# 504134931 12/19/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
ALEX EZRA CABLE	12/12/2016
VIJAYSEKHAR JAYARAMAN	12/15/2016
BENJAMIN MICHAEL POTSAID	12/07/2016

### **RECEIVING PARTY DATA**

Name:	THORLABS, INC.
Street Address:	56 SPARTA AVENUE
City:	NEWTON
State/Country:	NEW JERSEY
Postal Code:	07860
Name:	PRAEVIUM RESEARCH, INC.
Street Address:	5385 HOLLISTER AVENUE, # 211, BUILDING 8, OFFICE 16
City:	SANTA BARBARA
State/Country:	CALIFORNIA
Postal Code:	93111

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13952554

### CORRESPONDENCE DATA

**Fax Number:** (866)864-3947

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 973-401-7157

**Email:** patent@grahamcurtin.com

Correspondent Name: HARRIS A. WOLIN
Address Line 1: GRAHAM CURTIN, P.A.

Address Line 2: 4 HEADQUARTERS PLAZA, P.O. BOX 1991
Address Line 4: MORRISTOWN, NEW JERSEY 07962-1991

Address Line 4: MORRISTOWN, NEW JERSEY 07962-199

ATTORNEY DOCKET NUMBER: 3797-12 (THOR 3794)

NAME OF SUBMITTER: HARRIS A. WOLIN

PATENT 504134931 REEL: 040670 FRAME: 0693

SIGNATURE:	/Harris A. Wolin/
DATE SIGNED:	12/19/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 4 source=3797_12_thor_3794_20161216_dec_assign#page1.tif	

source=3797\_12\_thor\_3794\_20161216\_dec\_assign#page1.tif source=3797\_12\_thor\_3794\_20161216\_dec\_assign#page2.tif source=3797\_12\_thor\_3794\_20161216\_dec\_assign#page3.tif source=3797\_12\_thor\_3794\_20161216\_dec\_assign#page4.tif

> PATENT REEL: 040670 FRAME: 0694

# DECLARATION AND ASSIGNMENT

Attorney Docket: 3797-12 (THOR 3794)

As a below named inventor (designated as "the undersigned"), I hereby declare that:

This declaration is directed to the application attached hereto and entitled WIDELY TUNABLE SHORT CAVITY LASER. If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above.

FOR PATENT APPLICATIONS

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Address all correspondence to CUSTOMER NUMBER 61624.

#### **ASSIGNMENT**

WHEREAS, Thorlabs, Inc., having an address at 56 Sparta Avenue, Newton, NJ, 07860 and Praevium Research, Inc., having an address at 5385 Hollister Avenue, #211, Building 8, Office 16, Santa Barbara, CA, 93111 (hereinafter "ASSIGNEES"), is desirous of acquiring the entire right, title and interest in, to and under the above identified application and invention, and to any Letters Patent(s) that may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEES, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filled for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

The undersigned authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

### **Customer Number 61624**

Attorney Docket: 3797-12 (THOR 3794)

The undersigned covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith.

The undersigned further covenants and agrees that he will communicate to the said ASSIGNEES, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

The undersigned hereby grants the law firm of Graham Curtin the power to insert any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Full name of inventor:	Alex Ezta Cable				
Inventor's Signature:		ALL AND	_ Date:	2/12/16	
Residence:				<u> </u>	
Post Office Address:	c/o Thorlabs, Ir	ic., 56 Sparta A	venue, Newto	on, NJ 07860	
Full name of inventor:	Vijaysekhar Jayaramar	<b>1</b>		·	
Inventor's Signature: _	NAME OF THE OWNER OWNER OF THE OWNER OWNE		_ Date:	i	
Residence:	Goleta, CA			·	
Post Office Address: <u>c/</u>	<u>'o Praevium Research, Ir</u>	c., 5385 Holliste	r Avenue, #2	11, Building 8, O	ffice 16,
Santa Barbara, CA 931	111	:			
Full name of inventor:	Benjamin Potsaid				
Inventor's Signature: _		: 	_ Date:		
	Cambridge, MA			<del>Vanadassa as a qua</del> guinte a qui in sin a sinja dinassa anno	
	c/o Thorlabs, Inc.,				

## Customer Number 61624

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In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Full name of inventor:	Alex Extra Cable
Inventor's Signature: _	Oate:
Residence:	Newton NU
Post Office Address:	c/o Thorlabs, Inc., 56 Sparta Avenue, Newton, NJ 07860
	Vijaysekhar Jayaraman
Inventor's Signature: _	
Residence:	Goleta, CA
Post Office Address: <u>c</u>	o Praevium Research, Inc., 5385 Hollister Avenue, #211, Building 8, Office 16,
Santa Barbara, CA 93	111
Full name of inventor.	Benjamin Poteaid
Inventor's Signature: _	Date
Residence:	Cambridge, MA
Post Office Address:	c/o Thoriabs, Inc., 56 Sparta Avenue, Newton, NJ 07860

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In witness whereof, executed by the undersigned on the date opposite the undersigned name.

Full name of inventor:	Alex Ezra Cable
	Date:
	Newton, NJ
Post Office Address:	c/o Thoriabs, Inc. 56 Sparta Avenue, Newton, NJ 07860
Full name of inventor:	Vijaysekhar Javaraman
Inventor's Signature: _	Date:
Residence:	Goleta CA
Post Office Address: C	o Praevium Research, Inc., 5385 Hollister Avenue, #211, Building 8, Office 16
Santa Barbara, CA 93	•
Full name of inventor.	Benjamin Potsaid
Inventor's Signature:	Baj (2/7/2016
Residence:	T.
Post Office Address:	c/c Thorlabs, Inc. 56 Sparta Avenue, Newton, NJ 07860