

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MATTHEW LE-KHAC	01/04/2017
WAYNE A. HENDRICKSON	01/17/2017
RECEIVING PARTY DATA	
Name:	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK
Street Address:	2960 BROADWAY
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10027
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	13128549
Application Number:	61113172
CORRESPONDENCE DATA	
Fax Number:	(215)568-3439
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2155683100
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Address Line 2:	12TH FLOOR, CIRA CENTRE
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19104
ATTORNEY DOCKET NUMBER:	103241.006059 / X5929
NAME OF SUBMITTER:	FAITH A. POORE
SIGNATURE:	/Faith A. Poore/
DATE SIGNED:	01/20/2017
Total Attachments: 4	
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ASSIGNMENT

WHEREAS, I, **Matthew Le-Khac**, a citizen of **United States**, residing at **160 Manhattan Avenue, Apt. 4B, Brooklyn, New York 11206-2059**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **103241.006059** ("the invention"), assigned application number **13/128,549** filed on **January 3, 2016**, entitled **SMALL MOLECULE CD4 MIMETICS AND USES THEREOF** ("the subject application"); which is a Section 371 national phase application of **PCT/US2009/006049** filed **November 10, 2009**, which claims benefit of application number **61/113,172** filed **November 10, 2008** ("the priority applications");

AND WHEREAS, **The Trustees of Columbia University in the City of New York**, ASSIGNEE, having a place of business at **2960 Broadway, New York, New York 10027**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, as part of the consideration I received from Columbia for my foregoing assignments, agreements, and other undertakings, the receipt and sufficiency of which is hereby acknowledged, I understand that Columbia will treat me as a Columbia inventor for purposes of sharing revenue arising from Columbia's commercialization of the invention according to Columbia's Statement of Policy on Proprietary Rights in the Intellectual Property of Faculty Activity, and, therefore, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority applications, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority applications and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.


AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention,

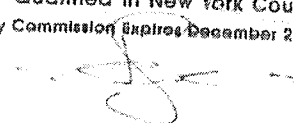
DOCKET NO.: 103241.006059

and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 4th day of Jan, year of 2017.


Signature - Matthew Le-Khac

KAREN JIEZHEN LI
NOTARY PUBLIC-STATE OF NEW YORK
No. 01116080912
Qualified in New York County
My Commission Expires December 23, 2018


STATEMENT OF NOTARY PUBLIC

STATE OF New York :

: SS

COUNTY OF New York :

On this 4th day of Jan, year of 2017, before me personally came the above named **Matthew Le-Khac** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.


Notary Public

KAREN JIEZHEN LI
NOTARY PUBLIC-STATE OF NEW YORK
No. 01116080912
Qualified in New York County
My Commission Expires December 23, 2018

ASSIGNMENT

WHEREAS, I, **Wayne A. Hendrickson**, a citizen of **United States**, residing at **45 Christopher Street, Apt. 16B, New York, New York 10014**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **103241.006059** ("the invention"), assigned application number **13/128,549** filed on **January 3, 2016**, entitled **SMALL MOLECULE CD4 MIMETICS AND USES THEREOF** ("the subject application"); which is a Section 371 national phase application of **PCT/US2009/006049** filed **November 10, 2009**, which claims benefit of application number **61/113,172** filed **November 10, 2008** ("the priority applications");

AND WHEREAS, **The Trustees of Columbia University in the City of New York**, ASSIGNEE, having a place of business at **2960 Broadway, New York, New York 10027**, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority applications, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority applications and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

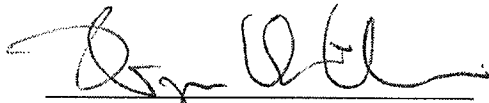
AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming

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the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 17th day of January, year of 2017.



Signature – Wayne A. Hendrickson

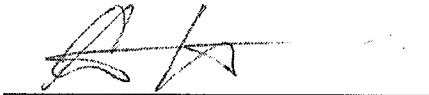
STATEMENT OF NOTARY PUBLIC

STATE OF New York :

: SS

COUNTY OF Kings :

On this 17 day of January, year of 2017, before me personally came the above named **Wayne A. Hendrickson** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.



Notary Public

ANTAYA MCKENZIE
NOTARY PUBLIC-STATE OF NEW YORK
No. 01MC6276331
Qualified in Kings County
My Commission Expires February 19, 2017