## 504192891 01/24/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4239566

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JIN SOO KIM	01/05/2017
DONG YOUN HWANG	12/18/2016

### **RECEIVING PARTY DATA**

Name:	COLLEGE OF MEDICINE POCHON CHA UNIVERSITY INDUSTRY-ACADEMIC COOPERATION FOUNDATION
Street Address:	120, HAERYONG-RO, GYEONGGI-DO
City:	POCHEON-SI
State/Country:	KOREA, REPUBLIC OF
Postal Code:	11160
Name:	INSTITUTE FOR BASIC SCIENCE
Name: Street Address:	INSTITUTE FOR BASIC SCIENCE 70 YUSEONG-DAERO 1689BEON-GIL, YUSEONG-GU
Street Address:	70 YUSEONG-DAERO 1689BEON-GIL, YUSEONG-GU

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15328628

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 703-263-9390

Email: lex1233@lexipgroup.com
Correspondent Name: LEX IP MEISTER, PLLC

Address Line 1: 5180 PARKSTONE DRIVE, SUITE 175

Address Line 4: CHANTILLY, VIRGINIA 20151

ATTORNEY DOCKET NUMBER:	LPP20164417US
NAME OF SUBMITTER:	JONGWON KIM
SIGNATURE:	/jwkim/
DATE SIGNED:	01/24/2017

PATENT 504192891 REEL: 041061 FRAME: 0464

This document serves as an Oath/Declaration (37 CFR 1.63).

## **Total Attachments: 4**

source=LPP20164417US\_DEC\_ASSIGN#page1.tif

source=LPP20164417US\_DEC\_ASSIGN#page2.tif

source=LPP20164417US\_DEC\_ASSIGN#page3.tif

source=LPP20164417US\_DEC\_ASSIGN#page4.tif

PATENT REEL: 041061 FRAME: 0465

## COMBINED DECLARATION AND ASSIGNMENT (37 CFR 1.63)

As the below named inventor, I hereby declare that this Declaration is directed to:

ADDRESS: 70 Yuseong-daero 1689beon-gil, Yuseong-gu, Daejeon 34047, Republic of Korea

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I, by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all

Page 1 of 2

divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Address all correspondence and telephone calls to LEX IP MEISTER, PLLC., 5180 Parkstone Drive, Suite 175, Chantilly, Virginia 20151; Telephone: (703) 263-9390; Fax: (703) 263-9361, Customer No. 66390.

FIRST INVENT	OR: Jin Soo KIM					
Signature:	かペく	Date:	January	5,	2017	
SECOND INVE	NTOR: Dong Youn HWAN	iG				
Signature:		Date:				***************************************
					·····	~~~~~

Page 2 of 2

## COMBINED DECLARATION AND ASSIGNMENT (37 CFR 1.63)

As the below named inventor, I hereby declare that this Declaration is directed to:

TITLE: Immune-compatible cells created by nuclease-mediated editing of genes encoding HLA the specification of which: (complete (a), (b) or (c)) (a) [] is attached hereto. (b) [] was filed in the U.S. Patent & Trademark Office on \_\_\_\_\_ and assigned Serial No.:\_\_\_ (if applicable). and was amended on (c) [x] was described and claimed in PCT International Application No. PCT/KR2015/008267 filed on August 6, 2015 and as amended under PCT Article 19 on \_\_\_\_\_ (if any). The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. WHEREAS, ASSIGNEE: College of Medicine Pochon CHA University Industry-Academic Cooperation Foundation ADDRESS: 120, Haeryong-ro, Pocheon-si, Gyeonggi-do 11160, Republic of Korea AND. ASSIGNEE: INSTITUTE FOR BASIC SCIENCE ADDRESS: 70 Yuseong-daero 1689beon-gil, Yuseong-gu, Daejeon 34047, Republic of Korea

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I, by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, Lagree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all

Page 1 of 2

divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Address all correspondence and telephone calls to LEX IP MEISTER, PLLC., 5180 Parkstone Drive, Suite 175, Chantilly, Virginia 20151; Telephone: (703) 263-9390; Fax: (703) 263-9361, Customer No. 66390.

FIRST IN	VENTOR:	Jin Soo KIM					
Signature:			Date:				
SECOND	INVENTOR:	Dong Youn HWAN	()				
Cionatara		angle and the state of the stat	Data	Dan	18	2016	

Page 2 of 2