#### 504168499 01/09/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4215177

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CHARLES T. BLACK	01/03/2017
AARON STEIN	01/03/2017
GWEN WRIGHT	01/03/2017
KEVIN G. YAGER	01/03/2017

#### **RECEIVING PARTY DATA**

Name:	Brookhaven Science Associates, LLC	
Street Address:	40 Brookhaven Ave - P.O. Box 5000	
Internal Address:	Bldg. 460	
City:	Upton	
State/Country:	NEW YORK	
Postal Code:	11973-5000	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15269202

## **CORRESPONDENCE DATA**

Fax Number: (631)344-3729

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6313444153 Email: ott@bnl.gov

**Correspondent Name: BROOKHAVEN NATIONAL LABORATORY** 

BLDG. 490C - 30 BELL AVE Address Line 1: UPTON, NEW YORK 11973-5000 Address Line 4:

ATTORNEY DOCKET NUMBER:	BSA15-21
NAME OF SUBMITTER:	DORENE M. PRICE
SIGNATURE:	/Dorene M. Price/
DATE SIGNED:	01/09/2017

**Total Attachments: 2** 

source=BSA15-21\_nonprovassignment#page1.tif

**PATENT** REEL: 040891 FRAME: 0914

504168499

source=BSA15-21\_nonprovassignment#page2.tif

PATENT REEL: 040891 FRAME: 0915 WHEREAS, we <u>Charles T. Black</u>, Aaron Stein, Gwen Wright, and Kevin G. Yager, residing in <u>New York</u>, County of <u>Manhattan</u>, State of <u>New York</u>, in <u>Huntington Station</u>, County of <u>Suffolk</u>, State of <u>New York</u>, in <u>Medford</u>, County of <u>Suffolk</u>, State of <u>New York</u>, and in <u>East Setauket</u>, County of <u>Suffolk</u>, State of <u>New York</u>, ASSIGNORS, are the inventors of an invention and certain new and useful improvements disclosed in an application entitled "<u>Lithographic Patterning</u>"; we filed the said non-provisional application for United States Letters Patent accorded <u>S.N. 15/269,202 on 09/19/2016</u>; and;

WHEREAS, the **Brookhaven Science Associates**, **LLC** incorporated in Delaware (BSA) having an office at **40 Brookhaven Avenue**, **Building 460**, **Upton**, **NY 11973-5000**, desires to acquire the entire right, title, and interest in, under and to the said invention and improvements disclosed in the provisional application, and any Letters Patent wherever they may be issued thereon;

WHEREAS, BSA has elected to take title to said invention pursuant to P.L. 98-620 and has informed the U.S. Department of Energy of that election and the U.S. Department of Energy has not exercised any exceptions thereto under either 401.3(a)(2) or (3) of P.L. 98-620, but retains the right to do so;

NOW, THEREFORE, to all whom it may concern, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned ASSIGNORS by these presents do sell, assign, and transfer unto BSA the entire right, title, and interest in, under and to the said invention and improvements as disclosed in the said application as well as any non-provisional, divisional, continuation, renewal, substitute, reissue, reexamination, conversion, and all other applications for Letters Patent or the legal equivalent thereof wherever they may be filed, and in, under and to any and all Letters Patent or the legal equivalent thereof wherever they may be issued thereon including all original, reissue, reexamination, and extensions of said Letters Patent or the legal equivalent thereof;

AND WE HEREBY authorize and request the issuing authority whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to and in the name of Brookhaven Science Associates, LCC;

AND WE HEREBY covenant and agree that we have full right to convey the entire right, title and interest herein assigned, and that we have not executed, and will not execute, any assignment, grant, mortgage, license or other agreement in conflict herewith;

