

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4191875

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RYAN LEARD	12/16/2016
BRIAN AWABDY	12/16/2016
GREG OMWEG	12/16/2016
RECEIVING PARTY DATA	
Name:	SPIROMETRIX, INC.
Street Address:	6210 STONERIDGE MALL ROAD
Internal Address:	SUITE 410
City:	PLEASANTON
State/Country:	CALIFORNIA
Postal Code:	94588
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	29585663
CORRESPONDENCE DATA	
Fax Number:	(415)477-4003
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(415) 293-8185
Email:	Heather@Rhodes-PC.com
Correspondent Name:	HEATHER H. FAN/ GLENN W. RHODES
Address Line 1:	ONE MARKET STREET, SPEAR TOWER, SUITE 3600
Address Line 2:	RHODES ATTORNEYS AT LAW P.C.
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94105
ATTORNEY DOCKET NUMBER:	12000.0011.DPUS00
NAME OF SUBMITTER:	HEATHER H. FAN
SIGNATURE:	/Heather H. Fan/
DATE SIGNED:	12/19/2016
Total Attachments: 4	
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Ryan Leard, Brian Awabdy, and Greg Omweg

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Spirometrix, Inc.

Internal Address: _____

Street Address: 6210 Stoneridge Mall Road

Suite 410

City: Pleasanton

State: California

Country: U.S. Zip: 94588

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 16, 2016

Assignment

Merger

Security Agreement

Change of Name

Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

29/585,663

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Heather H. Fan/ Glenn W. Rhodes

Internal Address: Rhodes Attorneys at Law P.C.

Street Address: One Market Street

Spear Tower, Suite 3600

City: San Francisco

State: California Zip: 94105

Phone Number: (415) 293-8185

Docket Number: 12000.0011.DPUS00

Email Address: Heather@Rhodes-PC.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

Authorized to be charged to deposit account

Enclosed

None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-6195

Authorized User Name Heather H. Fan

9. Signature:

/Heather H. Fan/
Signature

December 19, 2016
Date

Heather H. Fan
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 040674 FRAME: 0403

ASSIGNMENT

WHEREAS, **Ryan Leard** of Oakland, CA, **Brian Awabdy** of Dublin, CA, and **Greg Omweg** of Livermore, CA have made new and useful improvements in **Mouthpiece for Respiratory Monitor**, for which an application for Letters Patent of the United States was filed on November 28, 2016 as Serial No. 29/585,663.

WHEREAS, **Spirometrix, Inc.** ("Assignee"), having a place of business at 6210 Stoneridge Mall Road, Suite 410, Pleasanton, CA 94588, and who, together with its successors and assigns, is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited.

NOW, THEREFORE, for valuable consideration furnished by Assignee to the undersigned, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said application for Letters Patent of the United States, including all claims, if any, for infringement prior to the date of this assignment, the inventions and discoveries described therein, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all non-provisional, divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

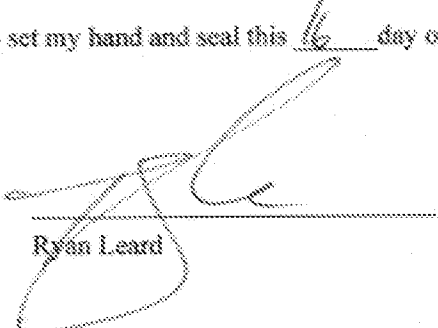
2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conception, disclosures, and reduction to practice of said inventions and discoveries; and to testify as to the same in any interference or other litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 16 day of December, 2016.



Ryan Leard

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 16 day of December, 2016.



Brian Awabdy

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 16 day of December, 2016.



Greg Omweg

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Alameda

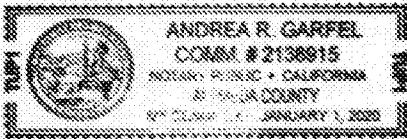
On 12/16/2016 before me, Andrea Garfel, Notary Public

personally appeared Ryan Ward, Greg Omweg, Brian Awabdy

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Handwritten Signature] Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Document Date:

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Partner -- Limited General Partner -- Limited General
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Trustee Guardian or Conservator Trustee Guardian or Conservator
Other: Other:
Signer is Representing: Signer is Representing: