## 504177278 01/13/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4223956

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		

### **CONVEYING PARTY DATA**

Name	Execution Date
DEEPAK KUMAR	01/12/2017
FOLKERT HORST	01/11/2017

## **RECEIVING PARTY DATA**

Name:	NEW YORK AIR BRAKE	
Street Address:	748 STARBUCK AVENUE	
City:	WATERTOWN	
State/Country:	NEW YORK	
Postal Code:	13601	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number		
Application Number:	15405362		

### **CORRESPONDENCE DATA**

Fax Number:

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ATTORNEY DOCKET NUMBER:	777P1303A	
NAME OF SUBMITTER:	DAVID L. NOCILLY	
SIGNATURE:	/David L. Nocilly/	
DATE SIGNED:	01/13/2017	

## **Total Attachments: 3**

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PATENT 504177278 REEL: 040964 FRAME: 0355

#### PATENT APPLICATION

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN
APPLICATION USING AN APPLICATION DATA SHEET
AND ASSIGNMENT
ATTORNEY DOCKET NO.
777P1303A

DECLARATION UNDER 37 CFR 1.63				
Title of Inven	tion: TRAIN BRAKE SAFETY MONITORING AND FAULT ACTION SYSTEM WITH PTC BRAKE PERFORMANCE ASSURANCE			
As a below na	amed inventor, I hereby declare that:			
This declarati	on is directed to:			
_X_	The attached application, or			
	United States application or PCT international application number			

The above-identified application was made or authorized to be made by me. I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I am aware of the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby acknowledge that any willful false statement made in this declaration is punishable under 16 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

#### ASSIGNMENT

WHEREAS, the undersigned inventor(s) has/have made certain new inventions and/or useful improvements in the above-identified application for Letters Patent which is being or has been filed with the United States Patent and Trademark Office.

WHEREAS, New York Air Brake LLC, a limited liability company duly organized and existing under the laws of the State of Delaware and having a principal place of business at 748 Starbuck Avenue, Watertown, New York 13601, United States of America ("Assignee"), is desirous of acquiring our entire right, title and interest in the same.

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration to me in hand paid, the receipt of which is hereby duly and fully acknowledged, Assignors Deepak Kumar and Folkert Horst have sold, and BY THESE PRESENTS do sell, assign, transfer and set over unto Assignee New York Air Brake LLC, their entire right, title and interest in and to the aforesaid application for Letters Patent, including all divisionals, continuations, reissues, reexaminations, and all foreign patents thereof.

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PATENT REEL: 040964 FRAME: 0356

Legal Name of						
Inventor:	Deepa	k Kumar				
	gunar.			Januar	y 12 <sup>th</sup> 20	リチ
Inventor's Signa	ture				Date	
Residing at:	1566 Provid Kingston, O Canada K7F					
STATE OF NEW Y	ORK (ORK	) ) ss.:				
COUNTY OF		)				
whose name(s) is (a capacity(ies), and the	Deepak Kumar pare) subscribed to the	in the yearersonally known to me of the within instrument and ignature(s) on the instrument.	or proved to me acknowledged t	on the basis of satis o me that he/she/the	factory evidence to by executed the same	be the individual(s) in his/her/their
					Notary Public	a a galanda da d

Legal Name of			
Inventor:	Fol	kert Horst	
<u> </u>	Mari	7	> 11-JAN-2017
Inventor's Signa	ture	The second secon	Date
Residing at:	161 Fairl Ottawa, C Canada, 1	Ontario	
STATE OF NEW Y	'ORK	) ) ss.:	
COUNTY OF		) 33	
personally appeared whose name(s) is (a	Folkert Horst re) subscribed to at by his/her/the	personally known to me or the within instrument and a ir signature(s) on the instrum	before me, the undersigned, a notary public in and for said State, proved to me on the basis of satisfactory evidence to be the individual(s) cknowledged to me that he/she/they executed the same in his/her/their the individual(s) or the person upon behalf of which the
			Notary Public