameda
on Attack 1 200 before me. John John John Long (here insert name and title of the officer), personally appeared PRABHA N, IBRAHIM, who proved to me on the basis of satisfactory evidence to be the person(e) whose name of is/are subscribed to the within instrument and acknowledged to me that be/she/they executed the same in basis of which the authorized capacity(let), and that by bie/her/their signature(s) on the instrument the person(e), or the entity upon behalf of which the person(e) acted, executed the instrument
Loertify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature (Seal) Commission # 1535368 Notcuy Public - Collibration Alomedia County My Comm. Expired Am 18, 2005
Executed this 5th day of Angust 2000
State of California
County of Aldune da
On August 5 M before me. John Tobuston, Witer P. Lat C (here insert name and title of the officer), personally appeared SONGYUAN SHI, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/per subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(les), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct
WITNESS my hand and official seal.
Signature (Seal) Convincion of 138369 Noticey Public - Collisions Alamede County Aly Corrun. Experts Jun 18, 2007

Executed this 1st day of Aug., 2008.
San Jal
WAYNE SPEVAK
State of California  County of Alamedan
On Harlet 1, 2007 before me. Jay Tosaman, Water of Public (here insert name and title of the officer), personally appeared WAYNE SPEVAK, who proved to me on the basis of satisfactory evidence to be the person(s) whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(lies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person acted, executed the instrument.
Loartify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature (Seal)    Signature   South Tessam   Commission # 1568343     Notory Public - Colffornia     Alameda County     My Comm. Biptires Aun 16, 2007
Executed this / day of facus, 2008.
DEAN R. ARTIS
State of California
County of Algerela
On August 1, 2018 before me, Jahn Tessimen, Wifer, Park I C (here insert name and title of the officer), personally appeared DEAN R. ARTIS, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is lare subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(lest), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct
WITNESS my hand and official seal.
Signature (Seal)  JOHN TESSMAN Commission # 1588363 Notary Public - Collidation Aldmeda County
My Comm. Expline Jun 18, 2001

Page 6 of 7

Executed this 44 day of August 2008

JAMES TSAI

State of California

County of Maincola

On Acts of 1,24% before me. John 16880000 Motors flat (there insert name and title of the officer), personally appeared JAMES TSAI, who proved to me on the basis of satisfactory evidence to be the person(a) whose name(a) is/are subscribed to the within instrument and acknowledged to me that he/she/here executed the same in his/her/their authorized capacity(les), and that by his/her/their signature(e) on the instrument the person(e), or the entity upon behalf of which the person(p) acted, executed the instrument.

certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature. (Se

Commission # 1589.53

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Aconecid County
Ny Correst, Especies Apr 18, 8500