

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT4200382

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
FENGZHAO CUI	10/27/2016
Yawei ZHANG	10/27/2016
GUOBIN SUN	10/27/2016
ZHEN LI	10/27/2016
HU CAO	10/27/2016
DAWEI LIANG	10/27/2016
RECEIVING PARTY DATA	
Name:	CRRRC QINGDAO SIFANG ROLLING STOCK RESEARCH INSTITUTE CO., LTD.
Street Address:	NO. 231 RUICHANG ROAD, SHIFANG DISTRICT, SHANDONG
City:	QINGDAO
State/Country:	CHINA
Postal Code:	266031
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15322066
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	LAWSCI-042
NAME OF SUBMITTER:	JIawei HUANG
SIGNATURE:	/JIawei HUANG/
DATE SIGNED:	12/23/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	

PATENT

Total Attachments: 4

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COMBINED DECLARATION (37 CFR 1.63) AND ASSIGNMENT
FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION
DATA SHEET (37 CFR 1.76)

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title of the Invention: **TRACTION CONTROL SYSTEM FOR ELECTRIC
MULTIPLE UNITS**

the specification of which

_____ is attached hereto,

OR

X was filed on (MM/DD/YYYY) **05/20/2015** as United States application number or PCT international application number **PCT/CN2015/079333** and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application(s) which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Application Number	Country	Date Filed (MM/DD/YYYY)	Priority Claimed
201510061014.9	China	02/05/2015	YES

SEND CORRESPONDENCE TO:

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DIRECT TELEPHONE CALLS TO:

(Name and Telephone Number)
Jiawei Huang
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**COMBINED DECLARATION AND ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION
CONTINUED**

WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified:

WHEREAS **CRRC QINGDAO SIFANG ROLLING STOCK RESEARCH INSTITUTE CO., LTD.** (hereinafter termed "Assignee"), a China corporation

residing at **NO. 231 RUICHANG ROAD, SHIBEI DISTRICT, QINGDAO, SHANDONG 266031, CHINA**

is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or disclosed jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted

thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

**COMBINED DECLARATION AND ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION
CONTINUED**

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

LEGAL NAME OF INVENTOR(S):

Full name of the sole or first inventor: **CUI, Fengzhao**

Inventor's signature CUI, Fengzhao Date 2016.10.27

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Name of Additional Joint Inventor: **ZHANG, Yawei**

Inventor's signature ZHANG, Yawei Date 2016.10.27

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☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) attached hereto

**COMBINED DECLARATION AND ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION
ADDITIONAL INVENTOR(S)**
Supplemental Sheet

Name of Additional Joint Inventor: **SUN, Guobin**

Inventor's signature SUN, Guobin Date 2016.10.27
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Name of Additional Joint Inventor: **LI, Zhen**

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Name of Additional Joint Inventor: **CAO, Hu**

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Name of Additional Joint Inventor: **LIANG, Dawei**

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