### 504153697 12/23/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE: NEW ASSIGNMENT

EPAS ID: PAT4200370

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JUNXU LI	12/21/2016
SCOTT AUCHMOODY	12/22/2016

### **RECEIVING PARTY DATA**

Name:	EMC HOLDING COMPANY LLC
Street Address:	176 SOUTH STREET
City:	HOPKINTON
State/Country:	MASSACHUSETTS
Postal Code:	01748

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15390138

### **CORRESPONDENCE DATA**

**Fax Number:** (408)720-8383

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**Email:** dianne neathery@bstz.com

Correspondent Name: BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Address Line 1: 1279 OAKMEAD PARKWAY

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ATTORNEY DOCKET NUMBER:	10145P071
NAME OF SUBMITTER:	MICHAEL C. SUTLIFFE
SIGNATURE:	/Michael C. Sutliffe/
DATE SIGNED:	12/23/2016

### **Total Attachments: 8**

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PATENT 504153697 REEL: 040761 FRAME: 0446

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> PATENT REEL: 040761 FRAME: 0447

#### ASSIGNMENT

WHEREAS, we, <u>Junxu Li</u> and <u>Scott Auchmoody</u>, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled <u>EXTENDED SNAPSHOT USING</u> <u>BACKUP AND MICROSERVICE</u> (Application), the specification of which:

[ <b>X</b> ]	is being executed on even date herewith and is about to be filed in the United States Patent Office;
[]	was filed on as U.S. Application No;
	was patented under U.S. Patent Noon
WHE	REAS, EMC IP Holding Company I I C (hereinefter "ASSIGNUE") a company i

WHEREAS, EMC IP Holding Company LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Delaware and having a usual place of business at 176 South Street, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application. together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made; I hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. I hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein:

AND, I hereby further agree for me and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of

testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, I do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives;

AND, we hereby authorize our attorneys, EMC IP Holding Company LLC, to insert here in parentheses (\_\_\_\_\_\_\_) the application number and filing date of said Application (or foreign counterpart application) to facilitate the recording or other official processing of this Assignment.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my seal as set forth below:

Date: 12/21/2016	
	Inventor's Signature
Print full name of inventor:	Junxu Li
Residence:	5034 Blackbird Way, Pleasanton, CA 94566
Citizenship:	United States of America
Mailing Address:	Same as above
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.	
STATE OF CA	
COUNTY OF Alamed	A
subscribed to the within instrument and ackno- in his/her/their authorized capacity(ies), and the	evidence to be the person(s) whose name(s) is/are wiedged to me that he/she/they executed the same nat by his/her/their signature(s) on the instrument ch the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under foregoing paragraph is true and correct.	er the laws of the State of California that the
WITNESS my hand and official seal.	720
	Notary Public
GOMM. #2058821	Print Name: Maria T. Dial
Rotary Public - California E Attended County	My Commission expires 02 / 22 / 2018

## **ASSIGNMENT**

WHEREAS, we, <u>Junxu Li</u> and <u>Scott Auchmoody</u>, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled <u>EXTENDED SNAPSHOT USING BACKUP AND MICROSERVICE</u> (Application), the specification of which:

[X]	States Patent Office;
[]	was filed on as U.S. Application No;
	was patented under U.S. Patent Noon

WHEREAS, EMC IP Holding Company LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Delaware and having a usual place of business at 176 South Street, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America. its territories and all foreign countries, in and to said invention as described in said application. together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made; I hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. I hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein:

AND, I hereby further agree for me and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of

testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, I do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives;

AND, we hereby authorize our attorneys, EMC IP Holding Company LLC, to insert here in parentheses (\_\_\_\_\_\_\_) the application number and filing date of said Application (or foreign counterpart application) to facilitate the recording or other official processing of this Assignment.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my seal as set forth below:

