

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4162909

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DOUGLAS MATJE	04/19/2014
PAUL PATEL	07/16/2013
ELIZABETH A. HOLMES	12/10/2013
ROCHELLE GIBBONS	07/15/2013
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THERANOS, INC.
<b>Street Address:</b>	1701 PAGE MILL RD
<b>City:</b>	PALO ALTO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94304
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15364762
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	docketing@theranos.com
<b>Correspondent Name:</b>	THERANOS, INC.
<b>Address Line 1:</b>	1701 PAGE MILL RD
<b>Address Line 4:</b>	PALO ALTO, CALIFORNIA 94304
<b>ATTORNEY DOCKET NUMBER:</b>	2007.203
<b>NAME OF SUBMITTER:</b>	YUKO SONEOKA
<b>SIGNATURE:</b>	/Yuko Soneoka/
<b>DATE SIGNED:</b>	11/30/2016
<b>Total Attachments: 6</b>	
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WHEREAS, the undersigned:

Douglas Matje, Palo Alto, CA

Paul Patel, Palo Alto, CA

Elizabeth A. Holmes, Palo Alto, CA

Ian Gibbons (deceased) by his Legal Representative Rachelle Gibbons, Portola Valley, CA

(hereinafter "Inventor(s)"), have invented certain new and useful improvements in

### RAPID, LOW-SAMPLE-VOLUME CHOLESTEROL AND TRIGLYCERIDE ASSAYS

A U.S. non-provisional application which claims the benefit of U.S. Provisional Application Serial No. 61/735,424 which was filed on December 10, 2012,

for which Application No. 14/100,870 was filed on Dec 9, 2013; (hereinafter "Application(s)"). Inventor(s) authorize Theranos' patent counsel to insert serial no. and filing date information when known.

WHEREAS, THERANOS, INC., a corporation of the State of Delaware, having a place of business at 1601 S. California Ave., Palo Alto, CA 94304, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest Worldwide in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, hereinafter conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, utility models, design registrations, inventor's certificates and other forms of protection thereon granted in the United States and Worldwide (herein collectively referred to as "Patent(s)"). As used herein, "Worldwide" refers to and includes all foreign countries and treaty organizations, and Application(s) and Patent(s) Worldwide include all Applications filed, and Patents issued, in foreign countries and treaty organizations, where treaty organizations include, without limitation, the Patent Cooperation Treaty, the Paris Convention for the Protection of Industrial Property, the European Patent Convention, and any other international convention, agreement, protocol, or treaty).

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s), having full right to convey the entire interest both legal and equitable in the Inventions and Application(s) herein assigned, do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest in the United States and Worldwide (a) in and to said Inventions and Applications, including the right to claim priority to said Inventions and said Application(s) in the United States and Worldwide; (b) in and to all rights to all United States patent Application(s) and Patent(s) and all corresponding non-United States patent Application(s) and Patent(s) Worldwide; (c) in and to any and all Application(s) filed and any and all Patent(s) granted on said Inventions in the United States and Worldwide, including each and every Application filed and any and all Patent(s) granted on any application, including, without limitation, any non-provisional, regional, phase, or national phase application claiming the benefit of the Application(s), or a non-provisional, divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s). The right, title and interest conveyed in this assignment is to be held and enjoyed by the Assignee and Assignee's successors and assigns as fully and entirely as it would have been held and enjoyed by Assignor(s) had this assignment not been made. Assignor(s) hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include, without limitation, prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing, continuation-in-part, or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference, derivation, or priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, post-grant reviews, inter partes reviews, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions.

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provided, however, that the reasonable expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.


6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. For any disputes arising out of this Agreement, the Inventor(s) consent to personal and exclusive jurisdiction of, and venue in, the state and federal courts within Santa Clara County, California. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the times written below:

Date: \_\_\_\_\_ Douglas Matje

Date: 7/16/13   
Paul Patel

Date: \_\_\_\_\_ Elizabeth A. Holmes

Date: 6/15/13   
Ian Gibbons (deceased) by his Legal Representative Rochelle Gibbons

WHEREAS, the undersigned:

Douglas Matje, Palo Alto, CA

Paul Patel, Palo Alto, CA

Elizabeth A. Holmes, Palo Alto, CA

Ian Gibbons (deceased) by his Legal Representative Rochelle Gibbons, Portola Valley, CA

(hereinafter "inventor(s)"), have invented certain new and useful improvements in

**RAPID, LOW-SAMPLE-VOLUME CHOLESTEROL AND TRIGLYCERIDE ASSAYS**

A U.S. non-provisional application which claims the benefit of U.S. Provisional Application Serial No. 61/735,424 which was filed on December 10, 2012.

for which Application No. 14/028,270 was filed on Dec 9, 2013; (hereinafter "Application(s)"). Inventor(s) authorize Theranos' patent counsel to insert serial no. and filing date information when known.

