### 11/10/2016 504089731

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JOHN ANDREW CHRISTOPHER	05/04/2016
MILES STUART CONGREVE	05/04/2016
SARAH JOANNE BUCKNELL	05/04/2016
FRANCESCA DEFLORIAN	05/04/2016
MARK PICKWORTH	05/04/2016
JONATHAN STEPHEN MASON	05/04/2016

### **RECEIVING PARTY DATA**

Name:	HEPTARES THERAPEUTICS LIMITED
Street Address:	BIOPARK, BROADWATER ROAD
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City:	HERTFORDSHIRE
State/Country:	UNITED KINGDOM
Postal Code:	AL7 3AX

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15336893

### **CORRESPONDENCE DATA**

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NAME OF SUBMITTER:	KATIE M. CARLSON
SIGNATURE: /Katie M. Carlson/	
DATE SIGNED:	11/10/2016

**PATENT** REEL: 040582 FRAME: 0414 504089731

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PATENT REEL: 040582 FRAME: 0415

### ASSIGNMENT

THIS ASSIGNMENT is made this 4th day of May 2016

### BETWEEN

John Andrew Christopher, a British citizen of c/o Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include his successors in title)

Miles Stuart Congreve, a British citizen of c/o Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include his successors in (itle)

Sarah Joanne Bucknell, a British citizen of c/o Heptares Therapeuties Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include her successors in title)

Francesca Deflorian, an Italian citizen of c/o Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include her successors in title)

Mark Pickworth, a British citizen of c/o Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include his successors in title)

Jonathan Stephen Mason, a British citizen of c/o Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (an "Assignor" which expression where the context so requires shall include his successors in title)

### AND

Heptares Therapeutics Limited, a company organized and existing under the laws of England and Wales, of BioPark, Broadwater Road, Welwyn Garden City, Hertfordshire, AL7 3AX, UK (the "Assignee" which expression where the context so requires shall include its successors in title)

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### RECITALS:

- (A) The Assignors are co-inventors of Invention ("the Invention") described in the United Kingdom patent application details of which are set out in the Schedule hereto and forms part of this assignment ("the Applications"); and
- (B) The Assignors have agreed to assign all rights, title, interest and property in the Applications and Invention to the Assignee, together with all ancillary rights relating thereto upon the following terms.

### **OPERATIVE PROVISIONS:**

- In consideration of the sum of £1.00 paid by the Assignee to the Assignors, the receipt and sufficiency of which is hereby acknowledged, the Assignors hereby assign:
  - i) all rights, title and interest and property in the Applications and the Invention, free from all licenses, charges and other encumbrances, with full title guarantee;
  - ii) the right to apply for and to obtain patents or other form of protection in respect of the whole or any part or parts of or improvements to the invention worldwide ("Further Applications") and/or obtain other forms of protection to the intent that those Further Applications and other forms of protection shall be in the name and shall vest in the Assignee;
  - iii) the full and exclusive benefit of the Applications, the Invention and Further Applications, and other forms of protection and all rights, privileges and advantages appertaining thereto, together with the right to obtain any extensions and supplementary protection certificates;
  - iv) the right to recover and to bring proceedings to recover damages and/or to obtain other remedies in respect of infringement of any patents and other forms of protection relating to the invention whether committed before or after the date of this assignment,
  - v) the right to claim priority from the Applications or Further Applications under the terms of the International convention and any treaties; and
  - vi) the right to file divisional applications and continuations of the Applications and Further Applications;

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TO HOLD the same unto the Assignee absolutely.

- At the request and cost of the Assignee, the Assignors will execute and sign all such instruments, applications, deeds, documents and do all such acts and things as may be reasonably required by the Assignee to enable the Assignee or its nominee to: (a) enjoy the full and exclusive benefit of the Applications and the Invention and of the property rights hereby assigned, (b) fully and effectively vest the same in the Assignee, (c) formally register the Assignee's title in the same at any relevant Patent Office, and (d) assist in the prosecution of all national and regional applications to grant.
- The Agreement shall be binding upon and shall inure to the benefit of the parties hereto, their respective successors and assigns as well as any subsidiary companies of the parties hereto.
- The parties will co-operate and consult with one another in good faith, if necessary in the future to carry out the intent of this agreement.
- This agreement shall be governed by and construed in accordance with the laws
  of England and Wales and the parties for this purpose hereby submit to the
  exclusive jurisdiction of the Courts of England and Wales.

IN WITNESS whereof the parties have executed this document as a Deed on the date first above written.

## **SCHEDULE**

Country Patent Application No. Title
United Kingdom GB 1519194.3 CGRP Receptor Antagonists

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SIGNED as a Deed by John Andrew Christopher	· · · · · · · · · · · · · · · · · · ·
in the presence of:	)(Witness) Si Technology
	Name: BARRY TECOHLD
	Address: 30 BROADMEAD, HITCHIN, HERTS. SG49LX.
SIGNED as a Deed by Miles Stuart Congreve	)(Signatory)
in the presence of:	)(Witness) $ICGI_{VO}L_{V}$
	Name: TCG-TASKER
	Address: FLAT 15 27 VCEN SINGTON GARDENS SOMBRE LONDON WZ 486
SIGNED as a Deed by Sarah Joanne Bucknell	)(Signatory) SBuckenell
in the presence of :	)(Witness)
	Name: BEN WHITEHOUST
	Address: FLAT 6, 7 MINERET PLACE
	SCENERACYE HEREZ BOLLICK

SIGNED as a Deed by Francesca Deflorian	)(Signatory) from code from
in the presence of:	(Witness)
	Name: Suzyruse Lexica
	Address: 9 THOE MOKA WE
	OSOFRATTIALA
	CAMERIDGE
	CQ55 444
	and the second s
	N. D.
SIGNED as a Deed by Mark Pickworth	)(Signatory)
in the presence of :	)(Witness) Holly MEBi-
	Name: HOLLY MCERLAIN
	Address: FLAT 3 BOTANY LOOGE
	GREAT AGHBY WAY STEVENAGE
	561 60Q
	,
SIGNED as a Deed	(Signatory) 9-5. Kasn
by Jonathan Stephen Mason	(Academical)
	ALIO.
in the presence of:	)(Witness)
	Name: ROBERT THOMAS SMITH
	Address: FLAT 4, HARSONER GORT, JOS STREET,
	SAUSRIDGEWORTH, HERTPORDSHER,
	CWS1 9810

SIGNED as a Deed Heptares Therapeutics Li	)(Director) nited	L Nash-1
in the presence of:	)(Witness)	<i>40 a</i> a

Name: AMANDA FLACK

Address: 51 FIBHERIGEEN RO BEHNAGE, 3912A

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**RECORDED: 11/10/2016** 

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