## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4178147

NATURE OF CONVEYANCE:  Corrective Assignment to correct the INCORRECT ATTORNEY DOCKET NO. 3493-0575PUS1 ON THE ASSIGNMENT DOCUMENT previously recorded on Reel 040360 Frame 0772. Assignor(s) hereby confirms the CORRECT ATTORNEY DOCKET NO. ON THE ASSIGNMENT IS 3493-0579PUS1.	SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
	NATURE OF CONVEYANCE:	NO. 3493-0575PUS1 ON THE ASSIGNMENT DOCUMENT previously recorded on Reel 040360 Frame 0772. Assignor(s) hereby confirms the CORRECT ATTORNEY DOCKET NO. ON THE ASSIGNMENT IS

### **CONVEYING PARTY DATA**

Name	Execution Date
GUY CHABOT	09/20/2016
SYLVIANE GIORGI-RENAULT	07/28/2016
STÉPHANIE DESBENE-FINCK	07/28/2016
PHILIPPE HELISSEY	07/28/2016
RAPHAËL LABRUERE	07/28/2016
MARLÈNE TESTUD	08/02/2016
DANIEL SCHERMAN	10/10/2016

### **RECEIVING PARTY DATA**

Name:	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE (CNRS)
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State/Country:	FRANCE
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City:	PARIS
State/Country:	FRANCE
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Name:	INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM)
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State/Country:	FRANCE
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Name:	ECOLE NATIONALE SUPERIEURE DE CHIMIE DE PARIS
Street Address:	11 RUE PIERRE ET MARIE CURIE
City:	PARIS
State/Country:	FRANCE
	PΔTFNT

REEL: 040869 FRAME: 0127

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Postal Code:	F-75005
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#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15111711

#### **CORRESPONDENCE DATA**

**Fax Number:** (703)205-8050

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 703-205-8000

Email: tu.t.tan@bskb.com, mailroom@bskb.com
Correspondent Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Address Line 1: P.O. BOX 747

Address Line 4: FALLS CHURCH, VIRGINIA 22040-0747

ATTORNEY DOCKET NUMBER:	3493-0579PUS1
NAME OF SUBMITTER:	TAN T. TU
SIGNATURE:	/Tan T. Tu/
DATE SIGNED:	12/09/2016

#### **Total Attachments: 17**

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> PATENT REEL: 040869 FRAME: 0128

-- Attorney Docket No. 3493-0575PUS1

### BIRCH, STEWART, KOLASCH & BIRCH, LLP

P.O. Box 747 • Falls Church, Virginia 22040-0747 Telephone: (703) 205-8000 • Facsimile: (703) 205-8050 • Email: mailroom@bskb.com

# DECLARATION AND ASSIGNMENT

# FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS
(MULTIPLE ASSIGNEES)

### 

		As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:
		This declaration is directed to the application attached hereto.
Application not Attached	4	If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:
Enter Appln. No.	4	United States Application Number or PCT International Appln. No. 15/111,711
Enter Filing Date	4	filed on <b>July 14th, 2016</b>
		The above-identified application was made or authorized to be made by me.
		I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
		I have reviewed and understand the contents of the above-identified application, including the claims.
		I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.
		WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified.
Insert Names of Assignees	4	WHEREAS, CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE (CNRS); UNIVERSITE PARIS DESCARTES; INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE (INSERM); and ECOLE NATIONALE SUPERIEURE DE CHIMIE DE PARIS (hereinafter designated as Assignees)
Insert Addresses of Assignees	4	of 3, rue Michel Ange - F-75016 - FRANCE; 12, rue de l'Ecole de Médecine - F-75006 PARIS - FRANCE; 101, rue de Tolbiac - F-75013 PARIS - FRANCE; and 11 rue Pierre et Marie Curie - F-75005 PARIS - FRANCE, respectively,
		their heirs, successors, legal representatives and assigns are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and
Check Box if Appropriate	4	in any foreign countries.

Page 1

Attorney Docket No.: 3493-0579PUS1

-- Attorney-Docket No.-3493-0575PUS1

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these presents does (do) sell, assign and transfer unto said Assignees the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted

in equal undivided amounts unless specific undivided amounts are listed below:

Ownership Interest Assignee 1 (25%); Assignee 2 (25%); Assignee 3 (25%); Assignee 4 (25%).

