

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4231871

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	JAY M. SHORT	01/05/2017
RECEIVING PARTY DATA		
Name:	BIOATLA, LLC	
Street Address:	11011 TORREYANA ROAD	
City:	SAN DIEGO	
State/Country:	CALIFORNIA	
Postal Code:	92121	
PROPERTY NUMBERS Total: 3		
Property Type	Number	
Application Number:	15404060	
Application Number:	62277750	
PCT Number:	US2017012830	
CORRESPONDENCE DATA		
Fax Number:	(215)557-8477	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	215-557-6656	
Email:	juli@mendelip.com	
Correspondent Name:	KEVIN J. DUNLEAVY	
Address Line 1:	1500 JOHN F. KENNEDY BLVD.	
Address Line 2:	SUITE 312	
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19102	
ATTORNEY DOCKET NUMBER:	BIAT-1018US	
NAME OF SUBMITTER:	KEVIN J. DUNLEAVY	
SIGNATURE:	/Kevin J. Dunleavy/	
DATE SIGNED:	01/19/2017	
Total Attachments: 5		
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ASSIGNMENT

WHEREAS, I, Jay M. Short, residing at 12985 Via Esperia, Del Mar, California 92014, United States of America, (hereinafter referred to as, “the Assignor”) am the inventor of certain invention(s) or improvement(s) for which I have made or will make application(s) for Letters Patent under the Patent Cooperation Treaty, identified as an International Patent Application No. PCT/US2017/12830, filed January 10, 2017, entitled, “**Diagnostics Using Conditionally Active Antibodies**” having a docket no. BIAT-1018WO, and U.S. Patent Application No. 15/404,060, filed on January 11, 2017, entitled “**Diagnostics Using Conditionally Active Antibodies**”, and assigned attorney docket no. BIAT-1018US and U.S Provisional Patent Application No. 62/277,750, filed on January 12, 2016, entitled “**Diagnostics Using Conditionally Active Antibodies**”. If not already inserted, I hereby authorize and request the law firm of Mendelsohn Dunleavy, P.C., of 1500 John F. Kennedy Blvd., Suite 312, Philadelphia, PA 19102, to insert herein the filing date(s) and application number(s) of said application(s) when known.

WHEREAS, **BioAtla, LLC**, hereinafter referred to as the ASSIGNEE, having its principal place of business at **11011 Torreyana Road, San Diego, California 92121, United States of America**, is desirous of acquiring the entire right, title and interest in and to the said Patent Property in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said invention(s) or improvement(s) and said application(s) including the right to claim priority to the application(s) in any application entitled to claim such priority under national law, international conventions, treaties or otherwise, and any and all continuations, divisions and renewals of and substitutes for said application(s), and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in my name applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

AND I hereby covenant that I have full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said invention(s) or improvement(s), said application(s) and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and I agree to communicate to said assignee or to its nominee all known facts respecting said invention(s) or improvement(s), said application(s) and said Letters

Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said invention(s) or improvement(s) in any and all countries.

AND I hereby authorize and request the Director – U.S. Patent and Trademark Office and any official of any country or countries foreign to the United States whose duty it is to issue patents on application(s) as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said invention(s) or improvement(s), including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application(s) aforesaid, in accordance with the terms of this assignment.

This assignment shall be governed by the laws of the State of California, United States of America.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Dated: 1/5/17

Jay M. Short (L.S.)

Notarial Certificate

State of)

County)

Before me personally appeared Jay M. Short who acknowledged the foregoing instrument to be a free act and deed and also represented that s/he is authorized to execute the same the _____ day of _____, in the year 20____.

Notary Public

*Please see
attached CA
Notarial Certificate
1/5/17*

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

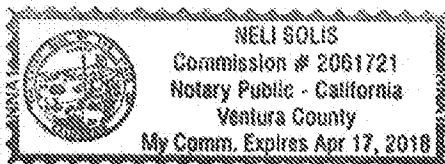
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of San Diego)
 On January 5, 2017 before me, Neli Solis, Notary Public
 Date Here Insert Name and Title of the Officer
 personally appeared Jay Milton Short
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment
 Document Date: _____ Number of Pages: _____
 Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Dated: 1-5-17

Carolyn Short (L.S.)

BioAtla, LLC

Name of Assignee's Representative: Carolyn Short

Title of Assignee's Representative: Member Partner

Notarial Certificate

State of)

)

County)

Before me personally appeared _____ who acknowledged the foregoing instrument to be a free act and deed and also represented that s/he is authorized to execute the same the _____ day of _____, in the year 20____.

*See attached
CA Notarial Certificate
(signature) 1/5/17*

Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

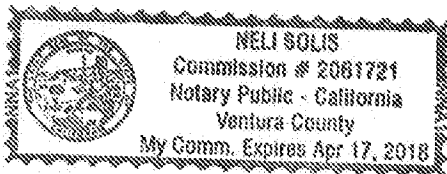
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of San Diego)
 On January 5, 2017 before me, Neli Solis, Notary Public
 Date Here Insert Name and Title of the Officer
 personally appeared Carolyn Anderson Short
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Neli Solis
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment
 Document Date: _____ Number of Pages: _____
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Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____