504140709 12/12/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4180553

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	EMPLOYMENT CONTRACT	

CONVEYING PARTY DATA

Name	Execution Date
JEFFREY FALKNER	08/11/2009

RECEIVING PARTY DATA

Name:	RENOLD PLC		
Street Address:	STYAL ROAD, WYTHENSHAWE		
Internal Address:	TRIDENT 2, TRIDENT BUSINESS PARK		
City:	MANCHESTER		
State/Country:	UNITED KINGDOM		
Postal Code:	M22 5XB		

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14496664

CORRESPONDENCE DATA

Fax Number: (716)626-0366

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7166261564

Email: PatentEFS@idealawyers.com
Correspondent Name: SIMPSON & SIMPSON, PLLC

Address Line 1: 5555 MAIN STREET

Address Line 4: WILLIAMSVILLE, NEW YORK 14221

ATTORNEY DOCKET NUMBER:	RNLP101US
NAME OF SUBMITTER:	CHRISTINA YAPLE
SIGNATURE:	/Christina Yaple/
DATE SIGNED:	12/12/2016

Total Attachments: 5

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RECORDATION FORM COVER SHEET				
	S ONLY			
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.			
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)			
la ffere e F - Harray	Name:Renold PLC			
Jeffrey Falkner	Internal Address: Trident 2, Trident Business Park			
Additional name(s) of conveying party(ies) attached? Yes No				
3. Nature of conveyance/Execution Date(s):	Street Address: Styal Road, Wythenshawe			
Execution Date(s) 08/11/2009				
Assignment Merger				
Security Agreement Change of Name	City: Manchester			
☐ Joint Research Agreement	State:			
Government Interest Assignment	Country:United Kingdom ZipM22 5XB			
Executive Order 9424, Confirmatory License	Country of the Countr			
Other_Employment Contract	Additional name(s) & address(es) attached? Yes X No			
4. Application or patent number(s):	document is being filed together with a new application.			
A. Patent Application No.(s)	B. Patent No.(s)			
14/406 864				
14/496,664				
Additional numbers at	l tached? ☐ Yes ☒ No			
5. Name and address to whom correspondence	6. Total number of applications and patents			
concerning document should be mailed:	involved: 1			
Name Simpson & Simpson, PLLC	7. Total fee (37 CFR 1.21(h) & 3.41) \$0.00			
Internal Address:				
	Authorized to be charged to deposit account			
Street Address:5555 Main Street	Enclosed			
	⊠ None required (government interest not affecting title)			
City: Williamsville	8. Payment Information			
State:New York Zip14221				
Phone Number:716-626-1564	Changeit Associat Number 500922			
Docket NumberRNLP101US	Deposit Account Number _500822 Authorized User Name Robert P. Simpson			
Email Address: PatentEFS@idealawyers.com				
9. Signature: /Pohot P. Simpson/	December 12, 2016			
/Robert P. Simpson/ Signature	December 12, 2016 Date			
Robert P. Simpson	Total number of pages including cover 5			
Name of Person Signing	Total number of pages including cover sheet, attachments, and documents: 5 t) should be faxed to (671) 273-0140, or mailed to:			

STATEMENT OF EMPLOYMENT PARTICULARS

Name of Employer:

Renold Gears ('the Company')

Holroyd Gear Works

Milnrow Rochdale OL16 3LS

Name of Employee:

JEFF FALKNER

7, Pickletleaze, CHIPPENHAM Wiltshire.

SN14 0DN, U.K.

INTRODUCTION

This statement sets out certain particulars of the Terms & Conditions of your employment at Renold Gears in accordance with the Employment Rights Act 1996.

GENERAL

Your employment with the Company is in accordance with the Terms & Conditions of Employment for Staff Employees. This is available for inspection at any time from the Personnel Department.

