

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	RIC B. RICHARDSON	12/12/2016
RECEIVING PARTY DATA		
Name:	HAVENTEC PTY LTD	
Street Address:	LEVEL 27, 1 MARKET STREET	
City:	SYDNEY NSW	
State/Country:	AUSTRALIA	
Postal Code:	2000	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15126434
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NAME OF SUBMITTER:	RAYMOND A. DIPERNA	
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DATE SIGNED:	01/17/2017	
Total Attachments: 3		
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source=0197394asg#page3.tif		

Practitioner's Docket No. U 019739-4

PATENT

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
 ☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: One Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Ric B RICHARDSON

(type or print name of inventor)

1 Alcorn St

SUFFOLK PARK NSW, Australia 2481

Address

Australian

Nationality

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

Haventec PTY LTD

(type or print name of ASSIGNEE)

Level 27, 1 Market Street

Address

Australian

Nationality

SYDNEY NSW, Australia 2000

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Persistent Authentication System Incorporating One Time Pass Codes

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PATENT

REEL: 040980 FRAME: 0770

Name of inventor(s) Ric B RICHARDSON

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
- (b) ☐ Not yet filed U.S. patent application executed on _____
- (c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the above-entitled invention
 - ☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☒ U.S. patent or provisional patent application no. 15/126,434 filed on September 15, 2016
- (e) ☐ International application no. PCT/_____/_____
- (f) ☐ U.S. patent no. _____ issued _____
 - ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

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(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Ric B RICHARDSON

(type name of Assignor)



Signature of ASSIGNOR

12/12/2016

this date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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