WHEREAS, THERANOS, INC, a corporation of the State of Delaware, having a place of business at 1601 S. California Ave., Palo Alto, CA 94304, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest Worldwide in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, utility models, design registrations, inventor's certificates and other forms of protection thereon granted in the United States and Worldwide (herein collectively referred to as "Patent(s)"). As used herein, "Worldwide" refers to and includes all foreign countries and treaty organizations, and Application(s) and Patent(s) Worldwide include all Applications filed, and Patents issued, in foreign countries and treaty organizations, where treaty organizations include, without limitation, the Patent Cooperation Treaty, the Paris Convention for the Protection of Industrial Property, the European Patent Convention, and any other international convention, agreement, protocol, or treaty).

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s), having full right to convey the entire interest both legal and equitable in the Inventions and Application(s) herein assigned, do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest in the United States and Worldwide (a) in and to said Inventions and Applications, including the right to claim priority to said Inventions and said Application(s) in the United States and Worldwide; (b) in and to all rights to all United States patent Application(s) and Patent(s) and all corresponding non-United States patent Application(s) and Patent(s) Worldwide; (c) in and to any and all Application(s) filed and any and all Patent(s) granted on said Inventions in the United States and Worldwide, including each and every Application filed and any and all Patent(s) granted on any application, including, without limitation, any non-provisional, regional phase, or national phase application claiming the benefit of the Application(s), or a non-provisional, divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s). The right, title and interest conveyed in this assignment is to be held and enjoyed by the Assignee and Assignee's successors and assigns as fully and entirely as it would have been held and enjoyed by Assignor(s) had this assignment not been made. Assignor(s) hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include, without limitation, prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing, continuation-in-part, or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference, derivation, or priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, post-grant reviews, inter partes reviews, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions;

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provided, however, that the reasonable expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

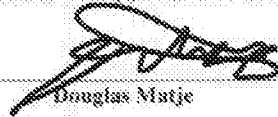
4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. For any disputes arising out of this Agreement, the Inventor(s) consent to personal and exclusive jurisdiction of, and venue in, the state and federal courts within Santa Clara County, California. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the date written below:

Date: **04/19/14**



Douglas Matje

Date: \_\_\_\_\_

Paul Patel

Date: \_\_\_\_\_

Elizabeth A. Holmes

Date: \_\_\_\_\_

Ian Gibbons (deceased) by his Legal Representative Rochelle Gibbons

WHEREAS, the undersigned:

Douglas Matje, Palo Alto, CA

Paul Patsl, Palo Alto, CA

Elizabeth A. Holmes, Palo Alto, CA

Ian Gibbons (deceased) by his Legal Representative Rochella Gibbons, Fortola Valley, CA

(hereinafter "Inventor(s)"), have invented certain new and useful improvements in

**RAPID, LOW-SAMPLE-VOLUME CHOLESTEROL AND TRIGLYCERIDE ASSAYS**

A U.S. non-provisional application which claims the benefit of U.S. Provisional Application Serial No. 61/735,424 which was filed on December 10, 2012,

in which Application No. 14/60870 was filed on Dec 9, 2013, (hereinafter "Application(s)"). Inventor(s) authorize Theranos' patent counsel to insert serial no. and filing date information when known.

WHEREAS, THERANOS, INC., a corporation of the State of Delaware, having a place of business at 1601 S. California Ave., Palo Alto, CA 94304, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest Worldwide in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, utility models, design registrations, inventor's certificates and other forms of protection thereon granted in the United States and Worldwide therein collectively referred to as "Patent(s)". As used herein, "Worldwide" refers to and includes all foreign countries and treaty organizations, and Application(s) and Patent(s) Worldwide include all Applications filed, and Patents issued, in foreign countries and treaty organizations, where treaty organizations include, without limitation, the Patent Cooperation Treaty, the Paris Convention for the Protection of Industrial Property, the European Patent Convention, and any other international convention, agreement, protocol, or treaty.

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IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: \_\_\_\_\_  
Douglas Matje

Date: \_\_\_\_\_  
Paul Patel

Date: 12/10/13 \_\_\_\_\_  
Elizabeth A. Holtzel

Date: \_\_\_\_\_  
Ian Gibbons (deceased) by his Legal Representative Rochelle Gibbons