AND WE HEREBY agree to make, execute, and deliver unto BSA any and all rightful oaths, declarations, assignments, powers of attorney, affidavits, statements, and all other documents or instruments; we agree to execute all non-provisional, renewal, divisional, continuation, substitute, foreign, and all other applications, as well as all reexamination and reissue of Letters Patent; we agree to communicate to BSA, its successors, legal representatives, or its assigns, any facts known to us respecting the said invention and improvements and the history thereof; we agree to testify as to the same in or incident to the prosecution or conduct of any and all applications, before as well as after the issuance of any Letters Patent thereon, or in the adjustment or settlement of any interferences, litigations, or other actions or proceedings that said applications may encounter or in which they may become involved as may be required by BSA, or its duly authorized representative; and we agree to generally do everything possible to aid BSA in protecting the said invention and improvements, and in obtaining, maintaining, defending and enforcing proper protection for the said invention and improvements as may be requested by BSA, its successors, legal representatives or its assigns, except that any expenses arising through extending such assistance will be paid for by proper arrangement with BSA;

AND WE HEREBY agree and acknowledge that the sale, assignment and transfer of rights and property set forth herein is and shall be irrevocable and binding upon the heirs, assigns, representatives and successors of each undersigned ASSIGNOR and shall extend to the successors, assigns and nominees of BSA.

PATENT REEL: 040891 FRAME: 0916

IN TESTIMONY WHEREOF, we hereunt	o set our hands and seals the day and	d year set opposite our respective signatures.
Date: $1/3/17$	ClBell	L.S.
	CHARLES T. BLACK	
State of NEW YORK		
County of SUFFOLK		
On this 3 RD day of 5an.	_, 2017, before me, a Notary Publi	c in and for the State and County aforesaid,
		to be the person of that name, who signed and
sealed the foregoing instrument, and s/he a	cknowledged the same to be her/his	free act and deed.
•	Can m	Lange la
·	NOTARY PUB	DUREEN M. DAUSCHER
	110111111102	Notary Public, State of New York No. 52-4903669
1/ 1	NP	Qualified in Sutfolk County Commission Expires 8/24, 20
Date: 1/3/2017		L.S.
State of NEW YORK	AARONSTEIN	·
State of NEW YORK County of SUFFOLK		•
~		•
On this $3^{R}$ day of $3^{R}$	, 2017, before me, a Notary Publi	c in and for the State and County aforesaid,
	e known and known to me to be the p	person of that name, who signed and sealed the
foregoing instrument, and s/he acknowledg	ed the same to be her/his free act an	d deed.
	1) m	
	NOTARY PUB	TIC DUTLEN M. DAUSCHER
	NOTART PUB	No. 52-4903669
1 2	$\wedge$	Qualified in Surfolk County Commission Expires 8/24, 20
Date: Jan 3 2017	_ Juin wingh	L.S. DURLEN M. DAUSCHER
	GWEN WRIGHT	Notary Public, State of New York No. 52-4903659
State of NEW YORK		Qualified in Sutfolk County Commission Expires 8/24, 20
County of Suffolk		
On this 3RD day of Jan.	2017 before me a Notary Publi	c in and for the State and County aforesaid,
	<del></del>	the person of that name, who signed and sealed
the foregoing instrument, and s/he acknowl		
	$\sim$	
	oreen M.	Causcher
	NOTARY PUB	LIC
Date: Jan 3 2017		> L.S.
	KEVIN G. YAGER	•
State of NEW YORK		
County of SUFFOLK		
On this 3 <sup>Rb</sup> day of $\sqrt{3}$ on $\sqrt{3}$	2017 hafara ma a Natara D. 11:	o in and for the State and County of
	_, 2017, before the, a Notary Public o me known and known to me to be t	c in and for the State and County aforesaid, he person of that name, who signed and sealed
the foregoing instrument, and s/he acknowledge.		
	coven M.	auscher
	NOTARY PUB	LIC

DURLEN M. DAUSCHER Notary Public, State of New York No. 52-4903669 Qualified in Suifolk Dounty Commission Expires 8/24/2017 PATENT