### 504197847 01/26/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1

EPAS ID: PAT4244523 Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MICHEL NUSSENZWEIG	11/12/2014
ARIEL HALPER-STROMBERG	11/12/2014
CHING-LAN LU	11/11/2014

### **RECEIVING PARTY DATA**

Name:	THE ROCKEFELLER UNIVERSITY		
Street Address:	1230 YORK AVENUE		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10065		

### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	15327725	

### CORRESPONDENCE DATA

Fax Number: (609)896-1469

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 609-896-3600

Email: ipdocket@foxrothschild.com FOX ROTHSCHILD LLP **Correspondent Name:** Address Line 1: 997 LENOX DRIVE, BLDG. #3

Address Line 4: LAWRENCEVILLE, NEW JERSEY 08648

ATTORNEY DOCKET NUMBER:	070413.20260
NAME OF SUBMITTER:	OLGA AYALA
SIGNATURE:	/Olga Ayala/
DATE SIGNED:	01/26/2017

## **Total Attachments: 3**

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> **PATENT** REEL: 041093 FRAME: 0444 504197847

### ASSIGNMENT

WHEREAS, Ching-Lan Lu ("ASSIGNOR") having an address at 1230 York Avenue, New York, NY, U.S., have rights in the invention(s) ("THE INVENTION(S)") disclosed in:

US 62/026,915 entitled "COMBINATIONS OF BROADLY NEUTRALIZING HIV ANTIBODIES AND VIRAL INDUCERS" filed July 21, 2014;

AND WHEREAS, THE ROCKEFELLER UNIVERSITY, ("ASSIGNEE"), a New York non-profit education corporation doing business at 1230 York Avenue, New York, NY 10065, desires to acquire the entire right, title, and interest in and to THE INVENTION(S) and in and to the Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) in hand and/or other good and valuable consideration, the receipt of which is hereby acknowledged, Ching-Lan Lu have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE my entire right, title and interest in and to THE INVENTION(\$) and the Patent and all patents and patent applications claiming priority to and/or benefit of the Patent including divisions, continuations, and continuations-in-part thereof and all reissues, reexaminations, and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for THE INVENTION(\$) in any foreign country/countries, and all patents (including all extensions, renewals and reissues thereof) granted for THE INVENTION(\$) and the Patent in any foreign country/countries;

AND Ching-Lan Lu HEREBY authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue the patent on an application as aforesaid, to issue the patent for THE INVENTION(S) and/or the Patent in the name of ASSIGNEE in accordance with the terms of this assignment;

AND Ching-Lan Lu HEREBY covenant that Ching-Lan Lu have full right to convey the entire interest herein assigned, and that Ching-Lan Lu have not executed, and will not execute, any agreement in conflict herewith;

AND Ching-Lan Lu HEREBY further covenant and agree that Ching-Lan Lu will communicate to ASSIGNEE any facts known to me respecting THE INVENTION(S) and the Patent, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, reexamination, and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for THE INVENTION(S) and the Patent.

n testimony whereof, I hereunto set π	y hand this _	H day of	hovember	, 2014
	Ching-	Niglada Lan Lu		<del></del>

# ASSIGNMENT

WHEREAS, Ariel Halper-Stromberg (ASSIGNOR) having an address at 1210 Yest Avenue. New York A. J. S., bave rights in the inventions of 14th DNA PATHONS STUDISH oed in

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in sectioning, whereof Thereinto secure, hard this 1,2, direct November 2014.

**PATENT** REEL: 041093 FRAME: 0446

### ASSIGNMENT

WHEREAS, Michel Nussenzweig ("ASSIGNOR") having an address at 1230 York Avenue, New York, NY, U.S., have rights in the invention(s) ("THE INVENTION(S)") disclosed in:

US 62/026,915 entitled "COMBINATIONS OF BROADLY NEUTRALIZING HIV ANTIBODIES AND VIRAL INDUCERS" filed July 21, 2014;

AND WHEREAS, THE ROCKEFELLER UNIVERSITY, ("ASSIGNEE"), a New York non-profit education corporation doing business at 1230 York Avenue, New York, NY 10065, desires to acquire the entire right, title, and interest in and to THE INVENTION(S) and in and to the Patent;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) in hand and/or other good and valuable consideration, the receipt of which is hereby acknowledged, Michel Nussenzweig have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE my entire right, title and interest in and to THE INVENTION(S) and the Patent and all patents and patent applications claiming priority to and/or benefit of the Patent including divisions, continuations, and continuations-in-part thereof and all reissues, reexaminations, and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for THE INVENTION(S) in any foreign country/countries, and all patents (including all extensions, renewals and reissues thereof) granted for THE INVENTION(S) and the Patent in any foreign country/countries;

AND Michel Nussenzweig HEREBY authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue the patent on an application as aforesaid, to issue the patent for THE INVENTION(S) and/or the Patent in the name of ASSIGNEE in accordance with the terms of this assignment;

AND Michel Nussenzweig HEREBY covenant that Michel Nussenzweig have full right to convey the entire interest herein assigned, and that Michel Nussenzweig have not executed, and will not execute, any agreement in conflict herewith;

AND Michel Nussenzweig HEREBY further covenant and agree that Michel Nussenzweig will communicate to ASSIGNEE any facts known to me respecting THE INVENTION(S) and the Patent, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute, reexamination, and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for THE INVENTION(S) and the Patent.

In testimony whereof, I hereunto set my hand this 12 day of Mosenham, 2014.

Michel Nussenzweig

1

PATENT REEL: 041093 FRAME: 0447