# 504143818 12/13/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4183664

NATURE OF CONVEYANC CONVEYING PARTY DAT JAMES D. MARKS		ASSIGNMENT		
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JAMES D. MARKS				
JAMES D. MARKS		Name	Execution Date	
			01/27/2011	
JIANLONG LOU			01/27/2011	
MARIA CONSUELO G. RO	DRIGUE	Z	01/27/2011	
ISIN N. GEREN			02/02/2011	
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	CALIFOR	NIA		
	94607-5200			
PROPERTY NUMBERS TO	otal: 1			
Property Type		Number		
Application Number: 1523		238419		
	 T A			
Fax Number:		50)327-3231		
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		CAROL L. FRANCIS		
NAME OF SUBMITTER:				
		/Carol L. Francis, Reg. No. 36513/ 12/13/2016		

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#### ASSIGNMENT

#### U.C. Case No. SF2009-046; SF09046-Bont046

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1.	Marks, James D.	2.	Lou, Jianlong
3.	Rodríguez, María Consuelos G.	4.	Geren, Isin N.

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE. The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

#### "Antibodies that Neutralize Botulinum Neurotoxins"

and which is found in

(a)	U.S. Provisional Application filed herewith and listing the above named persons as inventors
(b)	U.S. Patent Application filed herewith and listing the above named persons as inventors
(c) <u>X</u>	U.S. Application Serial No. or PCT International Application No. PCT/US2009/052314 designating the United States, filed on July 30, 2009
(d)	U.S. Patent No.:, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

<u>ASSIGNOR(S)</u> further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

execu	ted this	Signature of Inventor(s)	Ŷ,
1)	Jun	27, 247	James D. Marks
2)	Date Tanuar	Year <u>427,20//</u>	
3)	Date	J Year ころ . 2011	M. Consulo A. R. María Consuelo G. Rodriguez
4)	Date	Year	Isin N. Geren
	Date	Year	

PATENT REEL: 040727 FRAME: 0670

		ASSIGN	MENT		
U.C.	Case No. <u>SF2009-046; SF09046-Bont046</u>				
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1.	Marks, James D.	2.	Lov, Jianlong		
3.	Rodriguez, Maria Consuelos G.	4,	Geren, Isin N.	:	
				d to a	

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1)			James D. Marks	
	Date	Year		
2)			Jianlong Lou	
	Date	Year		
3)			Maria Consuelo G. Rodriguez	
	Date	Year		
4)	02/	021.2011	Isin N. Geren	
	Date	Year	1 Start	
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**RECORDED: 12/13/2016** 

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