

<b>PATENT ASSIGNMENT COVER SHEET</b>
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EPAS ID: PAT4223640

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
PAUL D. SWANSON	12/22/2016
BRIAN B. DICK	01/10/2017
MAXWELL KERBER	01/03/2017
ALEX G. PHIPPS	01/09/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	United States of America as represented by the Secretary of the Navy
<b>Street Address:</b>	One Liberty Center, 875 North Randolph Street, Suite 1425
<b>Internal Address:</b>	Office of Naval Research
<b>City:</b>	Arlington
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	22203-1995
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15405191
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(619)553-3821
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	619-553-3001
<b>Email:</b>	sscsd_patents@navy.mil
<b>Correspondent Name:</b>	OFFICE OF PATENT COUNSEL
<b>Address Line 1:</b>	53510 SILVERGATE AVE.
<b>Address Line 2:</b>	SPAWARSSYSCEN PACIFIC CODE 36000
<b>Address Line 4:</b>	SAN DIEGO, CALIFORNIA 92152-5765
<b>ATTORNEY DOCKET NUMBER:</b>	102671
<b>NAME OF SUBMITTER:</b>	KYLE EPPELE
<b>SIGNATURE:</b>	/Kyle Eppele/
<b>DATE SIGNED:</b>	01/12/2017
<b>Total Attachments: 4</b>	

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Inventors: Paul D. Swanson, Brian B. Dick, Maxwell Kerber and Alex G. Phipps

ASSIGNMENT

WHEREAS, we, Paul D. Swanson of Santee CA, Brian B. Dick, Maxwell Kerber and Alex G. Phipps of San Diego, CA, respectively, while employed by the Government of the United States, have invented certain new and useful improvements in:

Low Profile Kinetic Harvester

identified as Navy Case No.102671, described in application for Letters Patent of the United States of America executed by us on 22 December 2016; and

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letter Patent or other forms of protection, without payment of any consideration; provided, however that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within eight months of the filing date of any application for United States Letter Patent covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to us subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

We hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

Name /Date Paul D. Swanson 12/22/2016  
Paul D. Swanson (signature & Date)

Name/Date \_\_\_\_\_  
Brian B. Dick (signature & Date)

Name /Date \_\_\_\_\_  
Maxwell Kerber (signature & date)

Name/Date \_\_\_\_\_  
Alex G. Phipps (signature & Date)

Navy Case No. 102671

Inventors: Paul D. Swanson, Brian B. Dick, Maxwell Kerber and Alex G. Phipps

**ASSIGNMENT**

WHEREAS, we, Paul D. Swanson of Santee CA, Brian B. Dick, Maxwell Kerber and Alex G. Phipps of San Diego, CA, respectively, while employed by the Government of the United States, have invented certain new and useful improvements in:

**Low Profile Kinetic Harvester**

identified as Navy Case No. 102671, described in application for Letters Patent of the United States of America executed by us on  
10 January 2017 and

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

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IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

Name /Date \_\_\_\_\_  
Paul D. Swanson (signature & Date)

Name/Date  01/10/17  
Brian B. Dick (signature & Date)

Name /Date \_\_\_\_\_  
Maxwell Kerber (signature & date)

Name/Date \_\_\_\_\_  
Alex G. Phipps (signature & Date)

Navy Case No. 102671

Inventors: Paul D. Swanson, Brian B. Dick, Maxwell Kerber and Alex G. Phipps

ASSIGNMENT

WHEREAS, we, Paul D. Swanson of Santee CA, Brian B. Dick, Maxwell Kerber and Alex G. Phipps of San Diego, CA, respectively, while employed by the Government of the United States, have invented certain new and useful improvements in:

Low Profile Kinetic Harvester

identified as Navy Case No.102671, described in application for Letters Patent of the United States of America executed by us on 3 January 2017 [redacted] and

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letter Patent or other forms of protection, without payment of any consideration; provided, however that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within eight months of the filing date of any application for United States Letter Patent covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to us subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

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IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

Name /Date \_\_\_\_\_  
Paul D. Swanson (signature & Date)

Name/Date \_\_\_\_\_  
Brian B. Dick (signature & Date)

Name /Date Maxwell Kerber 1/03/2017  
Maxwell Kerber (signature & date)

Name/Date \_\_\_\_\_  
Alex G. Phipps (signature & Date)

Navy Case No. 102671

Inventors: Paul D. Swanson, Brian B. Dick, Maxwell Kerber and Alex G. Phipps

ASSIGNMENT

WHEREAS, we, Paul D. Swanson of Santee CA, Brian B. Dick, Maxwell Kerber and Alex G. Phipps of San Diego, CA, respectively, while employed by the Government of the United States, have invented certain new and useful improvements in:

Low Profile Kinetic Harvester

identified as Navy Case No. 102671, described in application for Letters Patent of the United States of America executed by us on 4 January 2017 and

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

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IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

Name /Date \_\_\_\_\_  
Paul D. Swanson (signature & Date)

Name/Date \_\_\_\_\_  
Brian B. Dick (signature & Date)

Name /Date \_\_\_\_\_  
Maxwell Kerber (signature & date)

Name/Date Alex G. Phipps 1/4/2017  
Alex G. Phipps (signature & Date)

PATENT