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignees may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignees in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America and any foreign patent(s) to the Assignees and to vest all rights therein hereby conveyed to said Assignees as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignees, as Assignees of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

#### LEGAL NAME OF INVENTOR

Inventor's Name	⇔	Inventor:	Guy CHABOT	Date:	
Inventor's Signature	⇔	Signature:			

An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use a separate form for each inventor; or check the box below and complete the attached page(s) to list additional inventors.

 $\boxtimes$  Additional inventors are being named on the 1 supplemental sheet(s) attached hereto.

BSKB-DEC-ASSIGN-MULTI (09-12)

PATENT REEL: 040869 FRAME: 0130

Page 2

LEGAL NAME OF JOINT INVENTOR, IF ANY

# ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Inventor's Name	⇒ Inventor:	Sylviane GIORGI-RENAULT	Date:	July 28k 2016
Inventor's Signature	⇒ Signature:	f. C.		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒ Inventor:	Stéphanie DESBENE-FINCK	Date:	July 28th 2016
Inventor's Signature	⇒ Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇔Inventor:	Philippe HELISSEY	Date:	JULY 28th 2016
Inventor's Signature	⇒Signature:	Heli Her		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:	Raphaël LABRUERE	Date:	
Inventor's Signature	⇒Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:	Marlène TESTUD	Date:	
Inventor's Signature	⇔Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇔Inventor:	Daniel SCHERMAN	Date:	
Inventor's Signature	⇒Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:	3	Date:	
Inventor's Signature	⇒Signature:			
	LEGAL N	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇔Inventor:		Date:	
Inventor's Signature	⇒Signature:			

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BSKB-SUPPL-SIGANTURES(09-12)

# **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page <u>1</u> of <u>1</u>

### LEGAL NAME OF JOINT INVENTOR, IF ANY

Inventor's Name	⇒ Inventor:	Sylviane GIORGI-RENAULT	Date:
Inventor's Signature	⇒ Signature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇒ Inventor:	Stéphanie DESBENE-FINCK	Date:
Inventor's Signature	⇒ Signature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Philippe HELISSEY	Date:
Inventor's Signature	⇔Signature:		***************************************
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name		Raphaël LABRUERE	Date: 07/28/2016
Inventor's Signature	⇔Signature:	Rophoel LABRUERE	, ,
		I AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Marlène TESTUD	Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Daniel SCHERMAN	Date:
Inventor's Signature	⇒Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:		Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:		Date:
Inventor's Signature	⇒Signature:		The Park of the State of the St

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BSKB-SUPPL-SIGANTURES(09-12)

# **ADDITIONAL INVENTOR(S)** Supplemental Sheet Page 1 of 1

## LEGAL NAME OF JOINT INVENTOR, IF ANY

Inventor's Name	□ Inventor:	Sylviane GIORGI-RENAULT	Date:
Inventor's Signature	⇒ Signature:		· · · · · · · · · · · · · · · · · · ·
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇒ Inventor:	Stéphanie DESBENE-FINCK	Date:
Inventor's Signature	⇒ Signature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Philippe HELISSEY	Date:
Inventor's Signature	⇔Signature:		
ı	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Raphaël LABRUERE	Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	,
Inventor's Name	⇒Inventor:	Marlène TESTUD	Date: 8-2-16
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Daniel SCHERMAN	Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇒Inventor:		Date:
Inventor's Signature	⇒Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:		Date:
Inventor's Signature	⇔Signature:		

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BSKB-SUPPL-SIGANTURES(09-12)

# ADDITIONAL INVENTOR(S) Supplemental Sheet Page $\underline{1}$ of $\underline{1}$

LEGAL NAME OF JOINT INVENTOR, IF ANY

Inventor's Name	inventor:	Sylviane GIORGI-RENAULT	Date:
Inventor's Signature	Signature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
liwentor's Name	⇒ Inventor:	Stéphanie DESBENE-FINCK	Date:
Inventor's Signature	Signature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Philippe HELISSEY	Date:
Inventor's Signature			***************************************
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	"Inventor:	Raphaél LABRUERE	Dates
Inventor's Signature	*Signature:		
*	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	<sup>⋄</sup> Inventor:	Marlène TESTUD	Date:
Inventor's Signature	⇔Signature:		
*	LEGAL N	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔Inventor:	Damiel SCHERMAN	Date: Uclide 10, 2016
lewentor's Signature	†Signature:		· · · · · · · · · · · · · · · · · · ·
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
luventor's Name	<sup>⇔</sup> Inventor:		Date:
Inventor's Signature	≈Sígnature:		
	LEGAL N	AME OF JOINT INVENTOR, IF ANY	g x <sup>x</sup>
Inventor's Name	⇒Inventor:		Date:
Inventor's Signature	⇔Signature:		···

