

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4203237

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER AND CHANGE OF NAME
<b>EFFECTIVE DATE:</b>	05/08/2014
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CALIFORNIA STEM CELL, INC.	05/08/2014
NBS ACQUISITION SUB II, LLC	05/08/2014
<b>NEWLY MERGED ENTITY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
NEOSTEM ONCOLOGY, LLC	05/08/2014
<b>MERGED ENTITY'S NEW NAME (RECEIVING PARTY)</b>	
<b>Name:</b>	NEOSTEM ONCOLOGY, LLC
<b>Street Address:</b>	420 LEXINGTON AVENUE
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10170
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	8465974
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(973)530-2234
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(973) 530-2034
<b>Email:</b>	patent@csglaw.com
<b>Correspondent Name:</b>	BEVERLY W. LUBIT
<b>Address Line 1:</b>	ONE BOLAND DRIVE
<b>Address Line 4:</b>	WEST ORANGE, NEW JERSEY 07052
<b>ATTORNEY DOCKET NUMBER:</b>	17506.0055
<b>NAME OF SUBMITTER:</b>	JAMES J. DADE
<b>SIGNATURE:</b>	/James J. Dade/
<b>DATE SIGNED:</b>	12/28/2016

**Total Attachments: 3**

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# Delaware

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*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"CALIFORNIA STEM CELL, INC.", A DELAWARE CORPORATION,  
WITH AND INTO "NBS ACQUISITION SUB II, LLC" UNDER THE NAME  
OF "NEOSTEM ONCOLOGY, LLC", A LIMITED LIABILITY COMPANY  
ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE,  
AS RECEIVED AND FILED IN THIS OFFICE THE EIGHTH DAY OF MAY, A.D.  
2014, AT 3:58 O'CLOCK P.M.


A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE  
NEW CASTLE COUNTY RECORDER OF DEEDS.



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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1356948

DATE: 05-08-14

PATENT  
REEL: 040787 FRAME: 0494

CERTIFICATE OF MERGER

OF

CALIFORNIA STEM CELL, INC.  
(a Delaware corporation)

INTO

NBS ACQUISITION SUB II, LLC  
(a Delaware limited liability company)

Pursuant to Title 8, Section 264(c) of the General Corporation Law of the State of Delaware ("DGCL") and Title 6, Section 18-209 of the Delaware Limited Liability Company Act ("DLLCA"), the undersigned limited liability company executed the following Certificate of Merger:

1. The entity surviving the merger is NBS Acquisition Sub II, LLC, a Delaware limited liability company (the "Surviving Company"), and the entity being merged into the Surviving Company is California Stem Cell, Inc., a Delaware corporation (the "Merging Corporation").

2. The Agreement and Plan of Merger providing for the merger of the Merging Corporation with and into the Surviving Company (the "Merger") has been approved, adopted, certified, executed and acknowledged by the Surviving Company and the Merging Corporation in accordance with Section 264 of the DGCL and Section 18-209 of the DLLCA.

3. The name of the surviving constituent entity of the Merger is NBS Acquisition Sub II, LLC, which name shall be amended as set forth in Article 4 below.

4. The Certificate of Formation of the Surviving Company as in effect immediately prior to the Merger shall be amended by deleting Article 1 thereto in its entirety and replacing such article with the following:

"1. The name of the limited liability company is NeoStem Oncology, LLC (the "Company")."

5. That this Certificate of Merger and the merger and transactions contemplated hereby shall become effective upon filing of this Certificate of Merger with the Secretary of State of the State of Delaware.

6. The executed Agreement and Plan of Merger is on file at the principal place of business of the Surviving Company, the address of which is c/o NeoStem, Inc., 420 Lexington Avenue, Suite 450, New York, NY 10170.

7. A copy of the Agreement and Plan of Merger will be furnished by the Surviving Company on request, without cost, to any member of the Surviving Company or stockholder of the Merging Corporation.

IN WITNESS WHEREOF, the Surviving Company has caused this certificate to be signed by an authorized person, this 8<sup>th</sup> day of May, 2014.

NBS ACQUISITION SUB II, LLC

By: 

Name: Robin L. Smith, M.D.

Title: Authorized Person

*[Signature Page to Second Certificate of Merger]*