

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4195671

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZHUOHUI TAN	01/15/2016
ROBERT GREGORY WAGONER	01/12/2016
ANTHONY MICHAEL KLODOWSKI	01/20/2016
RECEIVING PARTY DATA	
Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15386431
CORRESPONDENCE DATA	
Fax Number:	(203)373-7153
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	203-229-3883
Email:	Claire.Murray@ge.com
Correspondent Name:	GENERAL ELECTRIC COMPANY GE GLOBAL PATEN
Address Line 1:	901 MAIN AVENUE
Address Line 2:	3RD FLOOR
Address Line 4:	NORWALK, CONNECTICUT 06851
ATTORNEY DOCKET NUMBER:	281684 _3
NAME OF SUBMITTER:	DOUGLAS D. ZHANG
SIGNATURE:	/Douglas D. Zhang/
DATE SIGNED:	12/21/2016
Total Attachments: 5	
source=281684_3_US__Combined_Dec_Assg#page1.tif	
source=281684_3_US__Combined_Dec_Assg#page2.tif	
source=281684_3_US__Combined_Dec_Assg#page3.tif	

source=281684_3_US___Combined_Dec_Assg#page4.tif

source=281684_3_US___Combined_Dec_Assg#page5.tif

COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

WIND GENERATION SYSTEM AND CONTROLLING METHOD THEREOF

- ☒ the specification of which is attached hereto OR
☐ United States application or PCT international application number

_____ filed on _____, as
amended on [date] (if applicable).

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Zhuohui		Tan	of	ShangHai	ShangHai	CN
Anthony	Michael	Klodowski	of	Salem	VA	US
Robert	Gregory	Wagoner	of	Salem	VA	US

hereby sell and assign to:

Name of Company: <i>GENERAL ELECTRIC COMPANY</i>
Entity Type (optional):
Address: <i>1 River Road</i> <i>Schenectady, New York 12345</i> <i>United States of America</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
CN	PRI-Priority Application	201510977006.9	December 22, 2015	WIND GENERATION SYSTEM AND CONTROLLING METHOD THEREOF

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

GE Docket No. 281684

INVENTOR 1

Signature: Zhuohui Tan
Zhuohui Tan

Date: Jan. 15, 2016

Witnessed by: Changjin Liu
Signature

Date: Jan. 15, 2016

Changjin Liu
Printed Name of Witness

Witnessed by: Jinping Gao
Signature

Date: Jan. 15, 2016

Jinping Gao
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Zhuohui Tan

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Zhuohui Tan, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 2

Signature: Anthony Michael Klodowski
Anthony Michael Klodowski

Date: 2016-1-20

Witnessed by: Igor Berroteran
Signature

Date: 2016-1-20

Igor Berroteran
Printed Name of Witness

Witnessed by: David Smith
Signature

Date: 2016-1-20

David Smith
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Anthony Michael Klodowski

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Anthony Michael Klodowski**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3

Signature: Robert Gregory Wagoner
Robert Gregory Wagoner

Date: JAN 12, 2016

Witnessed by: Robert A. Seymour
Signature

Date: JAN 12, 2016

Robert A. Seymour
Printed Name of Witness

Witnessed by: James T. Bailey
Signature

Date: Jan 12, 2016

James T. Bailey
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Robert Gregory Wagoner

Date: _____

STATE OF

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Robert Gregory Wagoner, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)