# 504194074 01/24/2017

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4240749

SUBMISSION TYPE:		NEW ASSIGNMENT				
NATURE OF CONVEYANCE:		ASSIGNMENT				
CONVEYING PARTY D	ΑΤΑ					
		Name	Execution Date			
PLUMGRID, INC.			12/16/2016			
RECEIVING PARTY DA	TA					
Name:	NICIRA, I	NICIRA, INC.				
Street Address:	3401 HIL	3401 HILLVIEW AVENUE				
Internal Address:	LENNY H	LENNY HEYMAN				
City:	PALO AL	PALO ALTO				
State/Country:	CALIFOR	CALIFORNIA				
Postal Code:	94304	94304				
Property Type						
Application Number:	13	3888534				
Application Number:		3888534				
CORRESPONDENCE						
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i>	DATA (6 e sent to ti	3888534 50)427-4818 he e-mail address first; if that is unsu if that is unsuccessful, it will be sent				
CORRESPONDENCE E Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i>	OATA (6 e sent to ti provided; i	50)427-4818 <b>he e-mail address first; if that is uns</b> t				
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email:	DATA (6 pe sent to ti provided; i 30 ipa	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> )39424655 admin@vmware.com, wjacklin@hfzlaw	via US Mail.			
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name:	DATA (6 <i>e sent to ti</i> <i>provided; i</i> 30 ipa NI	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> )39424655 admin@vmware.com, wjacklin@hfzlaw  CIRA, INC.	via US Mail.			
CORRESPONDENCE E Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1:	DATA (6 provided; 1 30 ipa NI 34	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. I01 HILLVIEW AVENUE	via US Mail.			
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	DATA (6 provided; 1 30 ipa 31 34 PA	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> )39424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304	via US Mail.			
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	DATA (6 provided; 1 30 ipa 31 34 PA	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> )39424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IOI HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482	via US Mail.			
CORRESPONDENCE E Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NU	DATA (6 provided; 1 30 ipa 31 34 PA	50)427-4818 he e-mail address first; if that is unsu if that is unsuccessful, it will be sent 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482 WILLIAM E. JACKLIN	via US Mail.			
CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name:	DATA (6 provided; 1 30 ipa 31 34 PA	50)427-4818 <b>he e-mail address first; if that is unsu</b> <b>if that is unsuccessful, it will be sent</b> )39424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IOI HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482	via US Mail.			
CORRESPONDENCE E Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NU	DATA (6 provided; 1 30 ipa 31 34 PA	50)427-4818 he e-mail address first; if that is unsu if that is unsuccessful, it will be sent 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482 WILLIAM E. JACKLIN	via US Mail.			
CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUME NAME OF SUBMITTER: DATE SIGNED: Total Attachments: 4	DATA (6 <i>e sent to ti</i> <i>provided; i</i> 30 ipa 30 ipa 34 PA JMBER:	50)427-4818 he e-mail address first; if that is unsu- if that is unsuccessful, it will be sent 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482 WILLIAM E. JACKLIN /William E. Jacklin/ 01/24/2017	via US Mail.			
CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUME ADDRESS DOCKET NUME AME OF SUBMITTER: DATE SIGNED: Total Attachments: 4 ource=PLUMgrid to Nicin	DATA (6 re sent to th provided; f 30 ipa 34 P/ JMBER: Ta Patent As	50)427-4818 he e-mail address first; if that is unsu- if that is unsuccessful, it will be sent 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482 WILLIAM E. JACKLIN /William E. Jacklin/ 01/24/2017 ssignment Agreement#page1.tif	via US Mail.			
CORRESPONDENCE D Fax Number: Correspondence will b using a fax number, if Phone: Email: Correspondent Name: Address Line 1: Address Line 1: Address Line 4: TTORNEY DOCKET NU AME OF SUBMITTER: IGNATURE: ATE SIGNED: otal Attachments: 4 Durce=PLUMgrid to Nicin Durce=PLUMgrid to Nicin	DATA (6 provided; 1 30 ipa 30 ipa 34 PA JMBER: JMBER:	50)427-4818 he e-mail address first; if that is unsu- if that is unsuccessful, it will be sent 039424655 admin@vmware.com, wjacklin@hfzlaw ICIRA, INC. IO1 HILLVIEW AVENUE ALO ALTO, CALIFORNIA 94304 N482 WILLIAM E. JACKLIN /William E. Jacklin/ 01/24/2017	via US Mail.			

source=PLUMgrid to Nicira Patent Assignment Agreement#page4.tif

#### PATENT ASSIGNMENT AGREEMENT

THIS PATENT ASSIGNMENT AGREEMENT ("Agreement") is dated December 16, 2016 (the "Effective Date") and is between PLUMgrid, Inc., a Delaware corporation, ("Assignor") and Nicira, Inc., a Delaware corporation ("Assignee"). Each of Assignor and Assignee are referred to herein as a "Party" or, collectively, as the "Parties."

