

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4180553

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	EMPLOYMENT CONTRACT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JEFFREY FALKNER	08/11/2009
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	RENOLD PLC
<b>Street Address:</b>	STYAL ROAD, WYTHENSHAW
<b>Internal Address:</b>	TRIDENT 2, TRIDENT BUSINESS PARK
<b>City:</b>	MANCHESTER
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	M22 5XB
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14496664
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(716)626-0366
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	7166261564
<b>Email:</b>	PatentEFS@idealawyers.com
<b>Correspondent Name:</b>	SIMPSON & SIMPSON, PLLC
<b>Address Line 1:</b>	5555 MAIN STREET
<b>Address Line 4:</b>	WILLIAMSVILLE, NEW YORK 14221
<b>ATTORNEY DOCKET NUMBER:</b>	RNLP101US
<b>NAME OF SUBMITTER:</b>	CHRISTINA YAPLE
<b>SIGNATURE:</b>	/Christina Yapple/
<b>DATE SIGNED:</b>	12/12/2016
<b>Total Attachments: 5</b>	
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source=RNLP101US_Redacted_Employment_Contract#page4.tif	

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Jeffrey Falkner

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Renold PLC

Internal Address: Trident 2, Trident Business Park

Street Address: Styal Road, Wythenshawe

City: Manchester

State: \_\_\_\_\_

Country: United Kingdom Zip: M22 5XB

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 08/11/2009

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other Employment Contract

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

14/496,664

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Simpson & Simpson, PLLC

Internal Address: \_\_\_\_\_

Street Address: 5555 Main Street

City: Williamsville

State: New York Zip: 14221

Phone Number: 716-626-1564

Docket Number: RNLP101US

Email Address: PatentEFS@idealawyers.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$0.00

- Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 500822

Authorized User Name Robert P. Simpson

**9. Signature:**

/Robert P. Simpson/

December 12, 2016

Signature

Date

Robert P. Simpson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## STATEMENT OF EMPLOYMENT PARTICULARS

Name of Employer: Renold Gears ('the Company')  
Holroyd Gear Works  
Milnrow  
Rochdale  
OL16 3LS

Name of Employee: JEFF FALKNER  
7, Pickletleaze,  
CHIPPENHAM  
Wiltshire,  
SN14 0DN, U.K.

### INTRODUCTION

This statement sets out certain particulars of the Terms & Conditions of your employment at Renold Gears in accordance with the Employment Rights Act 1996.

### GENERAL

Your employment with the Company is in accordance with the Terms & Conditions of Employment for Staff Employees. This is available for inspection at any time from the Personnel Department.

### DATE OF COMMENCEMENT OF EMPLOYMENT

Your employment with the Company began on 1st December 2009 Your employment with any previous employer does not count as part of your continuous employment with the Company.

### JOB TITLE

The title of the job in which you are employed is Senior Mass Transit Design Engineer. This title does not limit your duties and the Company may require you from time to time to do any work within your capacity.

### PLACE OF WORK

You will be home-based but, with reasonable notice, may be required to work from time to time at any of the Renold UK sites

PAY

[REDACTED]

HOURS OF WORK

[REDACTED]

HOLIDAYS

[REDACTED]

SICK PAY/LEAVE

[REDACTED]

[REDACTED]

Service

Company Sick Pay

[REDACTED]

[REDACTED]

[REDACTED]

PENSION SCHEME

[REDACTED]

LIFE COVER

[REDACTED]

PATENTS & INVENTIONS

You agree that all rights in respect of every invention made by you whilst employed by the company whether or not so made during the course of your employment hereunder shall belong to the Company and all such rights are hereby assigned to the Company which shall be exclusively entitled to apply for patents thereof.

CONFIDENTIAL INFORMATION

Except in the proper course of your duties you shall not, during the continuance of your employment or within two years of its termination, disclose to any other person any confidential information relating to the Company or the companies within the Renold Group. Neither shall you use, nor attempt to use, any such confidential information in any manner which may cause, or be calculated to cause, injury, loss or damage to the Company or companies within the Renold Group.

During your employment you shall use your best endeavours to prevent the disclosure of such confidential information by third parties.

TERMINATION OF EMPLOYMENT

[REDACTED]

GRIEVANCE PROCEDURE

If you have any grievance relating to your employment you should raise this in writing with your immediate Supervisor/Manager. If the matter is not resolved at that level, the subsequent steps in the Company's Grievance Procedure (which will be handed to you on commencement of your employment) should be followed.

DISCIPLINARY RULES

The disciplinary rules that apply to your employment and the procedures that apply to taking disciplinary decisions relating to you (including dismissal) are set out in the Disciplinary Procedure, a copy of which will be handed to you on commencement of your employment. If you are dissatisfied with any disciplinary decision relating to you including a decision to dismiss you, you can apply to the next higher level of Management to that of the person giving the contested warning/dismissal.

The foregoing particulars are accurate as of date of signing. Any subsequent changes to the above will be notified in writing to you within one month of the date of the change.

I confirm I have received and accept the Statement of Employment Particulars set out above.

Signed J. Falkner Date 08/11/09  
J. Falkner