

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4205153

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|---|---|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | ICAP NORTH AMERICA, INC. | 12/22/2016 |
| RECEIVING PARTY DATA | | |
| Name: | INTERCAPITAL SERVICES NORTH AMERICA LLC | |
| Street Address: | 1100 PLAZA FIVE | |
| City: | JERSEY CITY | |
| State/Country: | NEW JERSEY | |
| Postal Code: | 07311 | |
| PROPERTY NUMBERS Total: 4 | | |
| Property Type | Number | |
| Application Number: | 12774053 | |
| Application Number: | 13669250 | |
| Application Number: | 14079718 | |
| Application Number: | 14840851 | |
| CORRESPONDENCE DATA | | |
| Fax Number: | (212)484-3990 | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Phone: | 2028576000 | |
| Email: | scott.dodge@arentfox.com | |
| Correspondent Name: | ARENT FOX LLP | |
| Address Line 1: | 1675 BROADWAY | |
| Address Line 4: | NEW YORK, NEW YORK 10019 | |
| ATTORNEY DOCKET NUMBER: | 036429.00000 | |
| NAME OF SUBMITTER: | SCOTT DODGE | |
| SIGNATURE: | /Scott Dodge/ | |
| DATE SIGNED: | 12/29/2016 | |
| Total Attachments: 6 | | |
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PATENT

REEL: 040806 FRAME: 0314

ICAP NORTH AMERICA, INC
AND
INTERCAPITAL SERVICES NORTH AMERICA LLC

INTELLECTUAL PROPERTY ASSIGNMENT

THIS ASSIGNMENT is made on 22 DECEMBER 2016

BETWEEN:

- (1) **ICAP NORTH AMERICA, INC.**, a corporation organised and existing under the laws of the State of Delaware, USA with its principal place of business at 34 Exchange Place, Harborside Plaza 5, Jersey City, NJ 07302-3885, USA and registered no. 2249192 (the "Assignor"); and
- (2) **INTERCAPITAL SERVICES NORTH AMERICA LLC**, a limited liability company organised and existing under the laws of the State of Delaware, USA with its principal place of business at Harborside Financial Center, 1100 Plaza Five, Jersey City, NJ 07311, USA and registered no. 5928112 (the "Assignee").

THE PARTIES AGREE as follows:

1. DEFINITIONS

In this Assignment:

"**Encumbrance**" means any mortgage, charge (fixed or floating), pledge, lien, option, right to acquire, right of pre-emption, assignment by way of security or trust arrangement for the purpose of providing security or other security interest of any kind (including any retention arrangement), or any agreement to create any of the foregoing; and

"**Registered Intellectual Property Rights**" means the registrations and applications listed in the Schedule to this Assignment of which the Assignor is the registered proprietor.

2. ASSIGNMENT

In consideration of the sum of £1 now paid by the Assignee to the Assignor (the receipt of which the Assignor hereby acknowledges) the Assignor hereby assigns and transfers to the Assignee absolutely with full title guarantee, free from Encumbrances:

- 2.1 all of its right, title and interest in and to the Registered Intellectual Property Rights including all statutory and common law rights and powers, liberties and immunities attaching to the Registered Intellectual Property Rights;
- 2.2 the right to sue for and to recover damages and other remedies in respect of any infringement of the Registered Intellectual Property Rights which may have occurred before the date of this Assignment; and
- 2.3 any goodwill attaching to the Registered Intellectual Property Rights.

3. FURTHER ASSURANCES

The Assignor shall on request by the Assignee in writing, do and execute or arrange for the doing and executing of, each necessary act, document and thing reasonably within its power to give effect to this Assignment.

4. **GOVERNING LAW**

4.1 This Assignment is governed by English law.

4.2 The courts of England have exclusive jurisdiction to settle any dispute arising from or connected with this Assignment.

5. **COUNTERPARTS**

This Assignment may be executed in any number of counterparts, each of which when executed and delivered is an original and all of which together evidence the same agreement.

**SCHEDULE
THE INTELLECTUAL PROPERTY RIGHTS**

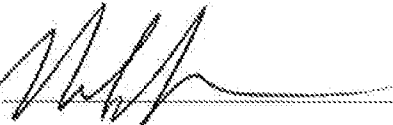
Registered Intellectual Property Rights

I. Patents

| Country | Case No. | Title | Application No. | Filing Date | Case Status | Registration No. |
|-----------------------------|--------------|--|-----------------|-------------|---------------------|------------------|
| 1. United States of America | P/63178.US02 | Traiana Converting OTC FX Into Perpetual Futures | 12/774053 | 05/05/2010 | Registered | 8321327 |
| 2. United States of America | P/63178.US03 | Traiana Converting OTC FX Into Perpetual Futures | 13/589250 | 05/05/2010 | Registered | 8612337 |
| 3. United States of America | P/63178.US04 | Traiana Converting OTC FX Into Perpetual Futures | 14/079718 | 05/05/2010 | Abandoned by client | |
| 4. United States of America | P/63178.US05 | Traiana Converting OTC FX Into Perpetual Futures | 14/840851 | 05/05/2010 | Published | |

EXECUTED by the parties

ICAP NORTH AMERICA, INC:

Signed by  _____

Name: Nicholas Deflora

Title: Director/CEO

INTERCAPITAL SERVICES NORTH AMERICA LLC:

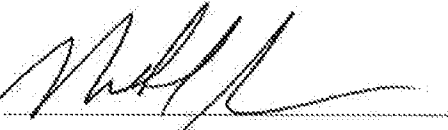
Signed by  _____

Name: Jason Cohen

Title: Director/Co-CEO

EXECUTED by the parties

ICAP NORTH AMERICA, INC:

Signed by 

Name: Nicholas DeFlora

Title: Director/CEO

INTERCAPITAL SERVICES NORTH AMERICA LLC:

Signed by 

Name: Jason Cohen

Title: Director/Co-CEO