Under the Asset Purchase Agreement, dated December 16, 2016 (the "Asset Purchase Agreement"), Assignor has agreed to assign to Assignee all of Assignor's right, title and interest in the Patents (as defined in the Asset Purchase Agreement) owned by Assignor, including without limitation, the patents and patent applications set forth below in Exhibit A; any and all issued patents, continuations, divisional, renewals, extensions, continuations-in-part, reexaminations, reissue applications, foreign counterparts or any other patent or application that claims priority to any of the foregoing or to the patent applications listed in Exhibit A; and any invention disclosed or claimed in any of the foregoing (the "Assignor Patents").

Assignee desires to acquire all of Assignor's right, title and interest in the Assignor Patents.

In exchange for the consideration paid under the Asset Purchase Agreement, the receipt of which is hereby acknowledged, Assignor does hereby sell and assign unto Assignee all of Assignor's right, title and interest in the Assignor Patents, including all rights to recover damages for any and all past, current or future infringement, and the right to file applications and make claims of priority to the Properties under the patent laws of the United States, the International Convention for the Protection of Industrial Property, and any other international agreement or convention or the domestic laws of any country in which such application is filed. Assignor hereby authorizes and requests the Commissioner of the United States Patent and Trademark Office, and the corresponding entity or agency in any applicable foreign country, to record Assignee as assignee and owner of the Assignor Patents.

In case of any conflict between the terms and conditions of this Agreement and the terms and conditions of the Asset Purchase Agreement, the terms and conditions of the Asset Purchase Agreement shall govern. This Agreement may be executed in two or more counterparts and by the different parties hereto on separate counterparts, each of which when so executed and delivered will be an original, but all of which together will constitute one and the same instrument. Any such counterpart, to the extent delivered by means of electronic delivery will be treated in all manner and respects as an original executed counterpart and will be considered to have the same binding legal effect as if it were the original signed version thereof delivered in person. This Agreement will be governed by and construed in accordance with the laws of the State of Delaware, regardless of the laws that might otherwise govern under applicable principles of conflicts of law.

[Signature page follows]

The Parties have caused this Agreement to be executed as of the Effective Date by duly authorized persons.

PLUMGRID, INC. By: \_\_\_\_\_\_

. Murrens Name: Lawrenče J. Lang Title: President & CEO

#### NICIRA, INC.

By: \_\_\_\_\_

Name: Title:

(Signature page to Patent Assignment Agreement)

PATENT REEL: 041067 FRAME: 0747 The Parties have caused this Agreement to be executed as of the Effective Date by duly authorized persons.

#### PLUMGRID, INC.

By: \_\_\_\_\_ Name: Title:

NIC DocuSigned by:

By:

Name: Craig Norris Title: President and Secretary

(Signature page to Patent Assignment Agreement)

PATENT **REEL: 041067 FRAME: 0748** 

### Exhibit A

#### Patents

Title	Inventors	Status	App. No./ App. Date	Publ./Patent No./ Publ./Grant Date	Assignee
Method And System For Data Plane Abstraction To Enable A Dynamic Creation Of Network Applications	Pere Monclus, Sushil Singh, Brenden Blanco, Alexei Starovoitov, Awais Nemat	Granted	US13771026 2013-02-19	US9395960B2 2016-07-19	PLUMgrid Inc.
Method And System For Data Plane Abstraction To Enable A Network Storage Platform Ecosystem	Alexei Starovoitov, Sushil Singh, Pere Monclus, Awais Nemat	Granted	US13888534 2013-05-07	US9436716B2 2016-09-06	PLUMgrid Inc.
Method and system for monitoring conditions in a dynamic network environment	Marco Leogrande, Pere Monclus, Awais Nemat, Sushil Singh	Pending/ Published	US 14/063,914 2013-10-25	US20150120905 A1 2015-04-30	PLUMgrid Inc.
Method and system for managing interconnection of virtual network functions	Brenden Blanco, Sushil Singh, Gaetano Borgione, Alexei Starovoitov, Pere Monclus	Pending/ Published	US 14/253,775 2014-04-15	US20150295750 A1 2015-10-15	PLUMgrid Inc.
Virtual Network Management	Max Bielenberg, Pere Monclus, Sushi l Singh, Jorge Jaramillo, Carolina Pena, Arslan Waqar, Dragos Rusu, Duyen Riggs	Expired (provisional)	US 62/243,299 2015-10-19		PLUMgrid Inc.
Virtual Network Management	Max Bielenberg, Pere Monclus, Sushi I Singh, Jorge Jaramillo, Carolina Pena, Arslan Waqar, Dragos Rusu, Duyen Riggs Syed Ali Khayam	Pending	US 15/292,064 2016-10-12		PLUMgrid Inc.