504131555 12/15/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
KRISTOPHER ERIC SHAFFER	12/14/2016

### **RECEIVING PARTY DATA**

Name:	CATERPILLAR INC.
Street Address:	100 NE ADAMS STREET
City:	PEORIA
State/Country:	ILLINOIS
Postal Code:	61629-9510

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15380401

### **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: faxserve@finnegan.com

Correspondent Name: CATERPILLAR/FINNEGAN, HENDERSON, L.L.P.

Address Line 1: 901 NEW YORK AVENUE, NW Address Line 4: WASHINGTON, D.C. 20001-4413

ATTORNEY DOCKET NUMBER:	08350.2373-00000
NAME OF SUBMITTER:	DIANNA WILLIAMS
SIGNATURE:	/Dianna Williams/
DATE SIGNED:	12/15/2016

**Total Attachments: 2** source=assign#page1.tif source=assign#page2.tif

PATENT 504131555 REEL: 040961 FRAME: 0765

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.2373-00000 Client Ref. No. 16-1838

#### **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

#### SYSTEM FOR MONITORING MACHINE FLUIDS

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 NE Adams Street, Peorla, IL 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof, and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, IAVE HEREBY covenant that IAWe have the full right to convey the interest assigned by this Assignment, and IAWe have not executed and will not execute any agreement in conflict with this Assignment;

AND, IWE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE	HEREBY authorize	Assignee or the a	ittomeys/repres	sentatives of Assigne	e to insert here in
parentheses the cou				The state of the s	ation No.
filed	<b>)</b> .				***************************************

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PATENT REEL: 040961 FRAME: 0766

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08356,2373-00000 Client Ref. No. 16-1838

# IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR Kristopher Eric Shaffer	ASSIGNOR'S SIGNATURE	))//4//6
ADDRESS 11912 N. Hickory Grove Rd., Dunlap, IL 61525		CITIZENSHIP USA
1. WITNESS NAME Betta Sebas ht	WITNESS SIGNATURE  SUMMARY	DATE 14-16
ADDRESS 4606 ELBUT LM	Chilliethe II 61523	ČITIZENSHIP
*		,
2. WITNESS NAME	WITHESS SIGNATURE	DATE
Jake Todino	John Tolen	12/14/16
ADDRESS 420 Foodube Dr., East Peris	, IL 61611	CITIZENSHIP USA

PATENT REEL: 040961 FRAME: 0767