DATE OF COMMENCEMENT OF EMPLOYMENT

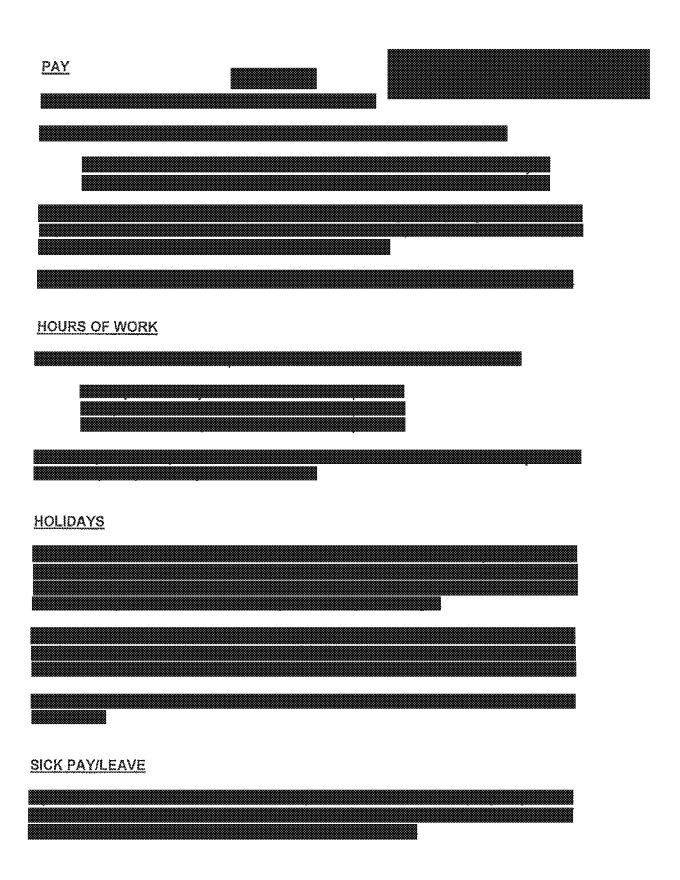
Your employment with the Company began on <u>Ist Data to 2009</u> Your employment with any previous employer does not count as part of your continuous employment with the Company.

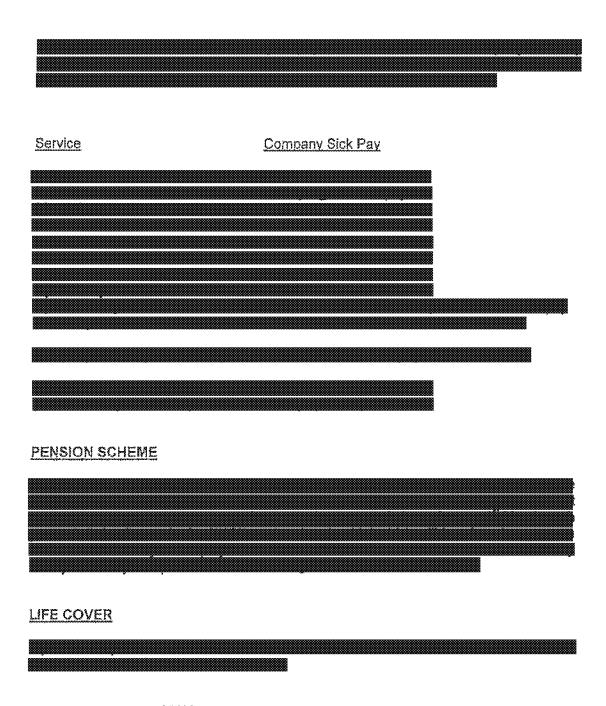
JOB TITLE

The title of the job in which you are employed is Senior Mass Transit Design Engineer. This title does not limit your duties and the Company may require you from time to time to do any work within your capacity.

PLACE OF WORK

You will be home-based but, with reasonable notice, may be required to work from time to time at any of the Renold UK sites





PATENTS & INVENTIONS

You agree that all rights in respect of every invention made by you whilst employed by the company whether or not so made during the course of your employment hereunder shall belong to the Company and all such rights are hereby assigned to the Company which shall be exclusively entitled to apply for patents thereof.

CONFIDENTIAL INFORMATION

TERMINATION OF EMPLOYMENT

Except in the proper course of your duties you shall not, during the continuance of your employment or within two years of its termination, disclose to any other person any confidential information relating to the Company or the companies within the Renold Group. Neither shall you use, nor attempt to use, any such confidential information in any manner which may cause, or be calculated to cause, injury, loss or damage to the Company or companies within the Renold Group.

During your employment you shall use your best endeavours to prevent the disclosure of such confidential information by third parties.

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GRIEVANCE PROCEDURE

If you have any grievance relating to your employment you should raise this in writing with your immediate Supervisor/Manager. If the matter is not resolved at that level, the subsequent steps in the Company's Grievance Procedure (which will be handed to you on commencement of your employment) should be followed.

DISCIPLINARY RULES

The disciplinary rules that apply to your employment and the procedures that apply to taking disciplinary decisions relating to you (including dismissal) are set out in the Disciplinary Procedure, a copy of which will be handed to you on commencement of your employment. If you are dissatisfied with any disciplinary decision relating to you including a decision to dismiss you, you can apply to the next higher level of Management to that of the person giving the contested warning/dismissal.

The foregoing particulars are accurate as of date of signing. Any subsequent changes to the above will be notified in writing to you within one month of the date of the change.

I confirm I have received and accept the Statement of Employment Particulars set out above.

Signed J. Falkner Date 08/11/09

PATENT REEL: 040884 FRAME: 0124

RECORDED: 12/12/2016