BIRCH, STEWART, KOLASCH & BIRCH, LLP

BSWB-SUPPL-SIGANTURER(08-12)

Doc code: Oath

Document Description: Oath or declaration filed

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# SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	WATER SOLUBLE 4-AZAPO	ODOPHYLLOTOXIN	I AN	ALOGS	
This stateme	ent is directed to:				
The att	ached application,			`	
OR					
United \$	United States application or PCT international application number filed on				
LEGAL NA	ME of inventor to whom this sub	ostitute statement appl	ies:		
(E.g., Given	Name (first and middle (if any)) and Fa	amily Name or Surname)			
Guy Ch					
Residence (	except for a deceased or legally incapa	citated inventor):			
		-			
City	ss (except for a deceased or legally incapa	State	Coun	itry	
0.4					0
City		State		Zip	Country
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.  The above-identified application was made or authorized to be made by me.  I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.					
Relationship to the inventor to whom this substitute statement applies:  Legal Representative (for deceased or legally incapacitated inventor only),  Assignee,  Person to whom the inventor is under an obligation to assign.					
P	Person to whom the inventor is under an obligation to assign,  Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or  Joint Inventor.				

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT REEL: 040869 FRAME: 0135 PTO/SB/AIA02 (07-13)

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## **SUBSTITUTE STATEMENT**

Circumstances permitting exe	ecution of this substitute statement:		
Inventor is deceased,			
Inventor is under legal incapacity,			
Inventor cannot be	Inventor cannot be found or reached after diligent effort, or		
Inventor has refuse	d to execute the oath or declaration und	der 37 CFR 1.63.	
If there are joint inventors, pl	ease check the appropriate box below:		
An application data or is currently subm	sheet under 37 CFR 1.76 (PTO/AIA/14 itted.	or equivalent) naming the e	ntire inventive entity has been
Statement Supplem	sheet under 37 CFR 1.76 (PTO/AIA/14 ental Sheet (PTO/AIA/11 or equivalent ned. See 37 CFR 1.64(b).		
,	WARNING	-	
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
PERSON EXECUTING THIS S	UBSTITUTE STATEMENT:		•
Ludovic HAMO			2 0 SEP, 2016
Name: Eudovic HAMO	N		Date (Optional):
Signature:	•		
	LE OF PERSON EXECUTING THIS SI		
,,,	ty, list the applicant name and the title	•	
CENTRE Applicant Name:	NATIONAL DE LA RECHER	RCHE SCIENTIFIQUE	(CNRS)
Title of Person Executing D	eputy Director for Industrial Partners	hip and Transfer of Innova	tion
The signer, whose title is support the	The signer, whose title is supplied above, is authorized to act on behalf of the applicant.  Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):		
Residence of the signer (uni	ess provided in all application data		
City	State	Country FRA	NCE
	er (unless provided in an application		
City	State	Zip	Country
	AIA/02 form for each inventor who is de		d, cannot be found or reached
I after diligent effort, or has refu	sed to execute the oath or declaration	under 37 CFR 1.63.	

[Page 2 of 2]

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# SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	WATER SOLUBLE 4-AZAP	ODOPHYLLOTO:	XIN AN	NALOGS	
The att OR United S LEGAL NA (E.g., Given Guy CH Residence (c	ent is directed to: ached application,  States application or PCT international  ME of inventor to whom this sul  Name (first and middle (if any)) and Fa  HABOT  except for a deceased or legally incapa	application number  pstitute statement a  amily Name or Surname  acitated inventor):  State	pplies:	filed on	January 15, 2015
City		State		Zip	Country
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.  The above-identified application was made or authorized to be made by me.  I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.					
Relationship to the inventor to whom this substitute statement applies:  Legal Representative (for deceased or legally incapacitated inventor only),  Assignee,  Person to whom the inventor is under an obligation to assign,  Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or  Joint Inventor.					

