504146290 12/14/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4186136

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYA	ICE:	ASSIGNMENT	
CONVEYING PARTY D	٩TA		
		Name	Execution Date
ALEXEY POPOV			08/06/2012
ELIZABETH M. ENLOW			08/06/2012
JAMES BOURASSA			08/06/2012
COLIN R. GARDNER			08/06/2012
HONGMING CHEN			08/06/2012
RECEIVING PARTY DA	TA		
Name:	KALA PHARMACEUTICALS, INC.		
Street Address:	100 BEAVER STREET, SUITE 201		
City:	WALTHAM		
State/Country:	MASSACHUSETTS		
Postal Code:	02453		
PROPERTY NUMBERS Property Type		Number]
Application Number:		15354704	
CORRESPONDENCE D	ΑΤΑ	(949)253-0902	_
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i>	e sent to		
CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i>	e sent to	(949)253-0902 o the e-mail address first; if that is un	
CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if µ</i> Phone:	e sent to	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 949-253-0900 courtney.lines@klgates.com	
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if µ</i> Phone: Email: Correspondent Name:	e sent to	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 949-253-0900 courtney.lines@klgates.com K&L GATES LLP	
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1:	e sent to	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR	
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if µ</i> Phone: Email: Correspondent Name: Address Line 1:	e sent to	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 949-253-0900 courtney.lines@klgates.com K&L GATES LLP	
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if µ</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	e sent to provideo	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be set 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR IRVINE, CALIFORNIA 92614	
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	e sent to provideo	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be set 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR IRVINE, CALIFORNIA 92614	nt via US Mail.
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1:	e sent to provideo	(949)253-0902 o the e-mail address first; if that is un d; if that is unsuccessful, it will be se 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR IRVINE, CALIFORNIA 92614 1959057.00205	nt via US Mail.
CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NU	e sent to provideo	(949)253-0902 o the e-mail address first; if that is und d; if that is unsuccessful, it will be set 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR IRVINE, CALIFORNIA 92614 1959057.00205 MICHELLE GLASKY BERGMAN	nt via US Mail.
CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4: TTORNEY DOCKET NU AME OF SUBMITTER: IGNATURE:	e sent to provideo	(949)253-0902 b the e-mail address first; if that is un d; if that is unsuccessful, it will be set 949-253-0900 courtney.lines@klgates.com K&L GATES LLP 1 PARK PLAZA, 12TH FLOOR IRVINE, CALIFORNIA 92614 1959057.00205 MICHELLE GLASKY BERGMAN /Michelle Glasky Bergman/	nt via US Mail.

source=Assignment - Kala#page1.tif

source=Assignment - Kala#page2.tif source=Assignment - Kala#page3.tif	
source=Assignment - Kala#page4.tif source=Assignment - Kala#page5.tif source=Assignment - Kala#page6.tif	

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

1. Sell, assign and transfer to Kala Pharmaceuticals, Inc., a Delaware corporation having a place of business at 135 Beaver Street; Suite 309; Waltham, Massachusetts 02452, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on May 3, 2012 under No. 61/642,227, bearing Attorney Docket No. K0560.70006US00, and entitled NANOCRYSTALS, COMPOSITIONS, AND METHODS THAT AID PARTICLE TRANSPORT IN MUCUS, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea;

2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;

3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;

4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification provided above, whether discovered prior to or after recordation;

5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and

6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. K0560.70006US00Page 1 of 6 2767576.1

PATENT REEL: 040738 FRAME: 0154

GAL 19457 2012

Inventor: Alexey Popov

Address: 60 Hope Avenue

Waltham, Massachusetts 02453

Citizenship: Russian Federation

STATE/COMMONWEALTH OF WAC. COUNTY OF 7 Link On this day of 2, before me, the undersigned notary public, personally appeared Alexev Popov proved to me through satisfactory evidence of identification, which were to be the person who signed the preceding or attached document in my presence and swore or affirmed

to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Public lotarx My commission expires:

KATHY JO RIZZO Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires March 29, 2013

Attorney Docket No. K0560.70006US00Page 2 of 6 2767576.1

> PATENT REEL: 040738 FRAME: 0155

08/06/2012

Inventor: Elizabeth M.

Address: 85 Black Bear Drive, Unit 1624 Waltham, Massachusetts 02451

Citizenship: US

STATE/COMMONWEALT COUNTY OF

On this day of , before me, the undersigned notary public, personally appeared Elizabeth M. Enlow , proved to me through satisfactory evidence of Caros identification, which were 1 and elle

to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public

KATHY JO RIZZO Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires March 29, 2013

My commission expires:

6 August 2012

Date

Inventor: James Bourassa Address: 425 Broadway Apt 15 Somerville, Massachusetts 02145

Citizenship: US

Rosackiesot STATE/COMMONWEALTH OF COUNTY OF

On this <u>6</u> day of <u>August</u>, <u>2012</u>, before me, the undersigned notary public, personally appeared <u>James Bourassa</u>, proved to me through satisfactory evidence of identification, which were

to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Jotary Public

KATHY JO RIZZO Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires March 29, 2013

My commission expires:

Attorney Docket No. K0560.70006US00Page 4 of 6

8/6/2012 Date

Inventor: Cottin R. Gardner Address: 140 Catering Heights Concord, Massachusetts 01742 Citizenship: United Kingdom

xchurt STATE/COMMONWEALTH OF COUNTY OF 1/2, before me, the undersigned notary public, On this day of Colin R. Gardner proved to me through satisfactory evidence of personally appeared identification, which were da echroad to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Notary Public

My commission expires:

KATHY JO RIZZO Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires Morch 29, 2013

Attorney Docket No. K0560.70006US00Page 5 of 6

<u>8/6/12</u> Date

Inventor: Hongming Chen Address: 16 Birch Hill Road Belmont, Massachusetts 02478

Citizenship: US

STATE/COMMONW, EALTH OF nach COUNTY OF 10 day of leen On this 1/2, before me, the undersigned notary public, Hongming Chen personally appeared proved to me through satisfactory evidence of identification, which were Aprèso to be the person who signed the preceding or attached document in my presence and swore or affirmed

to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

Votary Public My commission expires:

KATHY JO RIZZO Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires March 29, 2013

Attorney Docket No. K0560.70006US00Page 6 of 6

RECORDED: 12/14/2016