504131253 12/09/2016

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PAUL DAVIDS	12/01/2016
CHRISTOPHER DEROSE	11/16/2016
ANNA TAUKE-PEDRETTI	11/17/2016

RECEIVING PARTY DATA

Name:	SANDIA CORPORATION	
Street Address:	P.O. BOX 5800, MS-0161	
City:	ALBUQUERQUE	
State/Country:	NEW MEXICO	
Postal Code:	87185	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15337179

CORRESPONDENCE DATA

Fax Number: (505)844-9955

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 505-844-7283 Email: dcates@sandia.gov

SANDIA NATIONAL LABORATORIES **Correspondent Name:**

Address Line 1: P.O. BOX 5800, MS-0161

Address Line 4: ALBUQUERQUE, NEW MEXICO 87185

ATTORNEY DOCKET NUMBER:	SD13957/S144844
NAME OF SUBMITTER:	DIANA SCHANNING
SIGNATURE:	/Diana Schanning/
DATE SIGNED:	12/09/2016

Total Attachments: 3

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PATENT REEL: 040960 FRAME: 0989 504131253

ASSIGNMENT

WHEREAS, Paul Davids, Christopher DeRose, Anna Tauke-Pedretti, of Albuquerque, NM (hereinafter "Inventor(s)") has(have) made an invention relating to INTEGRATED OPTICAL TRANSCEIVER WITH ELECTRONICALLY CONTROLLED OPTICAL BEAMSTEERING in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 15/337,179for United States patent, filed September 29, 2016 and identified as DOE Docket No: S-144844 duly executed by said inventor(s); and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, and in and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, utilities, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said invention in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation. Inventor(s) hereby authorizes and requests the Commissioner of Patents to issue all Letters Patent issuing therefrom to Sandia Corporation, for its interest as Sandia Corporation, its successors and assigns.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agrees, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government,

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or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all U.S. and foreign applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Paul Davids

Christopher DeRose

Anna Tauke-Pedretti

12/1/2016
Date

11/16/2016
Date

11/17/2016
Date

STATE OF NEW MEXICO

) ss:

COUNTY OF BERNALILLO)

On the above dates, personally appeared before me, Diana Schanning, Notary Public, Paul Davids, Christopher DeRose, Anna Tauke-Pedretti, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.

Notarv Public

OFFICIAL SEAL Diana Schanning My Commission Expires:

Approved and consented by:

SANDIA CORPORATION

Date: <u>/ 7/ 1///</u>

Kerry Kampschmidt Sr. Managing IP Counsel

Legal Technology Transfer Center

STATE OF NEW MEXICO)

) ss:

COUNTY OF BERNALILLO)

RECORDED: 12/09/2016

On the above date, personally appeared before me, Diana Schanning, Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Notary Public

OFFICIAL SEAL

Diana Schanning

NOTARY PUBLIC
STATE OF NEW MEXICO

My Commission Expires.

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