

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4171910

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
GUOXIAN WU	08/01/2008
JIAZHONG ZHANG	08/01/2008
YONG-LIANG ZHU	07/24/2008
CHAO ZHANG	08/01/2008
PRABHA N. IBRAHIM	08/01/2008
SONGYUAN SHI	08/05/2008
WAYNE SPEVAK	08/01/2008
DEAN R. ARTIS	08/01/2008
JAMES TSAI	08/04/2008
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	PLEXXIKON INC.
<b>Street Address:</b>	91 BOLIVAR DRIVE
<b>Internal Address:</b>	SUITE A
<b>City:</b>	BERKELEY
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94710
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15260042
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)815-2601
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6508152600
<b>Email:</b>	abaker@sheppardmullin.com
<b>Correspondent Name:</b>	SHEPPARD MULLIN RICHTER & HAMPTON LLP
<b>Address Line 1:</b>	379 LYTTON AVENUE
<b>Address Line 2:</b>	JOY NEMIROW
<b>Address Line 4:</b>	PALO ALTO, CALIFORNIA 94301
<b>ATTORNEY DOCKET NUMBER:</b>	37JF-193349-US6

PATENT

<b>NAME OF SUBMITTER:</b>	/JOY NEMIROW/
<b>SIGNATURE:</b>	/Joy Nemirow/
<b>DATE SIGNED:</b>	12/06/2016
<b>Total Attachments: 7</b> source=193349-US5_Assignment#page1.tif source=193349-US5_Assignment#page2.tif source=193349-US5_Assignment#page3.tif source=193349-US5_Assignment#page4.tif source=193349-US5_Assignment#page5.tif source=193349-US5_Assignment#page6.tif source=193349-US5_Assignment#page7.tif	

**ASSIGNMENT - WORLDWIDE**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Plexxikon Inc.  
91 Bolivar Drive, Suite A  
Berkeley, California 94710

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

**COMPOUNDS AND METHODS FOR KINASE MODULATION, AND INDICATIONS THEREFOR**

as set forth in this Patent Cooperation Treaty Application, claiming priority to U.S. Provisional Patent Application Serial No. 60/959,907 Filed July 17, 2007,

*check one*     ☐ ] executed concurrently herewith,  
                  ☐ ] executed on \_\_\_\_\_,  
                  ☒ ] International Application No. PCT/US2008/070124 Filed July 16, 2008

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

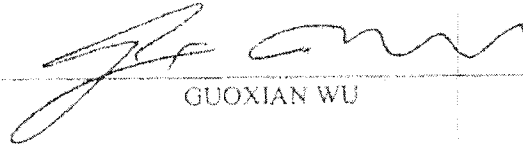
ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Schlee IP International, P.C.** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Schlee IP International, P.C.** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 1st day of Aug., 2008

  
GUOXIAN WU

State of California

County of Alameda

On August 1, 2008 before me, John Tessimian, Notary Public (here insert name and title of the officer), personally appeared GUOXIAN WU, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~<sup>they</sup> executed the same in his/~~her~~<sup>their</sup> authorized capacity(ies), and that by his/~~her~~<sup>their</sup> signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.


I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 01 day of August, 2008



JIAZHONG ZHANG

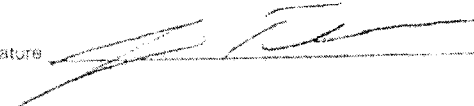
State of California

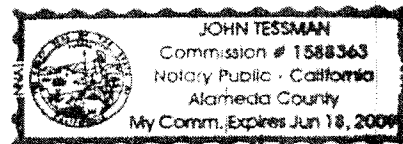
County of Alameda

On August 11, 2008 before me, John Tessimian, Notary Public (here insert name and title of the officer), personally appeared JIAZHONG ZHANG, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~<sup>they</sup> executed the same in his/~~her~~<sup>their</sup> authorized capacity(ies), and that by his/~~her~~<sup>their</sup> signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

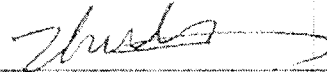
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 24 day of July, 2008



YONG-LIANG ZHU

State of California

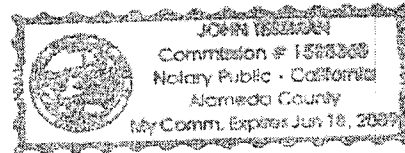
County of Alameda

On July 24 before me, John Tassman, Notary Public (here insert name and title of the officer), personally appeared YONG-LIANG ZHU, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 1st day of August, 2008.



CHAO ZHANG

State of California

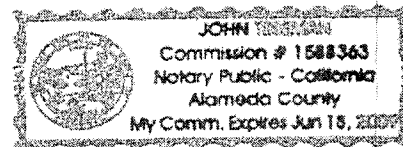
County of Alameda

On August 1, 2008 before me, John Tassman, Notary Public (here insert name and title of the officer), personally appeared CHAO ZHANG, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 1 day of August, 2008.

  
PRABHA N. IBRAHIM

State of California

County of Alameda

On August 1, 2008 before me, John Tessman, Notary Public (here insert name and title of the officer), personally appeared PRABHA N. IBRAHIM, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 5th day of August, 2008.

  
SONGYUAN SHI

State of California

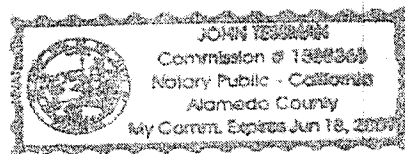
County of Alameda

On August 5, 2008 before me, John Tessman, Notary Public (here insert name and title of the officer), personally appeared SONGYUAN SHI, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



Executed this 1<sup>st</sup> day of Aug, 2008.

Wayne Spevak  
WAYNE SPEVAK

State of California

County of Alameda

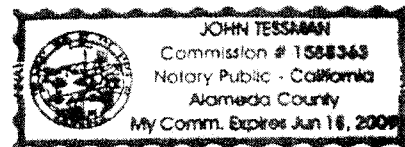
On August 1, 2008 before me, John Tessman, Notary Public (here insert name and title of the officer), personally appeared WAYNE SPEVAK, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

(Seal)



Executed this 1 day of August, 2008.

Dean R. Artis

DEAN R. ARTIS

State of California

County of Alameda

On August 1, 2008 before me, John Tessman, Notary Public (here insert name and title of the officer), personally appeared DEAN R. ARTIS, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

(Seal)





Executed this 4th day of August, 2008.



JAMES TSAI

State of California

County of Alameda

On August 4, 2008 before me, John Tassman, Notary Public (here insert name and title of the officer), personally appeared JAMES TSAI, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature:  (Seal)

