### 504077954 11/02/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4124621

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
	Corrective Assignment to correct the ASSIGNEE NAME AND ASSIGNMENT DOCUMENT previously recorded on Reel 037954 Frame 0486. Assignor(s) hereby confirms the ASSIGNMENT.

# **CONVEYING PARTY DATA**

Name	Execution Date
MAXIM KELMAN	02/11/2016
SHABAB KHANDAN	02/21/2016
SCOTT DUNHAM	02/11/2016
TAC VAN HUYNH	02/12/2016
KENNETH B.K. TEO	02/12/2016

# **RECEIVING PARTY DATA**

Name:	AIXTRON SE	
Street Address:	DORNKAULSTRASSE 2	
City:	HERZOGENRATH	
State/Country:	GERMANY	
Postal Code:	52134	

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15008663

# **CORRESPONDENCE DATA**

Fax Number: (202)672-5399

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (202) 672-5300

Email: kstrawderman@foley.com, ipdocketing@foley.com

STEPHEN B. MAEBIUS **Correspondent Name:** Address Line 1: **FOLEY & LARDNER LLP** 

Address Line 2: 3000 K STREET N.W., SUITE 600

Address Line 4: WASHINGTON, D.C. 20007

ATTORNEY DOCKET NUMBER: 107985-0104 **NAME OF SUBMITTER:** STEPHEN B. MAEBIUS **SIGNATURE:** /Stephen B. Maebius/ DATE SIGNED: 11/02/2016

**PATENT** REEL: 040558 FRAME: 0050 504077954

# Total Attachments: 11 source=CorrectAssignment#page1.tif source=CorrectAssignment#page2.tif source=CorrectAssignment#page3.tif source=CorrectAssignment#page4.tif source=CorrectAssignment#page5.tif source=CorrectAssignment#page6.tif source=CorrectAssignment#page7.tif source=CorrectAssignment#page8.tif source=CorrectAssignment#page9.tif source=CorrectAssignment#page9.tif source=CorrectAssignment#page10.tif source=CorrectAssignment#page11.tif

PATENT REEL: 040558 FRAME: 0051 Assignment Page 1 of 2

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
MAXIM KELMAN	02/11/2016
SHAHAB KHANDAN	02/21/2016
SCOTT DUNHAM	02/11/2016
TAC VAN HUYNH	02/12/2016
KENNETH B.K. TEO	02/12/2016

# RECEIVING PARTY DATA

Name:	AIXTRON SE
Street Address:	DORNKAULSTRASSE 2
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# PROPERTY NUMBERS Total: 1

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provided; if that is unsuccessful, it will be sent via US Mail.

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Page 2 of 2

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ATTORNEY DOCKET	NUMBER:	107985-0104
NAME OF SUBMITTER	₹:	STEPHEN B. MAEBIUS
Signature:		/Stephen B. Maebius/
Date:		02/29/2016
Total Attachments: 7 source=Assignment#pa source=Assignment#pa source=Assignment#pa source=Assignment#pa source=Assignment#pa source=Assignment#pa source=Assignment#pa	age2.tif age3.tif age4.tif age5.tif age6.tif	
RECEIPT INFORMATI	ON	
EPAS ID: Receipt Date:	PAT3760: 02/29/201	

### ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

AIXTRON SE DO /0/3//2016
Dornkaulstrasse 2
52134 Herzogenrath
Germany

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

PREPARING A SEMICONDUCTOR SURFACE FOR EPITAXIAL DEPOSITION

as set forth in this United States Patent Application

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the Patent Cooperation Treaty, the Paris Convention and any other international agreement; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

Page 1 of 7

4839-2401-4637,1

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner LLP the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner LLP do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 11 day of February, 20 16  MAXIM KELMAN
State of
On, before me,  Date Here insert Name and Title of the Officer (Notary Public)
Date Here insert Name and Title of the Officer (Notary Public)
personally appeared MAXIM KELMAN Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature Signature of Notary Public
Place Notary Seal Above

Executed this 21 day of February, 2016.
3hh Kld
SHAHAB KHANDAN
State of California County of)
On, before me
personally appeared SHAHAB KHANDAN  Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature Signature of Notary Public
Place Notary Seal Above

Executed this day of FEBRUARY, 20 10
Ant al
SCOTT DUNHAM
State of) County of)
On, before me,
On, before me,
personally appeared <u>SCOTT DUNHAM</u> Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature Signature of Notary Public
Place Notary Seal Above

Page 5 of 7

4839-2401-4637.1

Executed this day of	t-conea/420/6.
	Caclfrench
	TAC VAN HUYNH
State of	
State of) County of)	
On	, before me,  Here insert Name and Title of the Officer (Notary Public)
Date	Here insert Name and Title of the Officer (Notary Public)
personally appeared <u>TAC V.</u> Nam	AN HUYNH e(s) of Signer(s)
to me within instrument and acknowled	s of satisfactory evidence to be the person(s) whose name(s) is/are subscribed diged to me that he/she/their executed the same in his/her/their authorized signature(s) on the instrument the person(s), or the entity upon behalf of which ament.
I certify under PENALTY OF	PERJURY that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.	
Signature	
Signature Signature of Notary Public	Administrative particular in the second seco
•	
Place Notary Scal Above	

Page 6 of 7

4839-2401-4637.1

	Atty. Dkt. No. 107985-0104
Executed this 12TH day of FEBRUARY, 2016.	
$\rightarrow$	Lund
	КЕNNЕТН В.К. ТЕО
State of	
County of)	
On, before me,	
Date Here insert l	Name and Title of the Officer (Notary Public)
personally appeared <u>KENNETH B.K. TEO</u> Name(s) of Signer(s)  who proved to me on the basis of satisfactory evidence to to the within instrument and acknowledged to me that he/she/their capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) acted, executed the instrument.	evecuted the came in bio/ban/45-in-unit
I certify under PENALTY OF PERJURY that the foregoing	ng paragraph is true and correct.
WITNESS my hand and official seal.	
Signature	
Signature Signature of Notary Public	
Place Notary Seal Above	

Page 7 of 7

4839-2401-4637.1

**RECORDED: 11/02/2016**