Date:	
	Inventor's Signature
Print full name of inventor:	Junxu Li
Residence:	5034 Blackbird Way, Pleasanton, CA 94566
Citizenship:	United States of America
Mailing Address:	Same as above
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.	
STATE OF	
subscribed to the within instrument and ackno in his/her/their authorized capacity(ies), and th	20, before me appeared evidence to be the person(s) whose name(s) is/are wledged to me that he/she/they executed the same hat by his/her/their signature(s) on the instrument ch the person(s) acted, executed the instrument.
certify under PENALTY OF PERJURY undeforegoing paragraph is true and correct.	· · · · · · · · · · · · · · · · · · ·
WITNESS my hand and official seal.	
and the state of t	Notary Public
	Print Name:
	My Commission expires//

2/22/2016 inventor's Signature Scott Auchmoody Print full name of inventor: Residence: 21 Gillman Street, Irvine, CA 92612 Citizenship: United States of America Mailing Address: Same as above A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and agt the truthfulness, accuracy, or validity of that document. STATE OF COUNTY OF \_\_ day of \_ ., 20\_\_\_, before me appeared who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Please See Attached For **Notary Public** California Compliant Acknowledgment

12/22/16

(CA Chill Code Section 1188)

Print Name:

My Commission expires \_\_\_/\_

# CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

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A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and no the truthfulness, accuracy, or validity of that document.	£
State of California )	
County of <u>Orange</u> )	
on <u>ZZ Dec 2016</u> before me, <u>Steve Ober</u> personally appeared <u>Scott Clifford Auchmo</u>	lander Notary Public
who proved to me on the basis of satisfactory evidence to be the person the within instrument and acknowledged to me that he/sha/th/sauthorized capacity(Jes), and that by his/the/theis signature(g) on the upon behalf of which the person(g) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the	by executed the same in his/hea/wheir
State of California that the foregoing paragraph is true and correct.	STEVE OBERLANDER COMM. #2114661 Notary Public - California
WITNESS my hand and official seal.	Orange County My Comm Expires June 9, 2019
Signature Steve Overlander_	(Seal)
Signature Steve Overlander  Optional Information Although the Information in this section is not required by law, it could prevent fraudulent removal unauthorized document and may prove useful to persons relying on the attached document.	
Optional Information  Although the information in this section is not required by law, it could prevent fraudulent removal	i) and resttachment of this acknowledgment to an
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Optional Information Although the Information in this section is not required by law, it could prevent fraudulent removal unauthorized document and may prove useful to persons relying on the attached document.  Description of Attached Document The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of Assignment	Attailities Bullomatin  Method of Signer Identification  Proved to me on the basis of satisfactory evidence:  O formis) of identification  Cred ble witness(es)  Notarial event is detailed in notary journal on:  Page # Entry #
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Optional Information Although the information in this section is not required by law, it could prevent fraudulent removal unauthorized document and may prove useful to persons relying on the attached document.  Description of Attached Document  The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of Assignment  containing pages, and dated 12/22/2016  The signer(s) capacity or authority is/are as:	and restrachment of this acknowledgment to an  Method of Signer Identification  Proved to me on the basis of satisfactory evidence:  Oform(s) of Identification or credible witness(es)  Notarial event is detailed in notary journal on:  Page # Entry #
Optional Information  Although the information in this section is not required by law, it could prevent fraudulent removal unauthorized document and may prove useful to persons relying on the attached document.  Description of Attached Document  The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of   Assignment  containing pages, and dated 12/22/2016  The signer(s) capacity or authority is/are as:  Individual(s)  Attorney-in-Fact	and resttachment of this acknowledgment to an  **Continue University III  Method of Signer Identification  Proved to me on the basis of satisfactory evidence:  Oform(s) of Identification © cred ble witness(es)  Notarial event is detailed in notary journal on:  Page # Entry #  Notary contact:  Other  Additional Signer(s) Signer(s) Thumbprint(s)

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**RECORDED: 12/23/2016** 

**REEL: 040761 FRAME: 0455**