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### SUBSTITUTE STATEMENT

Circumstances permitting execution of this subst	titute statement:			
Inventor is deceased,				
Inventor is under legal incapacity,	Inventor is under legal incapacity,			
Inventor cannot be found or reached aff	ter diligent effort, or			
Inventor has refused to execute the oat	h or declaration under 37 CF	R 1.63.		
If there are joint inventors, please check the app	ropriate box below:			
An application data sheet under 37 CFF or is currently submitted.	R 1.76 (PTO/AIA/14 or equiv	alent) naming the entire	e inventive entity has been	
<u>OR</u>				
An application data sheet under 37 CFF Statement Supplemental Sheet (PTO/A information is attached. See 37 CFR 1.	IA/11 or equivalent) naming	alent) has not been sub the entire inventive ent	omitted. Thus, a Substitute ity and providing inventor	
	WARNING:			
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PERSON EXECUTING THIS SUBSTITUTE STAT	EMENT:			
0-1			00, 2101	
Name: Frédéric DARDEL Date (Optional): 2008 Abto				
Signature:				
APPLICANT NAME AND TITLE OF PERSON EX				
If the applicant is a juristic entity, list the applicant UNIVERSITE PARIS DE		ier.		
Applicant Name:	ESCARTES			
Title of Person Executing President				
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# SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	WATER SOLUBLE 4-AZAP	ODOPHYLLOTOXIN	1 A I	NALOGS	
This stateme	ent is directed to:				
The atta	ached application,				
OR United S	OR EP2015/050702 January 15, 2015 United States application or PCT international application numberfiled on				
LEGAL NA	ME of inventor to whom this sub	bstitute statement app	lies:		
	Name (first and middle (if any)) and Fa	amily Name or Surname)			
Guy CH					
Residence (e	except for a deceased or legally incapa	acitated inventor):	T		
City		State	Cou	ntrv ·	
	ss (except for a deceased or legally incapad		1000	nuy	
City		State		Zip	Country
	I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.				
The above-id	dentified application was made or author	orized to be made by me.			
	I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.				
Relationship to the inventor to whom this substitute statement applies:  Legal Representative (for deceased or legally incapacitated inventor only),  Assignee,  Person to whom the inventor is under an obligation to assign,  Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or  Joint Inventor.					

[Page 1 of 2]

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## **SUBSTITUTE STATEMENT**

Circumstances permitting execution of this substitute statement:				
Inventor is deceased,				
Inventor is under legal incapacity,				
Inventor cannot be found or reached after diligent effort, or				
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.				
If there are joint inventors, please check the ap	propriate box below:			
An application data sheet under 37 Cl or is currently submitted.	FR 1.76 (PTO/AIA/14 or equiv	alent) naming the entir	e inventive entity has been	
OR An application data sheet under 37 CI Statement Supplemental Sheet (PTO) information is attached. See 37 CFR	/AIA/11 or equivalent) naming			
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Title of Person Executing				
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Title of Invention	WATER SOLUBLE 4-AZAPODOPHYLLOTOXIN ANALOGS			
This statement is directed to:  The attached application.  OR  EP2015/050702 January 15, 2015  Munited States application or PCT international application number filed on				
Mailing Addre	ss (except for a deceased or legally incapar	citaled inventor).		
Cây		State	Z io	Country
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	ip to the inventor to whom this substitu- egal Representative (for deceased or le esignes, erson to whom the inventor is under an erson who otherwise shows a sufficient sint Inventor.	egally incapacitated invento obligation to assign,		OFR 1.45 is required), or

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19 Sept. 19

**PATENT** 

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Inventor cannot be found or read	hed after diligent effort, or		
Inventor has refused to execute t	he path or declaration under 37 C	FR 1.63.	
If there are joint inventors, please check ti	ne appropriate box below:		
An application data sheet under : or is currently submitted.	37 CFR 1.76 (PTO/AIA/14 or equi	ivalent) naming the entire	s inventive entity has been
08			
An application data sheet under Statement Supplemental Sheet ( information is attached. See 37 C	PTO/AIA/11 or equivalent) namin	ivalent) has not been sui g the entire inventive en	pmitted. Thus, a Substitute tity and providing inventor
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Signature: ASCO	Zamanii Mar		
APPLICANT NAME AND TITLE OF PERS			
	olicant name and the tille of the si LE SUPERIEURE DE CH		
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This Substitute Statement The signer, whose title is supplied above, it			
Residence of the signer (unless provide	d in an application data sheet, l	PTO/AIA/14 or equivale	· · · · · · · · · · · · · · · · · · ·
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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4147770

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

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